



Prefeitura de Cachoeirinha

**Guidance Document and Index of Environmental and
Social Safeguards
Urban Resilience and Innovation Program in Climate
Risk Management of Cachoeirinha/RS - Cachoeirinha
Development**

***Documento de Orientação e Índice das Salvaguardas
Ambientais e Sociais
Programa de Resiliência Urbana e Inovação na Gestão
de Riscos Climáticos de Cachoeirinha/RS -
Desenvolvimento Cachoeirinha***



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EXECUTIVE SUMMARY

This document serves as a consolidated guide to the set of safeguard instruments for the "**Cachoeirinha/RS Urban Resilience and Innovation in Climate Risk Management Program - Cachoeirinha Development**". Proposed by the Municipality of Cachoeirinha and to be financed by the Asian Infrastructure Investment Bank (AIIB), the Program is a strategic response to the devastating floods of May 2024, aiming not only to rebuild but also to increase the municipality's long-term resilience to the impacts of climate change.

The main instrument guiding the Program's environmental and social management is the **Environmental and Social Management Planning Framework (ESMPF)**. The ESMPF establishes the general framework, procedures, and responsibilities to ensure that all subprojects are developed sustainably, in compliance with the AIIB's Environmental and Social Policy (ESP) and Environmental and Social Standards (ESSs), as well as with applicable Brazilian legislation.

This ESMPF functions as an "umbrella" document, which in turn guides the application of other specific frameworks and plans, including:

- The **Environmental and Social Impact Assessment (ESIA)**, which assesses the potential impacts of the program.
- The **Resettlement Planning Framework (RPF)**, which defines the procedures for land acquisition and involuntary resettlement.
- The **Stakeholder Engagement Plan (SEP)**, which establishes the strategy for consultation and public participation.
- The **Grievance Redress Mechanism (GRM)**, which structures the channels for receiving and resolving complaints.

The ultimate goal of this entire set of safeguards is to ensure that the "Cachoeirinha Development" Program contributes positively and lastingly to the well-being and safety of the community, "building back better" and strengthening the environmental and social resilience of Cachoeirinha for future generations.



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STRUCTURE OF THE SAFEGUARD DOCUMENTS

This section presents the index and structure of each of the five safeguard documents prepared for the Program.

1. Environmental and Social Management Planning Framework (ESMPF)

This is the master document that establishes the rules, procedures, and institutional arrangements for all environmental and social management of the Program.

- **1. Introduction and Objectives**
- **2. Description of the "Cachoeirinha Development" Program**
- **3. Policy, Legal, and Institutional Framework**
- **4. Environmental and Social Management Procedures for Subprojects**
- **Annexes (A-H):** Tools and templates for ESMPF implementation (Screening Forms, Terms of Reference, Acronyms List, etc.).

2. Environmental and Social Impact Assessment (ESIA)

This study assesses the potential risks and impacts of the entire program, establishing the municipality's baseline and analyzing the consequences of the proposed intervention typologies.

- **1. Introduction and Context**
- **2. Legal and Normative Context**
- **3. Environmental and Social Context of Cachoeirinha (Baseline)**
- **4. Impact Assessment Methodology**
- **5. Identification and Assessment of Environmental and Social Impacts**
- **6. Mitigation Measures, Compensation, and Management Programs**
- **7. Specific Environmental and Social Management Programs**
- **8. Monitoring and Evaluation Plan (PMA)**
- **9. Timeline and Budget**
- **10. Institutional Arrangements and Responsibilities**
- **11. Conclusion and Recommendations**
- **Annexes (I-III):** Impact Matrices and Indicators.

3. Resettlement Planning Framework (RPF)

This framework establishes the principles and procedures to be followed if any subproject requires the acquisition of land and the physical or economic resettlement of people.

- **1. Objectives and Principles of the RPF**
- **2. Legal and Institutional Framework for Resettlement**
- **3. Definition of Project-Affected Persons (PAPs) and Eligibility Criteria**
- **4. Procedures for Loss Assessment and Compensation Calculation**
- **5. Entitlement Matrix**
- **6. Measures for Livelihood Restoration**
- **7. Specific Measures for Vulnerable Groups**
- **8. Procedures for Preparation and Implementation of Specific Resettlement Action Plans (RAPs)**
- **9. Institutional Arrangements, Monitoring, and Budget**



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- **10. Organizational Structure for Significant Resettlement**

4. Stakeholder Engagement Plan (SEP)

This plan details the strategy to identify, consult, and maintain a continuous dialogue with all groups and individuals who may affect or be affected by the program.

- **1. Objectives of Stakeholder Engagement**
- **2. Stakeholder Identification and Analysis**
- **3. Consultation and Participation Strategy**
- **4. Procedures for Information Disclosure**
- **5. Specific Considerations for Engaging Vulnerable Groups**
- **Annexes:** Summary of initial engagement and public perception.

5. Grievance Redress Mechanism (GRM)

This document establishes a clear, accessible, and effective system for any person or group to submit concerns or complaints related to the program and to be assured that they will be heard and resolved fairly.

- **1. Objectives and Principles of the GRM**
- **2. Structure and Levels of GRM Resolution**
- **3. Procedures for Submitting, Registering, and Resolving Grievances**
- **4. Response Times, Access Channels, and Disclosure**
- **5. Monitoring and Evaluation of GRM Effectiveness**
- **6. Linkage with AIIB's Project-affected People's Mechanism (PPM)**