

September 11, 2022

Viet Nam: Gia Lia Wind Power Project**Corrective Environmental and Social Action Plan (CESAP)**

Dien Xanh Gia Lai Investment Energy Joint Stock Company is developing the Gia Lai Wind Power Project, comprising Ia Pech and Ia Pech 2 wind farms with 30 wind turbine generators and a generation capacity of 49.5MW each farm in Ia Pech commune, Ia Grai district, Gia Lai province. La Grai district is a mountainous area located along the western border of Gia Lai province, in the Central Highlands region of Viet Nam.

The Corrective Environmental and Social Action Plan (CESAP) describes the environmental and social mitigation, monitoring, and organizational measures to be implemented by the Project Sponsor CPIH. In addition, the CESAP will support addressing outstanding issues at the time of the approval of the financing in a timely and appropriate manner and implementation of AIIB's Environment and Social Policy (ESP), Environment and Social Standards (ESS), and Environment and Social Exclusion List (ESEL), as well as relevant applicable local laws and regulations and good industry practice. As required, the CPIH and its contractors (if any) will regularly review and update the CESAP in coordination with AIIB to reflect any changes in the project implementation and/or organization.

Gia Lai Corrective Environmental and Social Action Plan

No	Topic	Action	AIIB ESP	Deliverables
1	Project Environmental and Social Policy (ESP)	Develop a Corporate/Project ESP describing: (a) the Project's adherence and commitment to national and international environmental and social standards; (b) the Project's social policy which includes an approach and commitment on contributing through investing to community development and (c) an overarching labor policy.	Good industry practice, supports implementation of a Corporate/Project ESP at the Project level	1.Draft Corporate/Project ESP submitted to AIIB for review 2. Corporate/Project ESP approved by the CPIH/Project Company Board. 2.1 Required actions to implement the approved ESP at the Project level are in place.

2	Mandatory Licenses	Submit to AIIB all permits/licenses/documents in relation to the Completion of administrative procedures on land allocation and land lease		<p>1. Issuance of Land Compensation Plan for the land needed for the project</p> <p>2. Issuance of land lease decision, signing of land lease agreement</p> <p>3. Issuance of Land Use Right Certificates (LURC)</p>
3	Stakeholder engagement	<p>Prepare and implement an updated Stakeholder Engagement Plan (SEP) - to manage the environment and social information disclosure to relevant stakeholders including ethnic minorities and CSOs/NGOs.</p> <p>The SEP to include a Communication Plan to manage the environment and social information disclosure to relevant stakeholders including ethnic minorities and CSOs/NGOs in a timely manner.</p> <p>Preparation of the draft SEP will involve meaningful consultation and communication with ethnic minorities and vulnerable groups, carried out in the language(s) used by the ethnic minorities. Aim is to provide an avenue through which various stakeholders can obtain information regarding project design and implementation, risks, impacts, mitigation measures, culturally appropriate development benefits, raise their concerns and aspirations.</p>	<p>ESS1 ESS2 ESS3</p>	<p>The draft updated SEP submitted to AIIB for review.</p> <p>The draft SEP to include (a) targeted strategies to engage with ethnic minorities and vulnerable groups, that are culturally appropriate and gender and intergenerationally inclusive; and (b) records of disclosure of SEP, records of stakeholder consultations, meeting notes and analysis of issues raised via the Project GRM.</p> <p>Final updated SEP, after incorporating comments from AIIB, is disclosed and submitted to AIIB.</p> <p>Required actions to implement the approved final SEP are in place.</p>

4	Land Acquisition, Involuntary Resettlement and Community Development Plan	<p>Complete the Land Acquisition Audit and Socioeconomic Census of Project Affected People (PAP)</p> <p>Additional Comments: Land Acquisition Audit: Review the individual cases of land acquired through “willing seller-willing buyer” and government-led processes followed for acquiring the land.</p> <ul style="list-style-type: none"> i. Document and provide evidence of the “willing seller willing buyer” approach, specifically identify evidence of the ability of the sellers to refuse the sale of land, confirm no coercion was used for sale of land, and evidence of consultations held and their documentation. ii. Review the individual cases of involuntary land acquisition (i.e., land acquired through government led processes) and document the process followed to evaluate the replacement cost/value of land and identify gaps with ESS2 requirements. iii. Assess the gap between the compensation paid and replacement cost. iv. Review the status of compensation payment to the PAPs. v. Carry out meaningful consultations with the PAPs while conducting the Land Audit and Census. <p>Socio-Economic Census</p> <ul style="list-style-type: none"> i. Enumerate those who are likely to be physically and economically displaced 	ESS2	<ol style="list-style-type: none"> 1.TOR for carrying out the Land Acquisition Audit, and Socioeconomic Census of PAPs for AIIB’s review. 2.Consultant identified and issued a letter of intent for carrying out the Land Acquisition Audit and the Socioeconomic Census of the PAPs 3.Appointment of Consultant for carrying out the Land Acquisition Audit and Socioeconomic Census contingent upon IC approval. 4.Draft Land Acquisition Audit, and Socioeconomic Census report, covering all of those PAPs who could be identified, including those in the setback zone, is submitted for AIIB review. The draft report to describe: (a) the efforts made to identify all PAPs/Affected HHs; measures that are in place (e.g., GRM, SEP, Monitoring reports, contingent budget etc.) to continue to identify the left-out PAPs/HHs and include them to access the benefits laid out in the RLR-CDP; (c) details of meaningful consultations held with PAPs, issues raised etc. 5.Draft RLR-CDP submitted for AIIB’s review. 6.Revised final draft Land Acquisition Audit and Socioeconomic Census report, incorporating comments provided by AIIB is submitted.
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		<p>(Households, businesses, and community properties etc.), irrespective of whether the PAPs are titleholders or non-titleholders and nature of impact (temporary or permanent). Identify vulnerable groups who face differentiated impacts including households (HH) below the poverty line, women, Ethnic Minorities, elderly, and persons with disabilities.</p> <ul style="list-style-type: none"> ii. Setback zones: Define the setback zones to manage the impacts from potential blade throws, shadow flickering, and noise from turbine operations. The setback zones for each individual tower are outlined in the ESIA. iii. Include all HHs that are likely to be impacted within the setback zones from blade throw risks, noise, shadow flickering impacts in the socio-economic census. iv. Carry out an Inventory of Losses (IOL) for the PAP. The IOL should cover impacts on all types of assets including, residential, commercial, residential and commercial, search huts and community property structures, irrespective of whether they are temporary or permanent structures and irrespective of ownership status. v. Assess the magnitude of impacts on income streams (rental income and tenancy; wage laborer PAPs) and livelihood of the PAPs. Carry out an assessment of how many PAPs became vulnerable as a result of the project. 		<p>Note: Findings and recommendations of the audit and the socioeconomic survey should be delivered as standalone report.</p> <p>7. Final Resettlement and Livelihood Restoration and CDP prepared.</p> <p>8. Implement the approved Resettlement and Livelihood Restoration and CDP plan.</p>
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5	Biodiversity Impacts	<ul style="list-style-type: none"> i. Complete the birds and bats collision risk collision modelling 	ESS1	1.Consultant identified and issued a letter of intent for carrying out the BMP and Birds Adaptive Management Plan.

		ii. Update the ESIA including the relevant ESMPs (Biodiversity Management Plan and Birds Adaptive Management Plan)		<p>2.Appointment of Consultant for carrying out the BMP and Birds Adaptive Management Plan upon IC approval.</p> <p>3.Draft BMP and Birds Adaptive Management Plan for AIIB's review.</p> <p>4. Updated ESMP incorporating the final approved BMP and Birds Adaptive Management Plan for AIIB's review.</p>
6	Noise Impacts	Prepare a Noise Management Plan including mitigation measures related to turbine design; turbine operation procedures based on wind speed monitoring system; and based on consultation and communication, and grievances, received from PAPs.	ESS1	<p>1.Consultant identified and issued a letter of intent for carrying out the Draft Noise Management Plan for AIIB's review.</p> <p>2.Appointment of Consultant for carrying out the Draft Noise Management Plan.</p> <p>3.Updated ESMP incorporating the final approved Noise Management Plan, including revised noise monitoring, and reporting arrangements, for AIIB's review.</p> <p>Note: Improved GRM to capture grievances related to noise impacts during the operational phase.</p>
7	Manual of Operations	Prepare an Operations Manual including mitigation measures related to turbine operation procedures based on the Noise Management and Biodiversity Management Plans.	ESS1	Updated Operations Manual to include turbine operational procedures based on the mitigation measures defined by the noise and biodiversity management plans.
8	Shadow Flickering Impacts	Develop a Shadow Flickering Management Plan to mitigate the impacts.	ESS1	Updated ESMP with Shadow Flickering Management Plan, including revised shadow

				<p>flickering monitoring and reporting arrangements.</p> <p>Improved GRM to capture grievances related to shadow flickering impacts during the operational phase.</p>
9	GRM	<p>Assign dedicated personnel to manage the GRM processes.</p> <p>Disseminate information on AIIB PPM among the PAPs.</p> <p>Improve the GRM process for PAPs.</p> <p>Establish and operationalize a worker's GRM.</p> <p>Engage third party review of existing GRM focusing on:</p> <ol style="list-style-type: none"> a. responsiveness of the GRM; b. adequateness of technical solutions provided; and c. close of outstanding complaints. 	ESP	<ol style="list-style-type: none"> 1. Dedicated personnel to manage the GRM processes assigned. 2. Information on AIIB PPM is disseminated. 2. Improved GRM for PAPs is functional. 3. Worker's GRM is established and functional. 4. Third-Party Review Report
10	Monitoring and Reporting	Prepare periodic monitoring reports related to implementation of ESMP and CESAP.	ESP	Quarterly monitoring reports for review by AIIB.
11	Disclosure	<p>Disclose the following revised drafts:</p> <ul style="list-style-type: none"> - ESIA and SEP Non-Technical Summaries in Vietnamese and CESAP in English and Vietnamese. - ESIA and SEP Main Report and Annexes - in English. 	ESP	<ol style="list-style-type: none"> 1. Documents disclosed on the AIIB website and the Project Sponsor's website. 2. Hard copies are also to be made available in the Project areas.

		<ul style="list-style-type: none">- Resettlement and Livelihood and Community Development Plan (CDP) and SEP full report in English and Executive Summaries of both the documents and Entitlement Matrix to be disclosed in English and Vietnamese.		
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