



IEAD Supplementary E&S Study

Resettlement Plan

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Acronyms and Abbreviations

Name	Description
ADB	Asian Development Bank
AH	Affected Households
AIIB	Asian Infrastructure Investment Bank
AIP	Access to Information Policy
AP	Affected People
BAP	Biodiversity Action Plan
BCPG	BCPG Public Co. Ltd.
CA	Concession Agreement
CDP	Community Development Plan
CEGDP	Community and Ethnic Group Development Plan
CHMP	Cultural Heritage management Plan
CLMV	Cambodia-Laos-Myanmar-Vietnam
CLO	Community Liaison Officers
CMO	Compensation Management Office
CMU	Compensation Management Unit
COD	Commercial Operations Date
CRP	Compliance Review Panel
DAFO	Department of Agriculture and Forestry Office
DEG	Deutsche Investitions und Entwicklungsgesellschaft
DMS	Detailed Measurement Survey
DONRE	Department of Natural Resources and Environment
DWG	District Working Group
EDL	The state power company Electricity du Laos
EIA	Environmental Impact Assessment
EMG	Ethnic Minority Groups
EN	Envision
EPC	Engineering, Procurement, Construction
EPFI	Equator Principles Financial Institute
ERM	ERM-Siam Company Limited
ESIA	Environmental and Social Impact Assessment
ESMP	Environmental and Social Management Plan
EVN	Vietnam Electricity
FAQ	Frequently Asked Questions
FGD	Focus Group Discussions
FMO	Nederlandse Financierings-Maatschappij voor Ontwikkelingslanden N.V.
GAD	Gender and Development
GAP	Gender Action Plan
GBV	Gender-based Violence
GC	Grievance Committees
GESC	Guidelines for Environmental & Social Considerations
GIS	Geographic Information System
GLAD	German-Laos Association Development
GM	Grievance Mechanism
GOL	Government of Laos
GRM	Grievance Redress Mechanism

Name	Description
GW	Gigawatt
HH	Households
ICP	Informed Consultation and Participation
IEAD	Impact Energy Asia Development
IFAD	International Fund for Agricultural Development
IFC	International Finance Corporation
ILO	International Labour Organization
IP	Indigenous People
IUCN	International Union for Conservation of Nature
JCR	Joint Committee for Resettlement
JICA	Japan International Cooperation Agency
KBA	Key Biodiversity Area
KII	Key Informant Interview
LAK	Laotian Kip (Official national currency of Laos)
LRP	Livelihood Restoration Plan
LWU	Lao Women's Union
MONRE	Ministry of Natural Resources and Environment
MP	Management Plans
MW	Megawatt
NA	Not Applicable
NGO	Non-Governmental Organizations
NTFP	Non-Timber Forest Product
OMF1	Operations Manual F1
PA	Protected Areas
PAFO	Provincial Agricultural & Forestry Office
PAH	Project Affected Households
PAP	Project Affected People
PDA	Project Development Agreement
PDR	People's Democratic Republic
PIC	Project Information Centre
PID	Project Information Document
PM	Particulate Matter
PONRE	Provincial Department of Natural Resources and Environment
PRF	Provider Relief Fund
PS	Performance Standard
PSA	Project Social Area
RLCMS	Regional Land Cover Monitoring System
ROW	Right of Way
RP	Resettlement Plan
SE	Stakeholder Engagement
SEA	Southeast Asia
SEP	Stakeholder Engagement Plan
SFE	Service Fraternel d'Entraide
SPF	Special Project Facilitator
SPS	Safeguard Policy Statement
SR2	Safeguard Requirements 2

Name	Description
SUFORD	Scaling Up Participatory Sustainable Forest Management
TL	Transmission Line
UAE	United Arab Emirates
UK	United Kingdom
UNDP	United Nations Development Programme
UNESCO	United Nations Educational, Scientific and Cultural Organization
US	United States
USD	United States Dollars
WCS	Wildlife Conservation Society
WFP	World Food Programme
WHO	World Health Organization
WTG	Wind Turbine Generator
WWF	Worldwide Fund

EXECUTIVE SUMMARY

Introduction

Project Background

Impact Energy Asia Development (IEAD and/or the Project Proponent) is developing the Monsoon Wind Farm with an installed capacity of approximately 600 MW in Dak Cheung District of Sekong Province and Sanxay District of Attapeu Province in Lao People's Democratic Republic (Lao PDR). The development also includes a 500 kilovolt (kV) transmission line, which connects to the grid in Vietnam ("the Project").

IEAD signed a Memorandum of Understanding (MoU) with the Government of Lao PDR in 2011 to explore the possibility of developing a wind power project. Following the initial feasibility study, IEAD signed a Project Development Agreement (PDA) with the Government of Lao PDR on August 7, 2015 for the development of a wind power project with a capacity of 600 MW. The concession period for the Project is understood to be 25 years from the commercial operations date (COD). Construction is estimated to take approximately 30 months and COD is anticipated to be December 2025. Project is also the first cross-border wind power project to be approved by the GOL and Government of Vietnam (GOV) in accordance with the MoU to supply power from Laos' projects to Vietnam Electricity (EVN).

An Environment Impact Assessment (EIA) study for local Lao PDR permitting requirements was conducted in June 2014 (EIA 2014), and a second and third revision was conducted in May 2018 (EIA 2018), and September 2020 (EIA 2020), respectively. Innogreen Engineering Co., Ltd (Innogreen) on behalf of the Project Proponent has submitted the revised local EIA (2022) due to significant changes in the Project design to MONRE and was approved on 28 July 2022.

The Resettlement Plan

This Resettlement Plan (RP) is prepared to address the social and economic impacts on affected households who will experience loss of land and/or facing loss of sources of livelihoods due to land acquisition for the development of wind farm components and other ancillary facilities. These include wind turbine foundations, laydown areas, internal roads, internal transmission line within the Project boundary and ancillary facilities including workers' accommodation, batching plants, and improvement of access roads. The RP provides details of the mitigation measures requiring compensation for losses incurred during preparation, construction, and operation of the Project. Eligibility and entitlements for compensation are described in Section 5, and strategy to address livelihoods restoration in Section 6. The objective of the RP is to ensure that appropriate compensation is provided, the livelihoods of all displaced persons are improved or at least restored to the pre-project levels and the standards of living of the displaced poor and other vulnerable groups are improved. The RP elaborates on institutional arrangements (Section 8), monitoring and reporting framework (Section 10), budget, and time-bound implementation schedule (Section 9).

Legal and Institutional Framework

The Lao PDR legislation and applicable Asian Development Bank (ADB) policies, standards, and guidelines are addressed in this Resettlement Plan (RP). The RP has been undertaken with reference to the provisions of the Laos PDR legislation, policies, standards, and guidelines that have been ratified by Lao PDR and are applicable to wind farm development. In addition, the Project will comply with the ADB Safeguard Policy Statement (2009), ADB Social Protection Strategy (2001), ADB Gender and Development Policy (1998), ADB Access to Information Policy (2018), and ADB's Accountability Mechanism Policy (2012). The IFC Performances Standards, specifically IFC Performance Standard 5, is also applicable to this development.

Project Description

The key Project components, Project activities and Project affected villages are outlined in the following table.

Key Features	Description
Project Key Components	<ul style="list-style-type: none"> ■ 133 wind turbines (Envision EN 171-4.5 turbines) with total capacity of 600 MW, hub height is 110 m. ■ 22 km of 500 kV overhead transmission line in Lao PDR and 500 kV Thanh My substation. The Right of Way (ROW) of the transmission line is 60 m (30 m on each side from the centre line) ■ Underground and overhead 35 kV and 115 kV transmission cables to transfer electricity to the substation within the development area. The Right of Way (ROW) of 35 kV and 115 kV transmission lines are 15 m (12.5 m on each side from the centre line) and 40 m (20 m on each side from the centre line) respectively. ■ Internal 33/115kV substations ■ 500kV substation ■ Internal road, with the pavement width of 5.0 m; the subgrade width of 6.0 m; designed speed of 15 km/h, will be newly built to connect the access road and all turbines for construction and operation of the Project. ■ 108 km access road (highway road No. 16 B) from the municipality of Sekong Province to Dak Cheung District to the Project Site. Renovation work of road no.16B has been completed and it is entirely paved. It is understood that the width of this road is sufficient for transportation of construction equipment. ■ Other ancillary facilities including batching plants, laydown area, spoil disposal sites and worker accommodations
Project Activities	<p>Pre-Construction</p> <ul style="list-style-type: none"> ■ All work will be conducted in accordance with the detailed master construction schedule, provided by the EPC Contractor. Prior to commencement of work, all contractors would be required to provide detailed site specific plans. ■ No land take, or dispossession of assets and no ground clearance or project activities shall take place unless consent has been obtained from affected ethnic communities and land acquisition and compensation activities are completed for the project component following a land acquisition and resettlement plan and Indigenous Peoples Plan which meets the International regulatory framework. <p>Construction (30 months)</p> <ul style="list-style-type: none"> ■ The EPC Contractor will prepare the site for construction, erection, and installation of the Project facilities, which will include earthwork activities, such as site clearing and soil excavation. The construction, design, and testing will be undertaken in accordance with the appropriate construction standards and the Laos' Decision on National Environmental Standards (No. 81/GOV, 2017). <p>Operation, and Maintenance Phase (25 years)</p> <ul style="list-style-type: none"> ■ After the completion of the installation of wind turbines and the arrangement for the commencement of the production of electricity, there will be the officer to control, supervise, and maintain the wind turbine system in accordance with the agreement made with the manufacturer of the turbine. The frequency of the maintenance of 1 turbine generating electricity is approximately 2 times per year in order to verify the integrity of the hydraulic system, lubricants system, transformer and blade.
Project Affected Villages	<p>Dak Cheung District, Sekong Province</p> <ul style="list-style-type: none"> ■ 22 villages will be directly impacted by Project land acquisition for wind turbine facilities, transmission line facilities and access and internal roads. A total of 378 households will be affected, of which 2 households will be permanently affected, 168 households will be temporarily affected, and 170 households will be permanently and temporarily affected. ■ 2 villages will be indirectly impacted (individuals and households whose land are not impacted by Project land acquisition, but their livelihood may depend on affected lands and/or have restricted access to natural resources due to the Project footprint)

Key Features	Description
	<p>Sanxay District, Attapeu Province</p> <ul style="list-style-type: none"> 5 villages will be impacted by wind turbine facilities, transmission line facilities and access roads. A total of 36 households will be affected, of which 3 households will be permanently affected, 2 households will be temporarily affected, and 33 households will be permanently and temporarily affected. 3 villages will be indirectly impacted (individuals and households whose land are not impacted by Project land acquisition, but their livelihood may depend on affected lands and/or have restricted access to natural resources due to the Project footprint or they may be impacted by Project activities) <p>Refer to Figure 1-2 and Figure 1-3 for the location of affected villages in relations to Project facilities.</p>

Summary of Project Impacts

Land Acquisition Impacts

A total of 27 villages are directly impacted from Project land acquisition while 5 villages are indirectly impacted from the Project i.e., individuals and households whose land are not impacted by Project land acquisition, but their livelihood may depend on affected lands and/or have restricted access to natural resources due to the Project footprint or they may be impacted by Project activities. The Project impacts on land acquisition is summarized in the table below. It is noted that there is no physical displacement will be induced by the Project.

Province	Agricultural Land					Severely Affected HHs	Communal Land		NTFP Collection Area		Affected HHs
	Affected Area (ha)		Affected HHs (No.)				Per. (ha)	Temp. (ha)	Affected Area (ha)		
	Per.	Temp.	Per.	Pert & Temp.	Temp.				Per.	Temp.	
Sekong	23.84	146.83	2	170	168	271	129.78	390.29	101.04	353.88	1,752
Attapeu	5.47	9.70	3	33	2	34	30.16	58.69	49.75	30.81	355
Total	29.31	156.53	5	203	170	305	160.93	448.56	150.79	384.69	2,107
Total HHs				378		305	NA	NA	NA	NA	2,107

Impacts to Cultural Heritage Resources

Impact to cemeteries

Cemeteries (*paa saa*) which are mostly forested area and regarded by the villagers as sacred areas, are observed in all surveyed villages. People are prohibited from entering cemeteries for disturbing activities such as hunting or collecting timber and NTFPs. The final Project layout has been optimized and refined to avoid impacts to all cemeteries—the Project has re-routed the transmission line and access road alignments to avoid cutting through almost all the cemeteries. It is noted that an overhead transmission line will pass over Dak Bong Cemetery. The transmission line is approximately 70 m above the ground, therefore there will be no modifications (i.e. vegetation clearance and earthwork) made to the cemetery area. The consultation with Dak Bong village on 21 July 2022 suggested that cutting of

trees within ROW to maintain the height of trees under 3 m¹ in cemetery area is allowed; however, the Project is required to provide budget for the village to prepare and perform specific rituals to seek permission from spirits for such activities.

Impact to Phou Koungking

Phou Koungking is located near Dak Lern, Dak Kung and Proa villages. Eight WTGs are proposed in the lower elevation which is designated as multi-use zone of the Phou Koungking Mountain, and therefore will not affect the higher elevation of Phou Koungking which is believed to be sacred forest by Prao village. Project activities within the lower elevation of the Phou Koungking Mountain are not prohibited and will not incur any impact on intangible cultural heritage if the Project consults with the villages and complies with villages' Heet-Kong (*Heet is long inherited traditions and Kong is social norm, custom or guidelines*).

Entitlement Policy and Principles

The followings are the Project's key entitlement policy and principles developed to govern preparation and implementation of the RP:

- Wherever possible, in-kind compensation (e.g., land for land) will be offered to AHs. Cash compensation will only be considered based on the preference of AHs. Where the land for land is not available, the lack of land will be demonstrated and documented by the Project
- AHs whose land or assets are impacted by the Project's land acquisition at the date of the DMS completion (the cut-off date) will be entitled to compensation according to the DMS and the entitlement matrix. They may also be entitled to rehabilitation assistance to assist them in improving, or at least maintaining their pre-project living standards and productive capacities, as necessary.
- When involuntary resettlement impacts ensue, mitigation and rehabilitation measures will be put in place that will improve or at least restore living standards of affected persons to pre-project levels. All compensation will be based on the principle of full replacement cost (including any fees or taxes, registration or land transfer cost at the time of compensation).
- A household is considered severely affected if it loses 10% or more of its productive assets.
- Displaced persons without title or any recognizable legal rights to land are eligible for compensation for non-land assets at replacement cost and assistance including income restoration measures. In accordance with Lao Law, APs living in rural or remote areas, who do not have proof of land-use rights and who have no other land in other places, will be compensated for their lost rights to use land and for their assets at replacement costs and other additional assistance.
- The affected households should receive compensation payments before site clearing or start of any construction activities.
- Special measures will be incorporated in the resettlement plan to protect socially and economically vulnerable groups. Affected Vulnerable AHs will be provided with additional assistance to ensure that they are equal beneficiaries of the project and are not further disadvantaged by the process of land acquisition.
- There will be effective grievance mechanism for receiving and resolving grievances during updating and implementation of the RP, without impeding access to the national judicial or administrative remedies. Grievance redress mechanisms will include representation from the AHs, especially women, minority ethnic groups, and other vulnerable groups.

¹ Under the Regulation on Safety for High Voltage Transmission Line and Substation, EDL/ 2013, trees taller than 3 m are prohibited within the ROW area

Livelihood Restoration Plan (LRP)

The LRP has been developed to provide diverse range of livelihood options to restore PAPs livelihood to pre-project levels. LRP shall be complemented by the community development programs. LRP will have an emphasis on the income generating (livelihood related) initiatives specifically targeted to total of 378 HHs of PAPs.

Livelihood Restoration includes the following key components:

- **Local Employment & Capacity Building:** The main objective is to emphasize local employment potential, to which communities foresee this Project as providing income generating employment opportunities that would substantiate their livelihood improvement. The local employment opportunity has the potential to transform and upgrade the livelihoods, however it needs to be complemented by the supplementary saving & credit scheme, where local communities can connect their income to savings and credit funds.

The PAPs will be able to participate to the short-term project employment for the period of three years during project construction and beyond. The direct employment potential from the project shall contribute to reducing the impacts on the loss of livelihoods from the land acquisition.

Various skill development trainings particularly in line with future employment potential shall be identified by the project. In addition to internal employment to project, it will extend to employment potential. This initiative is intended to increase the local capacities in developing the required skills and capacity to expand their employment potentials and increase their probability in obtaining employment in different sectors.

- **Agriculture Production Enhancement & Animal Husbandry (Livestock based Initiative):** The main objective is to improve the quality of agriculture- based livelihoods through improved quality and soil improvement techniques with the supply of inputs to agriculture, agricultural production, the processing of outputs of agriculture-based livelihoods and industrial products, its distribution, marketing, and retailing; and economic.

The main components of this program shall include (i) Coffee production and strengthening the local capacities to generate revenue; (ii) agriculture-based income generating (alternative and modern agriculture techniques); (iii) Livestock based income generating activities; and (iv) local procurement of goods and services from PAPs.

- **Women led Home Business/Micro Business Support:** This program is intended to empower women PAPs to obtain multiple alternatives to income generating opportunities and engage in activities to escalate their income-based livelihood options. There shall be three major components to this initiative (i) Potential income generating activities such weaving, local material productions particularly related to cultural and ethnical preservation etc.; (ii) home-based income generating activities; and (iii) Women Capacity Building.
- **Education Scholarship Assistance:** The education scholarship assistance can provide a substantial economic transformation, particularly even more significant in terms of PAPs where this program can expedite the process of livelihood improvement and provide a sustainable livelihood income in the long term on the basis of education status.

There will be four major components to this program (i) Annual scholarships to colleges (academic and athletic based scholarships); (ii) Sponsorship based funding to the most vulnerable and indigent children from PAPs (Kindergarten to 12); (iii) Disabled Support to the disabled and handicapped children and facilitate learning activities via funding assistance or material support; and (iv) Empowering Girls child to obtain education services and opportunities as equal as boys with various incentive models to HHs

Information Disclosure and Consultation

Consultations

The Project's information disclosure and consultation activities have been driven by the principles of meaningful consultation and Informed Consultation and Participation (ICP). The Project started to engage affected IPs in 2014 when the Project was initiated. PAPs and relevant participants such as governmental organizations and relevant Ministries were included in the stakeholder engagement activities. Such activities included consultation meetings at the village level (November 2014 and September 2020), district level (May 2016), and a meeting with technical personnel (July 2018).

During the ESIA preparation in November – December 2021, the engagements sought to update its understanding of project impacts, including perspectives of IPs and vulnerable groups such as women and youth through focus group discussions (FGDs). FGDs with livelihood groups, IPs, women, youth and vulnerable groups and key informant interviews (KIIs) with village head and healthcare representative were undertaken in 31 villages directly and indirectly affected by the Project. The consultations were two-ways communications undertaken in atmosphere without coercion/intimidation whereby views of affected peoples were included in the Project design, ESIA and management plans. The consultations were conducted in Lao where the village head or Village Coordinator were present during the consultation to facilitate translation to Triang language, particularly for women and elderly who have limited capability in communication in Lao language.

In February 2022, the Compensation Committee arranged a meeting to consult village heads of 23 villages in Dak Cheung District, Sekong Province on compensation unit rates which were later approved on 31 March 2022. During 30-31 March 2022, the Compensation Committee consulted with five villages including Dak Nong, Dak Padou, Dak Samor, Dak Xeum, and Dak Yok on compensation unit rates which were later approved on 12 May 2022. It is noted that the Committee utilized this approach as a means to conduct market price survey for its consideration of determination of compensation unit rates.

Detailed measurement survey was conducted during 17 May to 21 June 2022 in Dak Cheung District, Sekong Province and 14-18 June 2022 in Sanxay District, Attapeu Province.

Following the completion of ESIA study and development of management plans (MPs), information related to ESIA findings, proposed mitigation measures and MPs were disclosed to PAPs in July-August 2022 through a presentation and disclosure booklet in Lao. The participants of the consultation include village heads and PAPs – women were ensured to participate in the sessions in all villages, Project developer (IEAD) and its local E&S consultant (Innogreen) and international E&S consultant (ERM), lenders' E&S representatives including ADB (and Artelia as its lender E&S advisor), DEG (also representing FMO), AIIB and JICA. After the presentation, the participants were also given opportunities to ask questions, share their concerns or needs to the Project developer or the E&S consultants. The participants were consulted after the information disclosure activity with focus on understanding villagers' beliefs towards the Phou Koungking Mountain, villagers' concerns regarding Project development in Phou Koungking area and Dak Bong cemetery and villages' requirements for such activities, obtain and document a collective expression of BCS. These consultations also served as venue for everyone to understand resettlement and compensation process and receive feedback on proposed livelihood restoration plan and community and ethnic group development plan (CEGDP).

PAPs' Concerns and Project's Addressal of the Concerns

The PAP's concerns and how they have been addressed are summarized in the following table.

Date	Location	Issues and Concerns	Considerations in the ESIA or Project Design/Actions Taken
12-21 Nov 2014	16 villages located in the Project area and nearby areas	<ul style="list-style-type: none"> The Project should provide funding and assistance to improve water supply system (e.g., gravity-fed) to the villages 	<ul style="list-style-type: none"> Information dissemination is be considered in the ESIA and SEP. A SEP will be prepared for the Project

Date	Location	Issues and Concerns	Considerations in the ESIA or Project Design/Actions Taken
7-26 Sep 2020	18 village located in the Project area	<p>and irrigation systems for rice paddies.</p> <ul style="list-style-type: none"> ■ The Project should help to improve the access road to the village and within village and the access roads to production land e.g., rice, coffee, and cassava plantations. ■ The Project should provide funding and assistance to establish and improve school facilities, supplies and personnel. ■ The Project should provide funding and assistance to establish and improve dispensary and healthcare centres in the villages. ■ The Project should provide funding assistance to establish a village administrative office. ■ People in the potentially affected villages should be able to benefit (i.e., access to electricity generated by the Project). ■ The Project should provide reasonable and fair compensation to those households affected by land acquisition. ■ The Project should provide assistance to poor families in the affected villages. In addition, the Project should provide assistance for improvement of vocations in the villages and offer job opportunities for the village members to work on the Project. ■ Request for the Project to provide financial support to the villages/village fund/monthly tax to the villages. 	<p>including future and on-going engagement required to ensure stakeholders are provided sufficient information on the potential impacts.</p> <ul style="list-style-type: none"> ■ The impact assessment including information on mitigation measures for the social receptors is provided in Section 8.5 of the ESIA Report. ■ Impacts to livelihoods and land use, including rice paddies, is included in Section 8.5.3 of the ESIA Report. This includes proposed mitigation measures. ■ Land and economic displacement is assessed in Section 8.5.3 of the ESIA Report. This includes proposed mitigation measures. The Project will ensure all required processes for land acquisition are conducted in conjunction with relevant stakeholders. ■ Impacts and processes for land acquisition are provided in Section 8.5.3 of the ESIA Report. Note that this is based on preliminary land and asset registration undertaken by Innogreen in November and December 2021. ■ Village heads will be informed prior to construction, this commitment is included in Section 9 (ESMP) of the ESIA Report.
November – December 2021	31 village located in the Project area	<p>The Project should minimise impacts to sensitive receptors and houses and paddy field as much as possible.</p> <p>The Project development will impact the cultivation land, particularly rice paddy field as suitable land for rice</p>	<ul style="list-style-type: none"> ■ The impact assessment including information on mitigation measures for the social receptors is provided in Section 8.5 of the ESIA Report. ■ Impacts were minimized by reducing the number of WTGs under a new design and avoiding houses and minimize impacts to paddy fields. <p>Impacts to livelihoods and land use, including rice paddies, is included in Section 8.5.3 of the</p>

Date	Location	Issues and Concerns	Considerations in the ESIA or Project Design/Actions Taken
		cultivation is highly limited due to mountainous terrain of the region.	ESIA Report. This includes proposed mitigation measures.
		The Project should ensure that there will be no encroachment into villagers' land containing houses/dwellings.	<ul style="list-style-type: none"> ■ Land and economic displacement is assessed in Section 8.5.3 of the ESIA Report. This includes proposed mitigation measures. ■ The Project will ensure all required processes for land acquisition are conducted in conjunction with relevant stakeholders.
		Concern about nuisance from noise from wind turbines during operation.	<ul style="list-style-type: none"> ■ Noise impacts (including from turbines) are assessed in Section 8.3.7 and Section 8.5.7 of the ESIA Report. This includes proposed mitigation measures. ■ WTGs have been relocated to ensure distance from villages. The nearest WTG to village is located more than 500 m from the village
		Concern about nuisance from shadow flicker and negative impacts on agricultural productivity.	<ul style="list-style-type: none"> ■ Shadow flicker impacts are assessed in Section 8.3.10 and Section 8.5.7 of the ESIA Report. This includes proposed mitigation measures. ■ Impacts were minimized by reducing the number of WTGs under a new design and avoiding houses and minimize impacts to paddy field
		Concern that the Project development may impact cemeteries of the village.	<ul style="list-style-type: none"> ■ Impacts to cemeteries and other cultural heritage are assessed in Section 8.5.9 of the ESIA Report. This includes proposed mitigation measures. ■ Project layout has been optimized to avoid impacts to all cemeteries
		Some people expressed that they cannot articulate their concerns as they do not have sufficient information about the Project and its potential impacts	<ul style="list-style-type: none"> ■ Information dissemination will be considered in the ESIA and SEP. A SEP (this document) has been prepared for the Project including future and on-going engagement required to ensure stakeholders are provided sufficient information on the potential impacts. ■ Project Information disclosure and consultations were conducted in July 2022. Refer to Section 7.6.2.2 for more details.

Date	Location	Issues and Concerns	Considerations in the ESIA or Project Design/Actions Taken
		Concerns about unfair compensation for those impacted by land acquisition of the Project, and there will be no replacement land for cultivation and animal husbandry and therefore people will lose their main source of livelihood.	<ul style="list-style-type: none"> ■ Impacts and processes for land acquisition are provided in Section 8.5.3 of the ESIA Report. Note that this is based on preliminary land and asset registration undertaken in November and December 2021. ■ RP and livelihood restoration programs have been developed.
		Concerns around safety of life and property and livestock of households nearby the wind towers and safety of those that conduct agricultural activities under the transmission line.	<ul style="list-style-type: none"> ■ Impacts to community health and safety are assessed in Section 8.5.4 of the ESIA Report. This includes proposed mitigation measures.
		Prior to commencement of the Project construction, the village heads should be informed.	<ul style="list-style-type: none"> ■ Village heads will be informed prior to construction, this commitment is included in Section 9 (ESMP) of the ESIA Report.
		The people in the affected villages were not sure if they can use electricity generated by the Project.	<ul style="list-style-type: none"> ■ Household solar power systems will be provided to the affected villages. Priority will be given to the households affected by the Project's land acquisition, then poor households within the Project's affected communities, and finally the entire the affected villages if possible. Refer to Section 8.5.2 and CEGDP for more details.
		During construction and operation of the Project, there will be influx of workers and people from outside to the villages. There are concerns that these people may bring transactional sex to villagers, disrupt community dynamics, increase gender-based violence, and/or negatively impact on public infrastructure and resources.	<ul style="list-style-type: none"> ■ Impacts from worker influx are assessed in Section 8.5.6 of the ESIA Report. This includes proposed mitigation measures.
		Concerns about the Project's impact on landslides	<ul style="list-style-type: none"> ■ Impacts from unplanned events (including those impacts as a consequence of natural hazards) are assessed in Section 8.6.3 of the ESIA Report. This includes proposed mitigation measures.
		Concerns about the Project's impacts to forest resources as people are highly dependent on NTFP collection from the forests.	<ul style="list-style-type: none"> ■ Impacts on communities' livelihoods associated with NTFPs are assessed in Section 8.5.3 of the ESIA Report. This includes proposed mitigation measures.

Date	Location	Issues and Concerns	Considerations in the ESIA or Project Design/Actions Taken
			<ul style="list-style-type: none"> ■ Livelihood restoration programs and CEGDP have been prepared.
July-August 2022		<p>Main concerns include</p> <ul style="list-style-type: none"> ■ Impacts to agricultural land ■ Safety risks associated with transportation of Project components during construction. ■ Wastewater and sedimentation from project construction activities will enter the water sources of the village ■ Impacts of WTGs during operation to productivity of agricultural land. ■ Noise from WTGs ■ Dust from construction activities ■ Concerns about impact of Project land acquisition on rice paddies as currently they hardly have sufficient rice for consumption ■ The Project must compensate for any impacts on land according to the laws ■ Concerns related to influx <p>CDP Needs and priority</p> <ul style="list-style-type: none"> ■ Healthcare facility improvement and medical supplies and transportation to healthcare facility ■ Support plantation of fruit trees such as pomelo, rambutan, etc. ■ Support on education supplies and sport equipment ■ The Project to ensure that the roads are not too dangerous where villagers can also use it ■ Water supply and Irrigation system as the village experiences water shortage during dry season ■ Village office with computers ■ The Project to comply with village's Heet-Kong ■ Scholarship for higher education ■ Livelihood/Occupation/Vocational training ■ Project employment ■ Support on livestock raising and agriculture ■ Water supply and irrigation system ■ The Project to provide support on village office ■ The Project to provide assistance to poor households 	<p>Impacts to livelihoods and land use, including rice paddies, is included in Section 8.5.3 of the ESIA Report. This includes proposed mitigation measures.</p> <p>Land and economic displacement is assessed in Section 8.5.3 of the ESIA Report. This includes proposed mitigation measures. The Project will ensure all required processes for land acquisition are conducted in conjunction with relevant stakeholders.</p> <p>Noise impacts (including from turbines) are assessed in Section 8.3.7 and Section 8.5.7 of the ESIA Report. This includes proposed mitigation measures.</p> <p>Impacts associated with shadow flickers are assessed in Section 8.3.8 of the ESIA report. This includes proposed mitigation measures.</p> <p>WTGs have been relocated to ensure distance from villages. The nearest WTG to the village is located more than 500 m from the village</p> <p>Impacts were minimized by reducing the number of WTGs under a new design and avoiding houses and minimize impacts to paddy field.</p> <p>Impacts on surface water quality is assessed in Section 8.3.6 of the ESIA report. This includes proposed mitigation measures. Impacts on air quality are assessed in Section 8.3.4 of the ESIA report. This includes proposed mitigation measures.</p> <p>Shadow flicker impacts are assessed in Section 8.3.10 and Section 8.5.7 of the ESIA Report. This includes proposed mitigation measures.</p> <p>Community Health and safety impacts are assessed in Section 8.5.4 of the ESIA report. This</p>

Date	Location	Issues and Concerns	Considerations in the ESIA or Project Design/Actions Taken
			<p>includes proposed mitigation measures.</p> <p>RP and Livelihood restoration plan have been prepared to minimize impacts to affected people from Project land acquisition.</p> <p>CEGDP has been prepared to reflect community needs.</p> <p>CHMP has been developed to ensure Project's compliance to villages' Heet-Kong.</p> <p>Village heads will be informed prior to construction, this commitment is included in Section 9 (ESMP) of the ESIA Report</p>

Grievance Mechanism

The main objective of the grievance mechanism is:

- To address grievances promptly and effectively, in a transparent manner resulting in fair, effective and lasting outcomes.
- To provide a grievance management process that is culturally appropriate and readily accessible to all Project affected parties.
- To build trust as an integral component of the Project community relations activities.
- To enable a systematic identification of emerging issues facilitating correcting actions and pre-emptive engagement.

For resettlement related grievances, a Joint Committee for Resettlement (JCR) will be established to facilitate resolution at Level 2 and Level 3, as soon as possible during the Pre-Construction phase and consist of (as relevant to each level): the Community Relations Manager, Village Heads, Land Department representative, the District/Provincial Administration representatives relevant to land acquisition and resettlement, and a local NGO representative who has extensive knowledge on land dispute facilitation.

As per the Compensation and Resettlement Decree No. 84/GOL, dated 5 April 2016 (Article 68 -73) and the Decree on Environmental Impact Assessment No. 21/GOL, dated 31 January 2019 (Article 23-25), if the grievance cannot be resolved at level 3, the PAPs can appeal to the court according to the judicial process (level 4). It is noted that although the Project recognises and accepts that complainants may go directly to this level of resolution.

The Project Grievance Resolution System

Level 1: This level of resolution is for complaints that can be resolved by the Project Management Unit (PMU) and Village Committee directly. This level of complaint can be dealt with through immediate corrective action.

Level 2: If the complainants are not satisfied with the resolution proposed by the PMU and the Village Committee, they can appeal to the District Committee.

Level 3: If the complainants do not accept the resolution or decision at level 2, they can appeal to the Provincial Committee.

Level 4: If the complainants do not accept the resolution or decision at level 3 then they can take legal action at the District Court. The Project does not control this level of resolution but acknowledges this process is available to stakeholders. It also acknowledges that some complainants may choose to proceed directly to this level of resolution.

Institutional Arrangement

The following table summarizes institutional arrangement and its responsibilities related to planning and implementation of the RP.

Organization/Institution	Responsibility
<p>The Compensation Committee The GOL body with the overall responsibility for supervising and ensuring the correct and timely implementation of the Company's obligations under Clause 4.15 of the CA</p>	<ul style="list-style-type: none"> ■ supervise, guide and manage the planning and implementation of the Compensation Plan by the Company and the Compensation Management Unit (CMU); ■ consider and promptly respond to requests relating to compensations activities; ■ approve and periodically review the implementation plan for the Compensation Plan; ■ periodically report to the GOL through its implementing body and technical advisory body on the implementation of the Compensation Plan; ■ issue decisions or notices as references for the implementation of the Compensation Plan; ■ provide information to PAPs and other stakeholders concerning the development project, benefits and impacts, progress in the implementation of the Compensation Plan throughout the implementation of the Project; ■ exercise rights and perform other duties as assigned by GOL.
<p>Compensation Management Unit (CMU)</p>	<ul style="list-style-type: none"> ■ serve as the secretariat and executive body of the Compensation Committee in co-ordination with all parties of state organizations and the Company with regard to the implementation of the Compensation Plan; ■ serve as the core co-ordination body with the relevant parties both at central and local levels and the Company in the implementation of the obligations of the Company relating to compensation; ■ plan detailed activities and budget for the implementation of Compensation Plan by the committee in coordination with the Company for the approval of the Compensation Committee; and ■ supervise the performance of the District Working Group.
<p>District Working Groups (DWG) The Compensation Committee shall establish a DWG for Dak Cheung and Sanxay District separately. The members of the DWG shall be district officials from agencies concerned at the district level.</p>	<ul style="list-style-type: none"> ■ to act as a focal point of contact with the CMU; ■ to supervise compensation relating to the relevant district; ■ to provide guidance and information to PAPs from that village; and ■ to undertake other matters as may be specified by GOL.

Organization/Institution	Responsibility
<p>The Compensation Management Office (CMO)</p> <p>The Company shall establish and maintain the CMO in accordance with Clause 1.4 of the CA.</p>	<p>The offices of the CMO shall be located at the Site.</p> <ul style="list-style-type: none"> ■ The CMO shall be responsible for coordinating, managing and monitoring the practical implementation of compensation activities undertaken pursuant to this Annex and ensuring the fulfilment by the Company of its obligations. ■ The Company shall continue to fund the CMO for as long as the Compensation Committee and its dependent bodies remain in place.
<p>Environmental and Social Management Office (ESMO)</p> <p>The Company shall within 90 days of the Effective Date establish the ESMO to act as the focal point for the implementation by the Company of its environmental and social obligations throughout the Construction Period and the Operation Period</p>	<ul style="list-style-type: none"> ■ ensure compliance with the provisions of this Annex and any related licences or permits to which the Company is obliged; ■ ensure that the environmental and social obligations of the Company are properly reflected in contracts and subcontracts; ■ review and clear reports, plans and other documents submitted by the EPC Contractor and other Contractors related to this Annex; ■ perform site inspections and undertake monitoring of environmental and social performance relating to this Annex and prepare and circulate relevant reports to internal and external stakeholders; ■ liaise and cooperate with relevant GOL authorities and participate in joint inspections; ■ document the implementation of all activities undertaken pursuant to this Annex to ensure compliance with its provisions; ■ create and implement programs for all the budgeting and financial reporting for the operation of the ESMO; and ■ undertake or arrange such internal and external audits as may be necessary to comply with the related requirements of the Concession Agreement, Annex C, and the relevant procedures of the Company.

Monitoring and Evaluation Framework

Internal Monitoring

IEAD will monitor and measure the progress of implementation of the RP in collaboration with the Compensation Management Office (CMO), District Working Group (DWG), Compensation Management Unit (CMU), and other relevant local authority to ensure full compliance with its obligations and will notify any breaches or non-compliance. IEAD is responsible for conducting the internal monitoring of RP implementation and submit quarterly progress report during construction and semi-annual progress report during operation to ADB and other Lenders including AIIB, DEG, FMO and JICA for supervision and disclosure. The progress reports will describe the progress of the implementation of the RP activities and any compliance issues and corrective actions. The progress reports should closely follow the resettlement monitoring indicators. In addition, it shall be ensured that any results of self-monitoring are not shared or released in any way to the public or any other entity, in exception for the public release of information.

External Monitoring

- **Monitoring by ADB and other Lenders:** The project involves significant involuntary resettlement impacts and is classified as Category A on involuntary resettlement. IEAD engaged qualified and experienced external experts who form part of the Lenders Environmental and Social Advisors who will periodically (i.e., quarterly monitoring and reporting during construction and semi-annual monitoring and reporting during operation for the first two years, thereafter annually) verify the monitoring information. The external experts will assess whether resettlement objectives have been met and whether the livelihoods and living standards have been restored or enhanced, including those of the non-titled displaced persons.
- **Monitoring and Inspection by MONRE:** The Ministry of Natural Resources and Environment (MONRE), acting through its Department of Environment (DOE) and Natural Resources and

Environment Inspection Office (NEIO), is the Environmental Oversight Body regarding the exercise of the GOL's rights and the performance of its duties pertaining to environmental and social issues as described in Annex C. The Annex C prescribes MONRE's responsibility as "*The Technical Environmental Oversight Body shall coordinate as appropriate with relevant departments of GOL for the performance of such monitoring activities.*"

Reporting

During the period from the first day of the month immediately after the commencement of the Construction period through and including the end of the Concession period, IEAD is required to conduct quarterly monitoring and reporting during construction and semi-annual monitoring and reporting during operation for the first two years, thereafter annually. The monitoring reports are readily disclosed on ADB website that include the progress in compensation payments, as well as other resettlement and livelihood restoration activities. The monitoring reports should be disclosed on IEAD's website as well. The relevant information in the monitoring reports should also be disclosed to the affected persons which at least includes implementation status of the RP, information on the compensation disbursement, land and asset acquisition and relocation progress, livelihood/income restoration progress and any information on benefit sharing, and any new-identified compliance issues and corrective action plan.

In addition to reporting to ADB and other Lenders and disclosure of monitoring reports on ADB and IEAD website, Annex C to the CA regulates that the Company shall regularly report to the Compensation Committee and the Implementing Agency on the status of the implementation of the Compensation Plan.

Mid-Program Review and Resettlement Completion Audit

The key objective of mid-program review completion audit by external valuation is to determine whether the Project's effort to restore the living standards and livelihood of the PAPs have been properly implemented. The audit should verify that all commitments in the RAP have been delivered. In addition, the audit should also evaluate if the mitigation measures proposed in the RAP contributed to desired effect. The socio-economic status of the affected population should be measured against the baseline conditions of the population before economic displacement.

Implementation Schedule and Budget

The estimated budget for implementation of the RP is USD XXXX which can be broken down into USD XXX for activities before COD and USD XXXX post-COD. These amounts are inclusive of contingencies of XXXXXX.

Budget	Pre-COD (USD)	Pose COD (USD)	Total (USD)
Compensation			
Livelihood restoration programs			
Compensation Committee/CMU/CMO and ESMO operation cost			
Total			
Contingencies			

Implementation schedule can be found on the next page, while a detailed schedule is outlined in Section 10 of this RP.

IEAD SUPPLEMENTARY E&S STUDY
Resettlement Plan

No.	Activity	2020				2021				2022				2023			
		Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4
1	Established compensation committee for Dak Cheung District, Sekong Province				26 Nov												
2	Established compensation committee for Sanxay District, Attapeu Province								7 Mar								
3	Agreement of unit rates for compensation for 600 MW wind farm project and 500 kV transmission line to be developed by IEAD in Dak Cheung District, Sekong Province									16 May							
4	Socio-economic household survey was conducted for ESIA preparation Preparation of RP							Nov- Dec Dec									
5	The Committee conducts a market survey of affected assets and consults with project affected villages regarding unit compensation rates for various types of assets.								30 Mar	12 May							
6	Detailed measurement survey 17 May to 21 June 2022 in Dak Cheung District 14-18 June 2022 in Sanxay District July 2022– revise access route to avoid permanent buildings, cemeteries, and main substation									May- July	May- July						
7	Revised EIA (2022) Approval											28 July					
8	Draft RP											Aug					
9	Formal issuance of cut-off date											End Aug					
10	Disclosure of the compensation policy and final unit compensation rates by the relevant government authority with support from the Project Developer											Mid Sep					
11	Final RP											16 Sep					
12	Carry out consultation with the PAPs on the compensation agreement form with all PAPs to inform them on the affected asset and compensation cost. After agreement, the PAPs will											Mid Sep	Mid Nov				

IEAD SUPPLEMENTARY E&S STUDY
Resettlement Plan

No.	Activity	2020				2021				2022				2023			
		Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4
	sign the compensation form and certified by village heads and the Committee																
13	Land for land compensation											Mid	Mid				
14	Cash compensation payment											Sep	Oct				
15	Report to the relevant committees on the completion of compensation											Mid	Mid				
16	Implementation of livelihood restoration programs*											Sep	Dec				
17	Monitoring and reporting**												Dec				
18	Construction																
19	Signing of the CA with GOL																
20	Set up of grievance committee																
21	COD****																

*Implementation of livelihood will continue throughout the Project life

**Monitoring and reporting will be conducted quarterly during construction, semi-annually during the first two years of operation, thereafter annually.

***Activities in October will be site preparation, design, etc. However, IEAD will ensure compensation disbursement prior to any land-related construction activities.

****COD is expected End December 2025

1 INTRODUCTION

The Resettlement Plan is one of several documents prepared by Impact Energy Asia Development (IEAD and/or the Project) for the Lao Monsoon Wind Farm to meet the requirements of its Concession Agreement (CA) and its reference ring standards, including those of the International Finance Corporation (IFC) and the Asian Development Bank (ADB). These documents include assessments and measures to address specific environmental and social impacts of the Project and will be disclosed to affected people and to the public as required by the Project's CA and in compliance with lenders safeguards requirements. Further details on information disclosure are included in Section 7.

This Resettlement Plan (RP) is prepared to address the social and economic impacts on affected households who will experience loss of land and/or facing loss of sources of livelihoods due to land acquisition for the development of wind farm components and other ancillary facilities. These include wind turbine foundations, laydown areas, internal roads, internal transmission line within the Project boundary and ancillary facilities including workers' accommodation, batching plants, and improvement of access roads. The RP provides details of the mitigation measures requiring compensation for losses incurred during preparation, construction and operation of the Project. Eligibility and entitlements for compensation are described in Section 5, and strategy to address livelihoods restoration in Section 6. The objective of the RP is to ensure that appropriate compensation is provided, the livelihoods of all displaced persons are improved or at least restored to the pre-project levels and the standards of living of the displaced poor and other vulnerable groups are improved. The RP elaborates on institutional arrangements (Section 8), monitoring and reporting framework (Section 10), budget, and time-bound implementation schedule (Section 9).

According to the current Project design (EnVision) and the detailed measurement survey (DMS), there will be no physical displacement induced by the Project. This RP will be updated in the future to incorporate the change in impacts, if any.

1.1 Project Background

The Project Developer (Impact Energy Asia Development/IEAD) signed a Memorandum of Understanding (MoU) with the Government of Lao PDR in 2011 to explore the possibility of developing a wind power project. Following the initial feasibility study, IEAD signed a Project Development Agreement (PDA) with the Government of Lao PDR on August 7, 2015 for the development of a wind power project with a capacity of 600 MW. A Concession Agreement (CA) was negotiated with the Government of Lao PDR (GoL) and expected to be signed early September. The concession period is for 25 years from the commercial operations date (COD). Construction is estimated to take approximately 30 months and COD is anticipated to be December 2025.

The Project will be designed, implemented, maintained, and operated by IEAD on a build, operate, transfer agreement with GoL. with an installed capacity of approximately 600 MW. It will be located in two districts and provinces of the Lao People's Democratic Republic (Lao PDR), Dak Cheung District of Sekong Province and Sanxay District of Attapeu Province. The development also includes a 22 km 500 kilovolt (kV) transmission line, which connects to the grid in Vietnam ("the Project"). The Project location including the wind farm and transmission line is provided in **Figure 1-2**.

An Environment Impact Assessment (EIA) study for local Lao PDR permitting requirements was first conducted in June 2014 (EIA 2014), with updates in 2018 (EIA 2018), and September 2020 (EIA 2020), respectively. Innogreen Engineering Co., Ltd (Innogreen) on behalf of the Project Proponent has submitted the revised local EIA (2022) due to significant changes in the Project design to MONRE. MONRE's approval for revised EIA 2022 by end of July or early August. A draft Environmental and Social Impact Assessment (ESIA) was prepared and publicly disclosed on the ADB's website in May 2022 in compliance with its safeguard requirements.

1.2 Project Description

The key features and location of the Project are provided in **Table 1.1** and **Figure 1-1**.

Table 1.1: Project Key Features

Key Features	Description
Project Owner	Impact Energy Asia Development (IEAD)
Project Location	Dak Cheung District of Sekong Province and Sanxay District of Attapeu Province in Lao PDR
Project Area	70,828 hectares ²
Project Background	IEAD signed a Memorandum of Understanding (MoU) with the Government of Lao PDR in 2011 to explore the possibility of developing a wind power project. Following the initial feasibility study, IEAD signed a Project Development Agreement (PDA) with the Government of Lao PDR on August 7, 2015 for the development of a wind power project with a capacity of 600 MW. The concession period for the Project is understood to be 25 years from the commercial operations date (COD). Construction is estimated to take approximately 30 months.
Approval of EIA	An Environment Impact Assessment (EIA) study for local Lao PDR permitting requirements was conducted in June 2014 (EIA 2014), and a second and third revision was conducted in May 2018 (EIA 2018), and September 2020 (EIA 2020), respectively. Innogreen Engineering Co., Ltd (Innogreen) on behalf of the Project Proponent has submitted the revised local EIA (2022) due to significant changes in the Project design to MONRE. MONRE approved the revised EIA on 28 July 2022.
Project Key Components	<ul style="list-style-type: none"> ■ 133 wind turbines (Envision EN 171-4.5 turbines) with total capacity of 600 MW, hub height is 110 m. ■ 22 km of 500 kV overhead transmission line in Lao PDR and 500 kV Thanh My substation. The Right of Way (ROW) of the transmission line is 60 m (30 m on each side from the centre line) ■ Underground and overhead 35 kV and 115 kV transmission cables to transfer electricity to the substation within the development area. The Right of Way (ROW) of 35 kV and 115 kV transmission lines are 15 m (12.5 m on each side from the centre line) and 40 m (20 m on each side from the centre line) respectively. ■ Internal 33/115kV substations ■ 500kV substation ■ Internal road, with the pavement width of 5.0 m; the subgrade width of 6.0 m; designed speed of 15 km/h, will be newly built to connect the access road and all turbines for construction and operation of the Project. ■ 108 km access road (highway road No. 16 B) from the municipality of Sekong Province to Dak Cheung District to the Project Site. Renovation work of road no.16B has been completed and it is entirely paved. It is understood that the width of this road is sufficient for transportation of construction equipment. ■ Other ancillary facilities including batching plants, laydown area, spoil disposal sites and worker accommodations
Project Affected Villages	<p>Dak Cheung District, Sekong Province</p> <ul style="list-style-type: none"> ■ 22 villages will be directly impacted by Project land acquisition for wind turbine facilities, transmission line facilities and access and internal roads. A total of 378 households will be affected, of which 2 households will be permanently affected, 168 households will be temporarily affected, and 170 households will be permanently and temporarily affected. ■ 2 villages will be indirectly impacted (individuals and households whose land are not impacted by Project land acquisition, but their livelihood may depend on affected lands and/or have restricted access to natural resources due to the Project footprint). <p>Sanxay District, Attapeu Province</p> <ul style="list-style-type: none"> ■ 5 villages will be impacted by wind turbine facilities, transmission line facilities and access roads. A total of 36 households will be affected, of which 3 households will be

² It should be noted that the Projects' concession area will be the land required to install and construct project facilities and ROW for related transmission line, which is around 1,050 ha.

Key Features	Description
	<p>permanently affected, 2 households will be temporarily affected, and 33 households will be permanently and temporarily affected.</p> <ul style="list-style-type: none"> 3 villages will be indirectly impacted (individuals and households whose land are not impacted by Project land acquisition, but their livelihood may depend on affected lands and/or have restricted access to natural resources due to the Project footprint or they may be impacted by Project activities). <p>Refer to Figure 1-2 and Figure 1-3 for the location of affected villages in relations to Project facilities.</p>

Key activities to be conducted over the life of the Project are outlined in **Table 1.2**.

Table 1.2: Project Activities

Phase	Details	Schedule
Pre-construction Phase	<ul style="list-style-type: none"> All work will be conducted in accordance with the detailed master construction schedule, provided by the EPC Contractor. Prior to commencement of work, all contractors would be required to provide detailed site specific plans. No land take, or dispossession of assets and no ground clearance or project activities shall take place unless consent has been obtained from affected ethnic communities and land acquisition and compensation activities are completed for the project component following a land acquisition and resettlement plan and Indigenous Peoples Plan which meets the International regulatory framework. 	-
Construction Phase	<ul style="list-style-type: none"> The EPC Contractor will prepare the site for construction, erection, and installation of the Project facilities, which will include earthwork activities, such as site clearing and soil excavation. The construction, design, and testing will be undertaken in accordance with the appropriate construction standards and the Laos' Decision on National Environmental Standards (No. 81/GOV, 2017). 	30 months
Operation, and Maintenance Phase	<ul style="list-style-type: none"> After the completion of the installation of wind turbines and the arrangement for the commencement of the production of electricity, there will be the officer to control, supervise, and maintain the wind turbine system in accordance with the agreement made with the manufacturer of the turbine. The frequency of the maintenance of 1 turbine generating electricity is approximately 2 times per year in order to verify the integrity of the hydraulic system, lubricants system, transformer and blade. 	25 years
Decommissioning Phase	<ul style="list-style-type: none"> No information is currently available on the decommissioning of the Project. It is noted that decommissioning will need to be conducted under the prevailing laws and standards of Lao at the time of decommissioning activities 	-

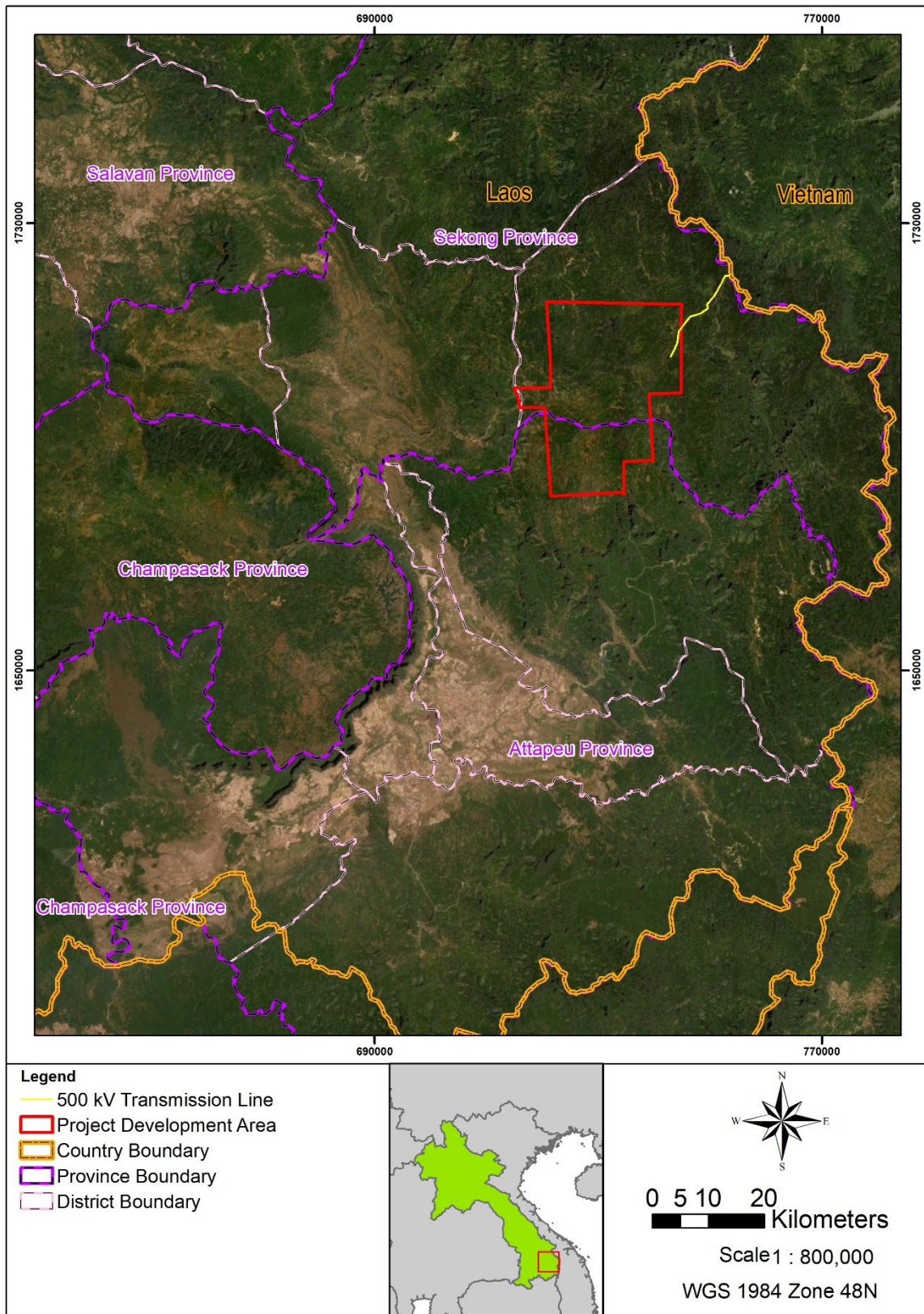
In addition to **Figure 1-2** and **Figure 1-3** whereby overall Project layout in relation to villages is presented, **Table 1.3** presents village specific impacts.

Table 1.3: Village Specific Impacts

Villages	Potential Impacts	Map Reference
18 villages in Dak Cheung District and 4 villages in Sanxay District	Land acquisition and impacts to livelihood	Figure 1-2
Dak Terb, Dak Cheung and Dak Bong	Impacts of labor influx during construction phase as these villages are located near proposed workers' accommodation	Figure 1-3
Dak Dor, Dak Nong, Dak Samor and Dak Yok	Impacts from of the WTG, transmission line and internal roads construction	Figure 1-4

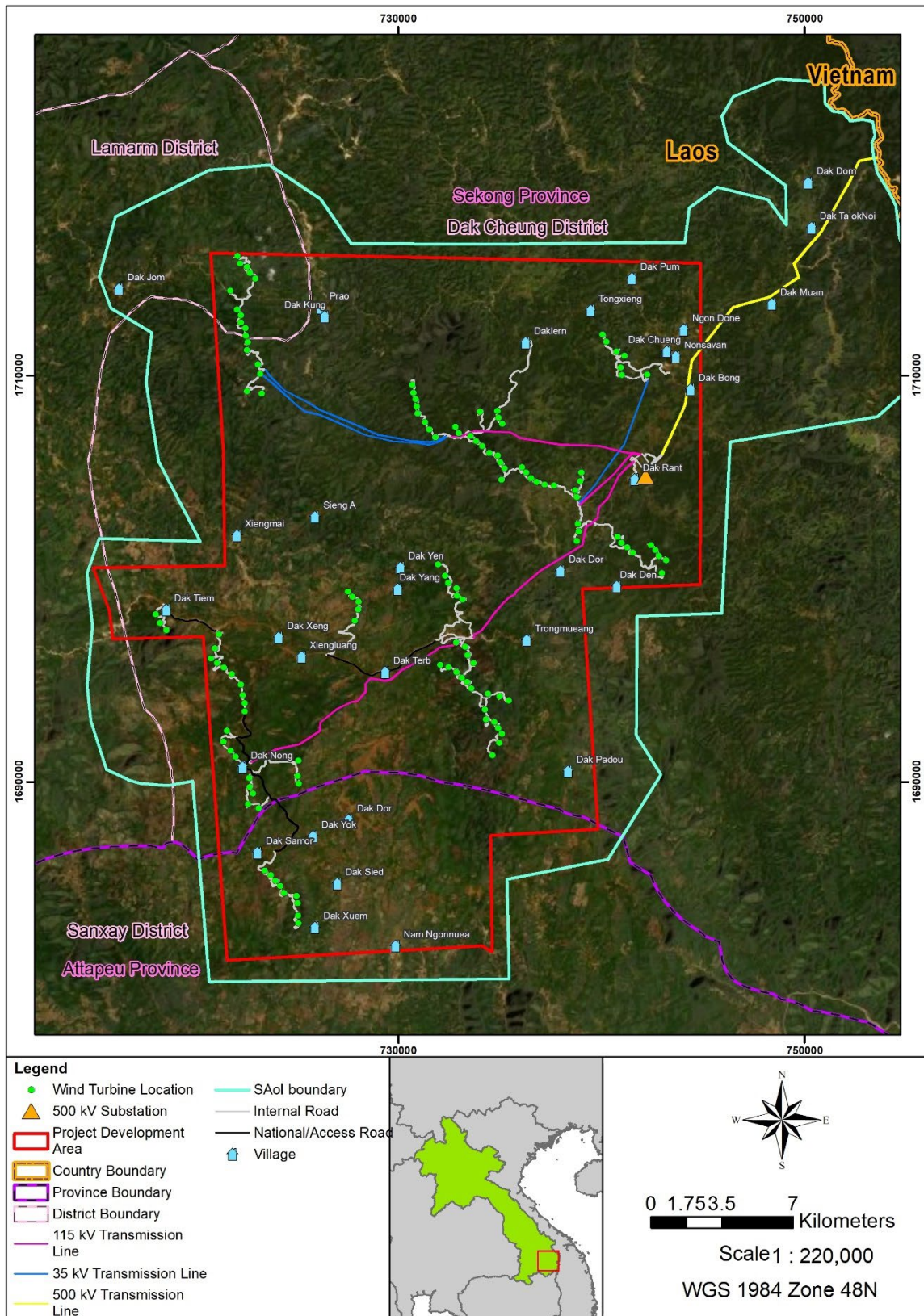
Villages	Potential Impacts	Map Reference
	Shadow flicker impacts on Dak Nong village	
Dak Rant, Dak Cheung, Ngon Done, Dak Muan, Dak Bong	Impacts on Dak Bong Cemetery during the construction of overhead transmission line Land acquisition and construction of 500 kV substation in Dak Rant village Impacts from land acquisition and construction of 500 kV transmission line to Dak Muan village	Figure 1-5
Dak Tiem, Dak Xeng, Xiengluang, Dak Turb	Shadow flicker impacts on Dak Tiem vilalge	Figure 1-6
Proa, Dak Kung and Dak Lern Villages	Impacts of the WTG, T/L and internal roads construction to intangible cultural heritage in Phou Koungking Mountain Impacts of the access roads to the Phou Koungking Mountain during Operations Phase	Figure 1-7

Figure 1-1: Project Location



Source: IEAD, 2020 (modified by ERM)

Figure 1-2: Affected Village Locations and Permanent Facilities



Source: Innogreen/ERM, 2021, It should be noted that the administrative boundary provided from GIS data is inaccurate. The GIS Data shows that Dak Jom Village is located within Lamarn District; however, based on site visit and engagement with local authorities and villagers it is noted that Dak Jom village is located in and reports to Dak Cheung District.

Figure 1-3: Affected Village Locations and Ancillary Facilities

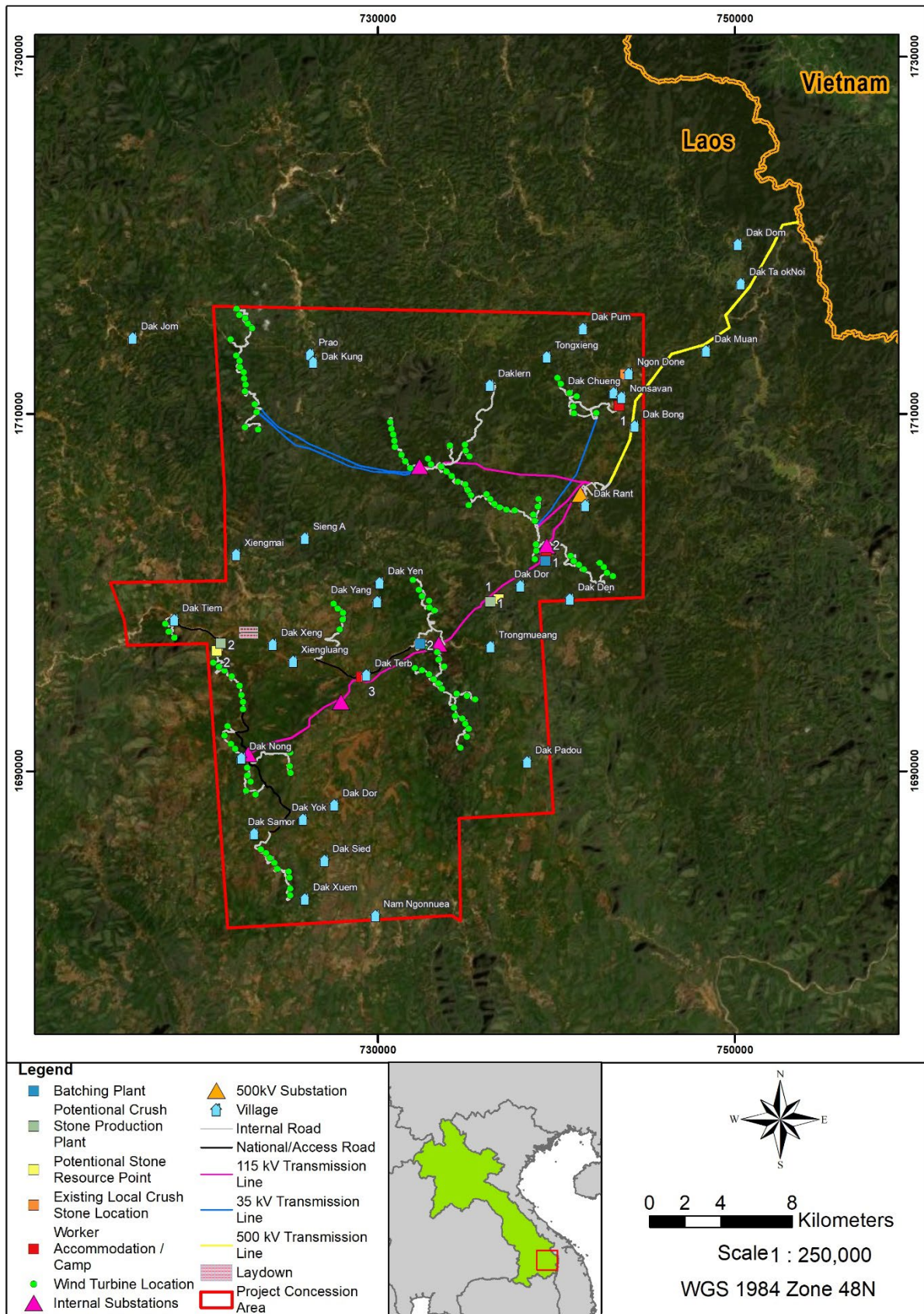


Figure 1-4: Dak Dor, Dak Nong, Dak Samor and Dak Yok

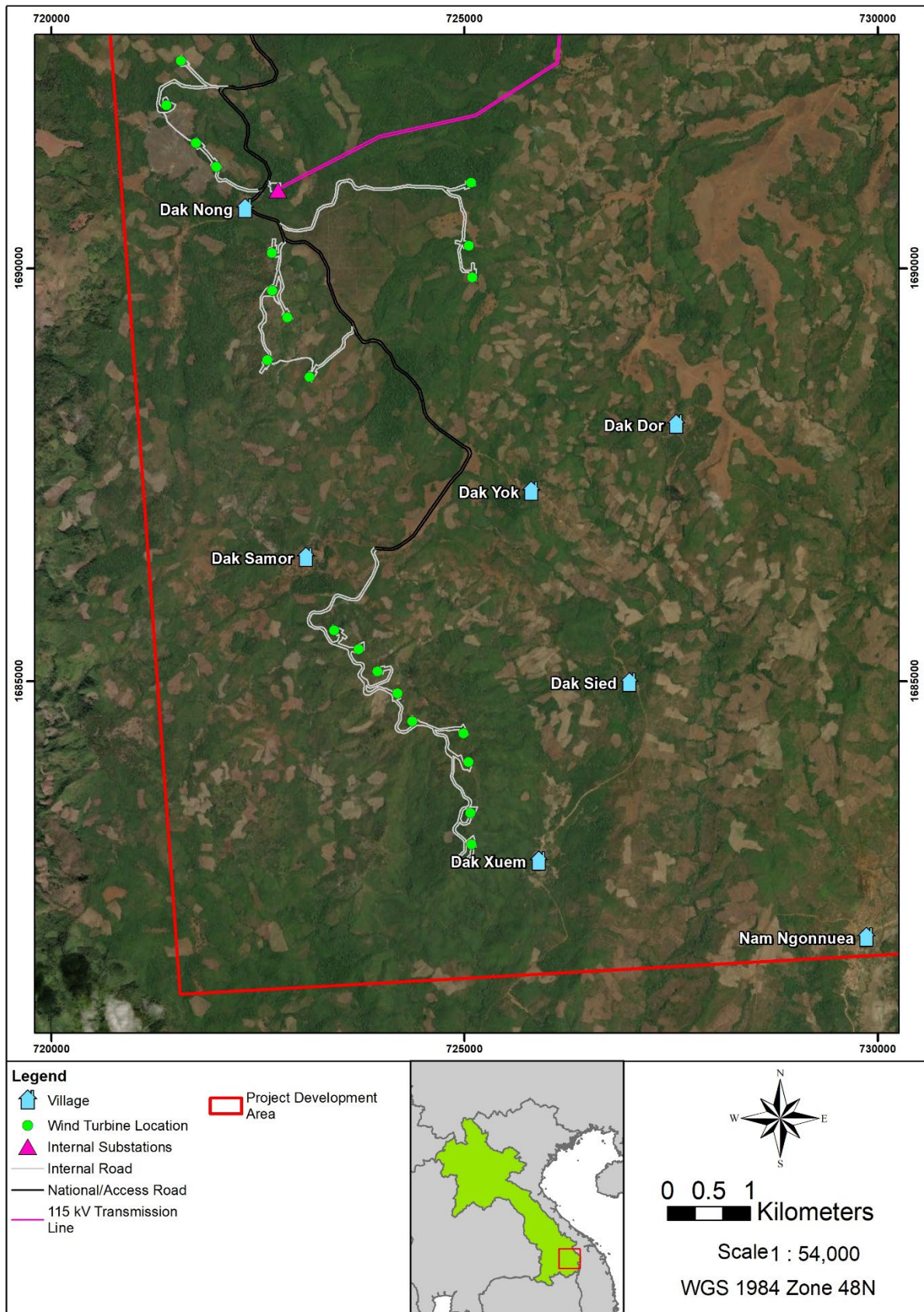


Figure 1-5: Dak Rant, Dak Cheung, Ngon Done, Dak Muan, Dak Bong

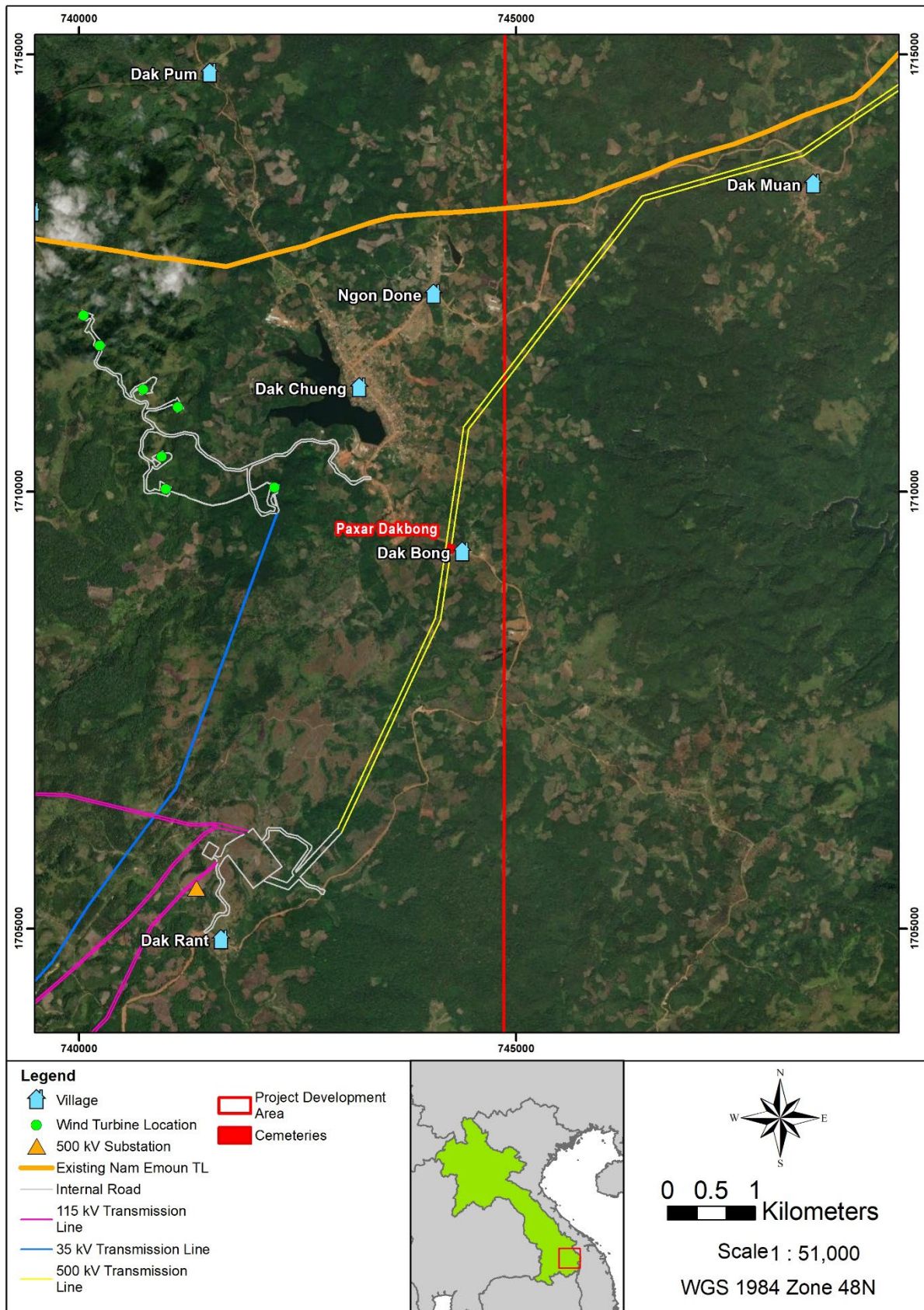


Figure 1-6: DakTiem, Dak Xeng, Xiengluang, Dak Turb

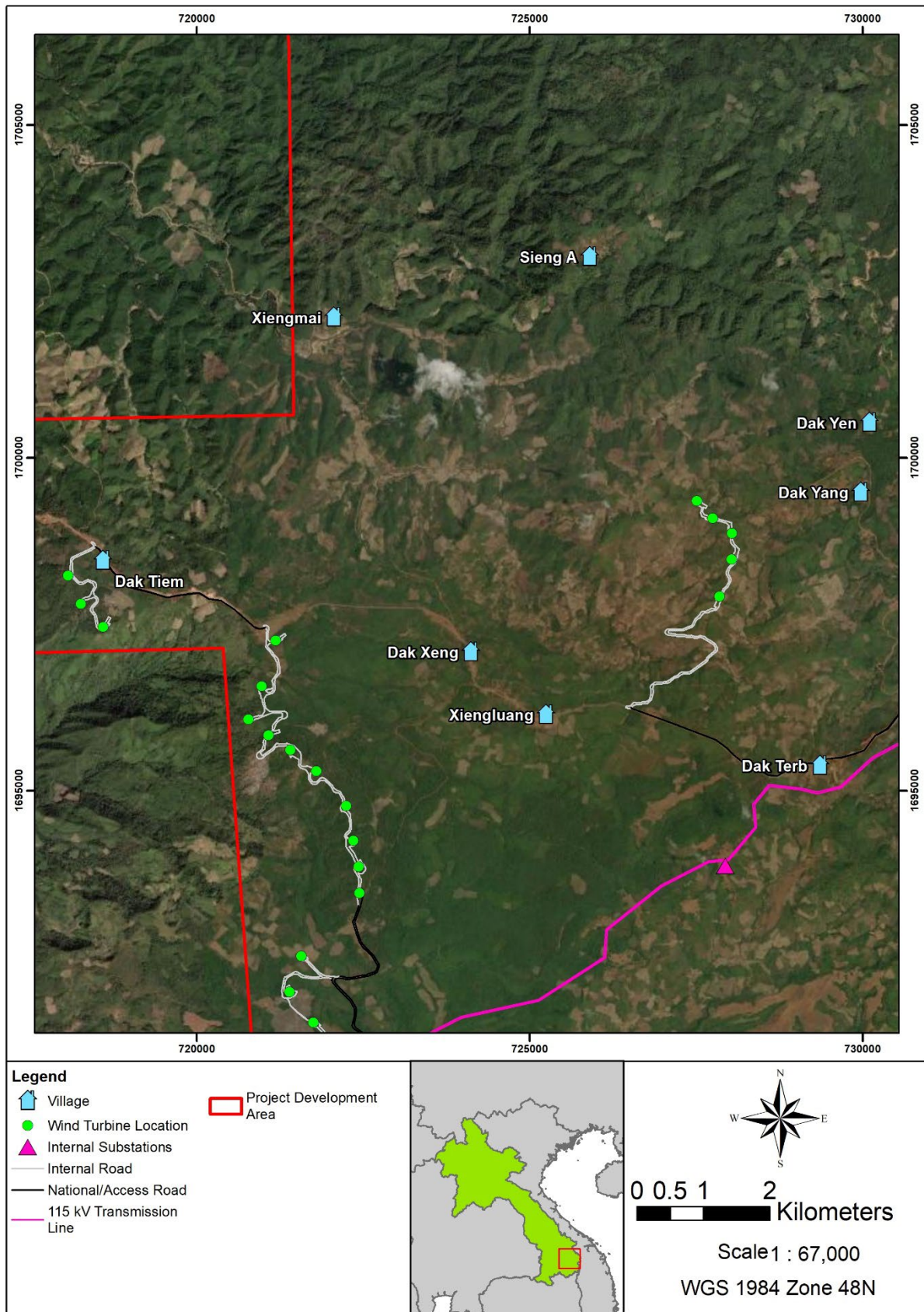
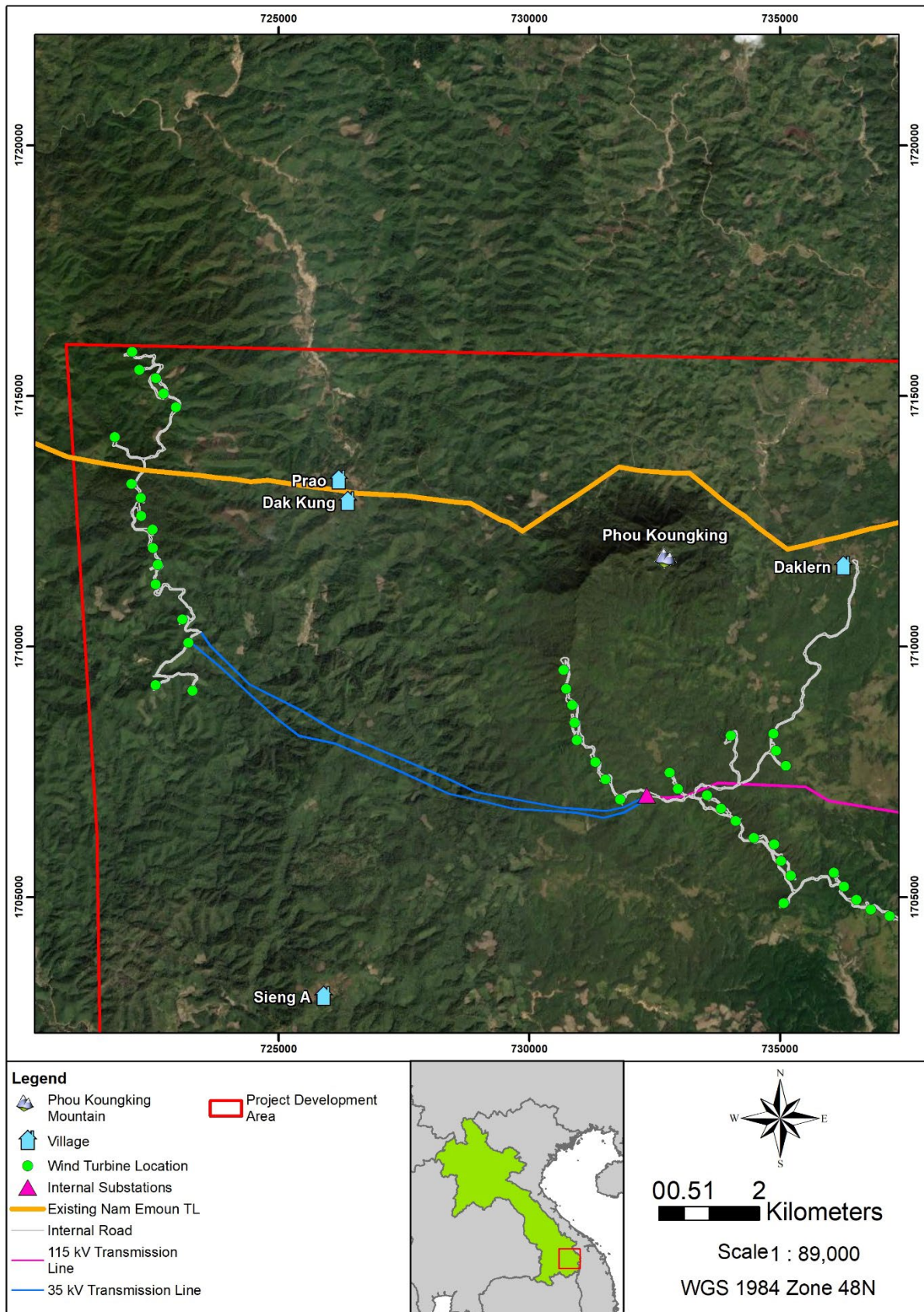


Figure 1-7: Proa, Dak Kung and Dak Lern Villages



1.3 Alternative Considerations

1.3.1 Design Change to Reduce Project Impacts

The Project layout has been optimised to avoid physical displacement and minimize economic displacement arising from involuntary resettlement impact from 240 wind turbine generators (WTGs) to the current 133 WTGs. The following optimization and refining have been undertaken:

- IEAD has been considering turbine layout based on turbine technology available since 2014. Total 240 WTGs (x2.5MW) with 139m hub height and 120m rotor diameter, was considered based on wind turbine technology available in 2014-2015. The layout was included in the feasibility study that was approved by Government of Laos and it was included in the first draft of local EIA submitted to the Government of Laos.

Following this, a total of 174 WTGs (x3.45MW) with 157m hub height and 136m rotor diameter was selected in 2016 due to changes in the Project design.

- In 2020, a total of 133 WTGs (x5.3MW) with 141m hub height and 158m rotor diameter was considered. Larger WTGs were proposed to reduce the number of turbine units and reduce the ancillary infrastructure (i.e. road and civil foundation). However, the solution was considered infeasible and could not achieve targeted energy yields.
- In 2021, according to new technology available and achieving targeted economic return such as environment for physical& biodiversity, health, social aspects, the layout included a total of 148 WTGs (Goldwind's technology) was considered.
- In 2022, IEAD has changed layout from Goldwind's technology (148 turbines) to Envision's technology (133 turbines). Envision layout has reduced 15 turbines and 124 turbines out of the 133 turbines are in the same area. Only 9 turbines are planned to be relocated to Dak Nong Village, which used to be the location for the 115kV transmission line and access road for Goldwind layout. The Goldwind and Envision's layout comparison is shown in **Figure 1-8**. Land cover data for comparing Goldwind and Envision's layout from secondary data sources for protected and key biodiversity areas and from the Regional Land Cover Monitoring System (RLCMS) that was developed by SERVIR-Mekong. This data was extrapolated to calculate the land cover in terms of land use categories. This data is provided in **Table 1.4**.

Table 1.4: Land Cover for Turbine Layout

Land Cover Category	Goldwind	Envision
	Area (sq.m.)	Area (sq.m.)
Agriculture/Aquaculture	1,963.50	0
Forest	253,290.81	239,546.27
Key Biodiversity Area	35,342.92	21,598.45
Protected Area	0	0
Shrub-land	0	0
Urban and Built Up	0	0
TOTAL	290,597.23	261,144.72

Source: RLCMS - (<https://www.landcovermapping.org/en/landcover/#>)

- Previously, Goldwind's technology (148 turbines) impacted a total of 246 HHs with a total loss of 130.47 ha of agricultural land (5.4 ha permanently affected and 125.07 temporarily affected) and 593.03 ha of NTFP collection area (153.05 ha permanently affected and 439.98 ha temporary affected) and overlap with 5 cemeteries and Phou Koungking Mountain.

- The layout optimisation to Envision's technology (133 turbines) has resulted in 378 affected HHs, 185.83 ha of agricultural land loss (29.31 ha permanently affected and 156.53 ha of temporarily affected), 535.48 ha NTFP collection area loss (150.79 ha permanently affected and 384.69 ha temporarily affected), 608.93 ha of communal land loss (160.37 ha permanently affected and 448.56 ha temporarily affected) and Phou Koungking Mountain. It is noted by the site team (Innogreen) that increased agricultural activities were observed during DMS survey in May – June 2022 compared to November – December 2021 when the asset registration survey was conducted. This may contribute to increased number of affected HHs and area of impacts despite the number of WTGs have been reduced from 148 to 133 WTGs.

Envision layout also avoids impacts to all cemeteries; however, overhead transmission line will pass over Dak Bong cemetery. It is noted that the transmission line is 70 m above the ground and no physical impacts (e.g. land clearance, earthwork, etc.) will be made on the Dak Bong cemetery area. The consultation with Dak Bong village on 21 July 2022 suggested that cutting of trees within ROW in cemetery area to maintain the tree height under 3 m³ is allowed; however, the Project is required to provide budget for the village to prepare and perform specific rituals to seek permission from spirits for such activities.

In addition, through the ESIA process, re-routing of facilities has been conducted to reduce impacts on environmental and social receptors, this has included:

- Relocating WTGs to avoid significant shadow flicker and noise impacts (Dak Yan and Dak Cheung Village) – WTGs moved from approximately 200m from village to over 1 km.

1.3.2 Alternatives on Transmission Line Route

1.3.2.1 Alternative Sub-Station Locations

- **Option 1:** The original plan for the transmission line was to connect the Project via a 150 km 230kV transmission line to the Ban Lak 25 substation in in Pakse, Champasak Province. This routing intersects with two Key Biodiversity Areas (KBAs) (as shown in *Error! Reference source not found.*). The plan was amended in order to connect to a 500 kV station of Vietnam (Thanh My Station) with an overall length of 66 km (around 22 km in Laos). The Laos to Vietnam option reduces the overall potential for impacts from forest clearing, impacts to agricultural land and livelihoods, and potential increased physical displacement. Two alternative alignments for the 500 kV transmission line were considered in the local EIA (Innogreen, 2020).
- **Option 2:** The plan was amended in order to connect to a 500 kV station of Vietnam (Thanh My Station) with an overall length of 66 km (around 21 km in Laos). This option reduces the overall length of the transmission line from 150 km to 66 km. The Laos to Vietnam option reduces the overall land required as well as number of new towers to be constructed and access roads to be developed and therefore may lead to an overall reduced impacts from forest clearing, impacts to agricultural land and livelihoods, and potential increased physical displacement given the shorter distance.

Land cover data were available for the two transmission line route options and ROW from secondary data sources for protected and key biodiversity areas and from the Regional Land Cover Monitoring System (RLCMS) that was developed by SERVIR-Mekong. This data were extrapolated to calculate the land cover for the two optional routes in terms of land use categories. This data is provided in **Table 1.5**.

Option 2 was selected for the Project.

³ The Regulation on Safety for High Voltage Transmission Line and Substation, EDL/ 2013 prescribes that trees taller than 3 m are prohibited within the ROW area. Therefore, there is a need for the Project or relevant authority to maintain the height of trees under 3 m.

In addition, through the ESIA process, re-routing of facilities has been conducted to reduce impacts on environmental and social receptors, this has included:

- Re-routing of the access roads and internal transmission lines was conducted to avoid cemetery areas (all cemetery areas in the development area)
- In July 2022, transmission line route and 500 kV substation have been slightly adjusted to avoid four (4) permanent residential buildings.

Table 1.5: Land Cover for Alternative Transmission Line Routes

Land Cover Category	Option 1		Option 2	
	Area (sq.m.)	Length (km)	Area (sq.m.)	Length (km)
Agriculture/Aquaculture	134,241	2.27	0	0
Forest	6,648,337	110.87	1,122,854	18.69
Key Biodiversity Area	1,794,843	29.92	156,129	2.59
Protected Area	21,287	0.35	0	0
Shrub-land	158,224	2.63	0	0
Urban and Built Up	208,737	3.62	0	0
TOTAL	8,965,669	150	1,278,983	21

Source: RLCMS - (<https://www.landcovermapping.org/en/landcover/#>)

1.3.3 Routing of Selected Alternative

Two alternatives on the selected transmission line are provided in the EIA for the 500 kV transmission line (Innogreen, 2022). The option considered included:

- **Option 1:** The transmission line route has a length of 21 km and is comprised of a total of 47 towers. The topographic characteristics consist of few high mountainous areas and many plateau areas with favorable conditions for construction and maintenance, as route will extend along the side of the mountain and will facilitate the building of a shorter access road to the towers. This will reduce the overall area impacted by construction of access roads.

The transmission line route will pass through 5 km of protection forest and few area of production land of the people. There is no relocation of house and construction structure from the transmission line route. Only the cemetery area of Dak Bong Village is located in ROW that the project agrees to perform the ceremony in accordance with the custom and tradition of the village.

- **Option 2:** The transmission line route has a length of 20 km and is comprised of a total of 42 towers. The topographic characteristics of the transmission line route are similar to Option 1, however, near the Vietnam-Lao border, the transmission line will extend to the left side of the road, which is difficult to access and requires a longer access road.

The transmission line route will also pass through 5 km of protection forest but will pass through the production area of the people more than in option 1. Access roads shall be built to the tower foundations which pass through a large production area (coffee plantation) in Ngon Don Village. This route will also cause resettlement impact due to relocation of 2 households in Dak Bong Village from the transmission line route.

The two options are shown in **Figure 1-9**.

Although Option 1 is longer and requires more towers; the topographic conditions along the transmission line route of Option 1 are more convenient and will incur smaller access roads. Option 1 was therefore considered for the Project (as shown in **Figure 1-9**)

Efforts were made to avoid social impacts by - Efforts were also made to limit as far as possible environmental impacts by planning transmission lines alignments outside of Protected Areas (e.g. Dong Hua Sao PA to the west) and where possible, minimizing the impact to KBAs (Key Biodiversity Areas), although protected areas avoidance was a first priority. The original TL planned to the Ban Lak 25 substation for example, was amended to connect to Thanh My substation in Vietnam, reducing the overall length of the line considerably and the extent of natural forest clearing that would have been required, and avoiding impacts to two KBAs. Due to the number of KBAs and their spatial orientation and extent in the region, complete avoidance was often not possible; for example, the 500 kV TL route to Vietnam in the east, where the geographical extent and orientation of the Song-Thanh KBA is such that it did not allow for complete avoidance. However, efforts were made to reduce the length where some KBAs are crossed (e.g. Bolaven North-east) and in Phou Kathong. Also, the TL followed the existing road alignments in various areas which also serves to minimize further impact by locating infrastructure within already disturbed habitats/areas. Complete avoidance of Dakchueng Plateau KBA could not be realized fully for technical reasons pertaining to the feasibility of the project and positioning of WTGs where maximum power generation potential can be achieved to make the project viable. However, the TL length is restricted in this area and the number of WTGs is also limited in comparison to other clusters of WTGs in the project area. Furthermore, biodiversity enhancement through an offset intervention has been recommended for portions of Dakchueng Plateau KBA to restore degraded forest habitat in an effort to achieve at least a no net loss of biodiversity for the project, and this should sufficiently compensate for impacts of the project on the KBA habitats. This is discussed in **Section 8.4.3.7 'Residual Impacts to Biodiversity'** of the ESIA with further detail on the preliminary offset strategy contained in the initial **BAP: Biodiversity Action Plan**.

Figure 1-10 shows the transmission line in relations to the NTFP collection area.

Figure 1-8: Comparison of Goldwind (Old) and Envision (Current) Layouts

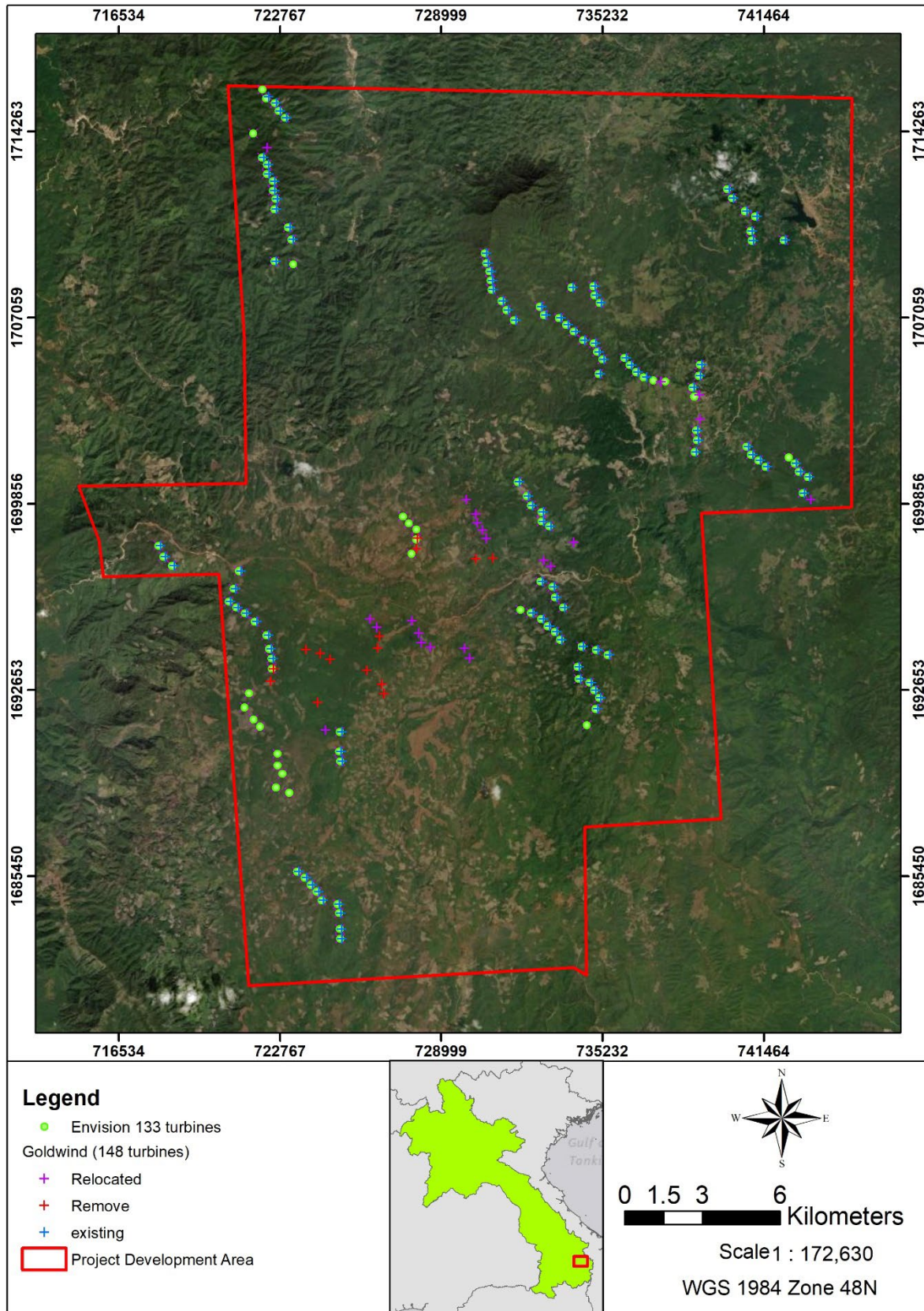


Figure 1-9: Alternative Transmission Line Routing to Sub-Stations

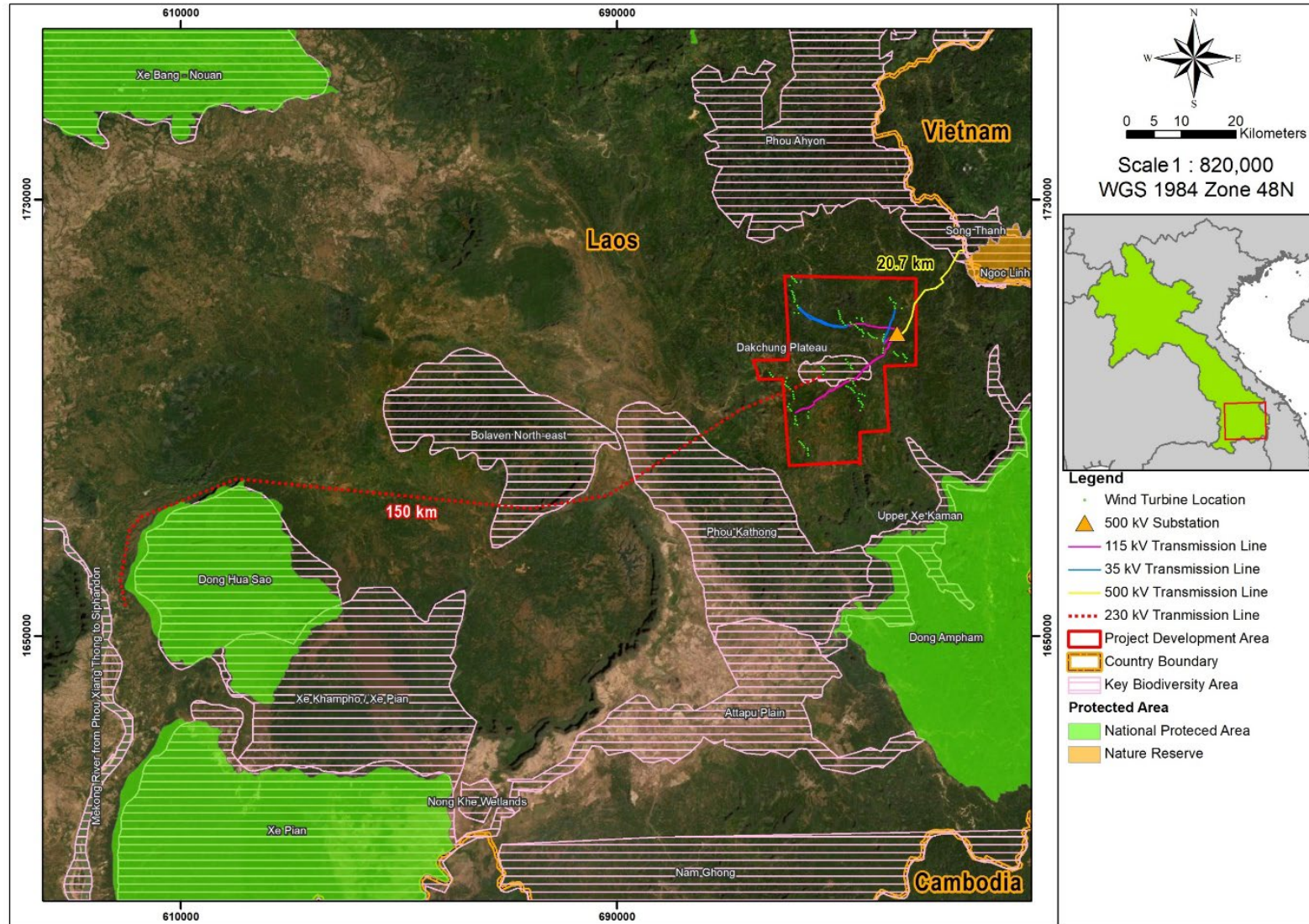
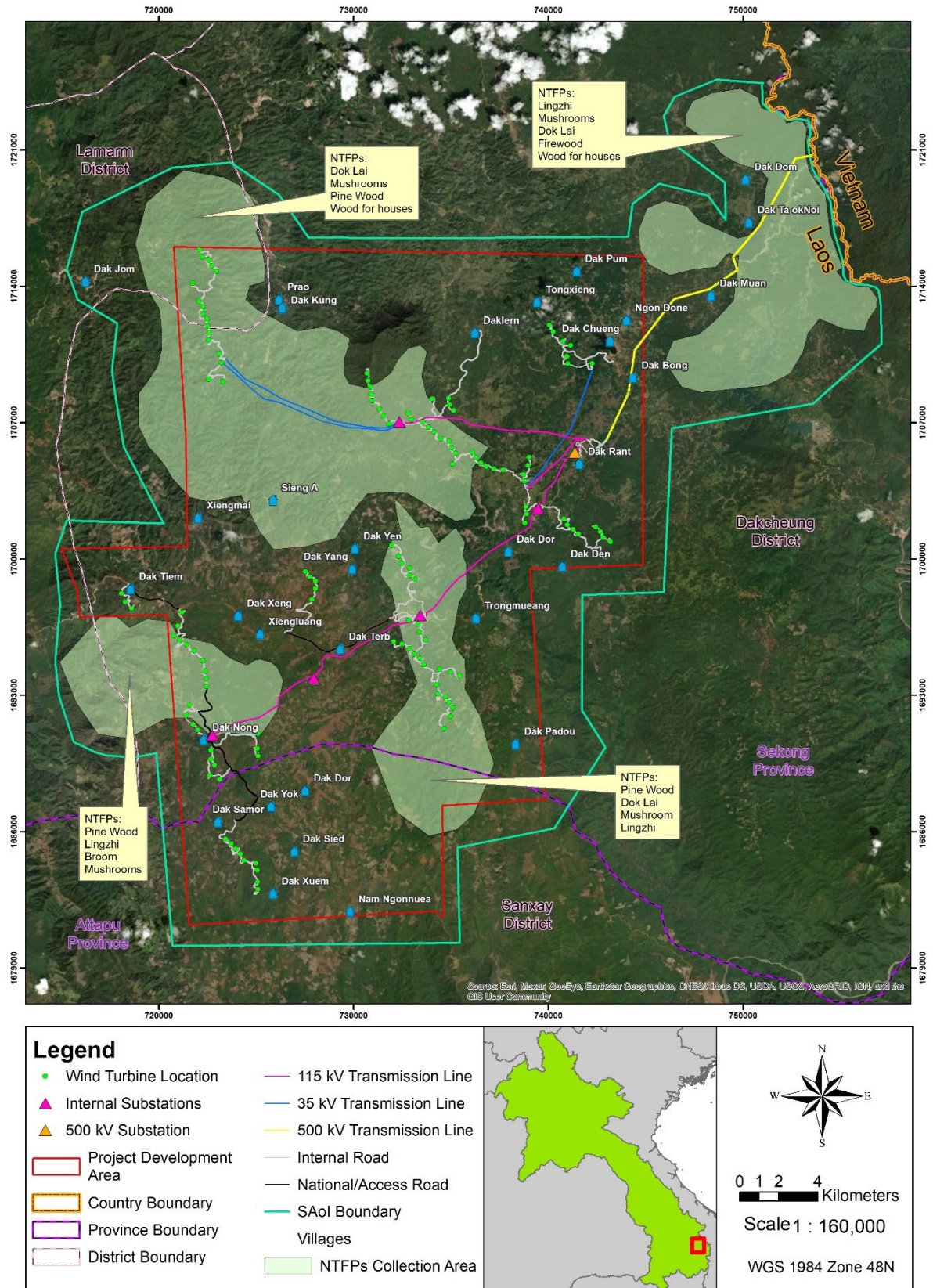


Figure 1-10: NTFP Collection Area



1.4 Objectives and Scope of RP

- This Resettlement Plan (RP) has been prepared to bring the Project into compliance with Lenders safeguards requirements, particularly those of ADB's Safeguards Policy Statement (SPS) 2009, the International Finance Corporation (IFC) Performance Standards (PS) 2012, the Asian Infrastructure Investment Bank (AIIB) Environmental & Social Framework 2016, and the Japan International Cooperation Agency (JICA) Guidelines for Environmental & Social Considerations (GESOC) (2022). The RP reflects the outcomes of the Project's updated Environmental and Social Impact Assessment (ESIA) and Environmental and Social Management Plan (ESMP). The Project has also developed a Community and Ethnic Group Development Plan (CEGDP), a Cultural Heritage Management Plan (CHMP), and a Stakeholder Engagement Plan (SEP), which should be read in conjunction with this RP. The objectives of the RP are:
- Clarify resettlement principles, procedures, organisational arrangements, schedule of activities, and design criteria to be applied to all physical and economic displacements required for the Project
- Put in place a process to improve or at least restore the livelihoods of all Project Affected People (PAPs) to their pre-project levels prior to land acquisition for the Project and improve the standards of living of affected poor and other vulnerable groups
- Provide strategies for effective, inclusive and continuous engagement and information disclosure with Project-affected parties and other interested parties on land acquisition, compensation and livelihood matters, as reflected in the SEP
- Provide a mechanism for Project-affected parties to raise land acquisition and resettlement related issues and grievances through accessible and inclusive means via an established Grievance Mechanism (GM) and allow IEAD to respond to such grievances
- Provide a plan for monitoring and evaluation to ensure resettlement principles and objectives are met
- Clarify roles and responsibilities for different Project activities with a description of institutional arrangements and coordination mechanisms
- Present a schedule showing the sequence of land acquisition and compensation processes and livelihoods restoration activities

The RP will define the principles and policies for land acquisition and compensation, including entitlements, methods of valuing affected assets, the compensation process and tools, grievance process, institutional arrangement for land acquisition planning and implementation, transitional measures and special measures for vulnerable groups or persons.

1.4.1 Land Acquisition and Involuntary Resettlement Overview

1.4.1.1 Overview of Project Land Requirement

Implementation of the Project will result in the acquisition of a total of 861 ha of land for development of Project components as outlined in **Table 1.6**.

Table 1.6: Overview of Project Land Requirement

No.	Project Components	Rationale for Land Requirement	Total Land Requirement (ha)
1	WTG foundations	5 ha is required for each WTG (0.3 ha is permanently required, whilst 0.2 ha is temporarily required for laydown area)	66.5

No.	Project Components	Rationale for Land Requirement	Total Land Requirement (ha)
			(of which 39.9 is permanently required and 26.6 ha is temporarily required)
2	22 km 500 kV	The Right of Way (ROW), comprising a width of 70 m (35m horizontally on each side from the transmission center line), is the area of land that will be used to locate, construct, operate, and maintain the transmission line. Total length of the 500kV line is approximately 22kms	154 (temporary) ⁴
3	Underground and overhead 35 kV and 115 kV transmission cables	The Right of Way (ROW) of , 115 kV and 35 kV transmission lines is 25 m (12.5 m on each side from the centre line) and 8 m (4 m on each side from the centre line) respectively. The total length of the overhead transmission line is approximately 88 km (where 22 km is for 500 kV (land requirement is calculated in item No.2 above), 39 km is for 115 kV, and 27 km is for 35 kV) and total length of 33 kV underground transmission line is approximately 280 km. ⁵	343.10 (temporary land requirement of 119.10 ha for over overhead transmission line and 224 ha for underground transmission line) ⁶
4	Internal road system	The internal road system within the Project development area will be newly built connecting to turbine towers with the pavement width of 5.0 m; the subgrade width of 6.0 m, a total length of 180 km.	108
5	Concrete batching plants	Temporary land requirement for construction period	7 ha
7	Laydown areas (mainly used for wind turbine parts, electrical equipment, and other raw material) ⁷	Temporary land requirement for construction period	50 ha
9	Worker accommodation	Temporary land requirement for construction period	6 ha
10	Spoil disposal areas	Temporary land requirement for construction period	126.40 ha
		Total	861 (713.1 ha temporarily and 147.9 ha permanently required)

⁴ Under the Regulation on Safety for High Voltage Transmission Line and Substation, EDL, 2232, 2013, trees taller than 3 m and structures are not allowed within ROW; however, agricultural activities such as rice farming, cassava or coffee can be continued after construction and installation completion.

⁵ This calculation assumed the for 35 kV where ROW of 8 m is required.

⁶ Under the Regulation on Safety for High Voltage Transmission Line and Substation, EDL, 2232, 2013, trees taller than 3 m and structures are not allowed within ROW; however, agricultural activities such as rice farming, cassava or coffee can be continued after construction and installation completion.

⁷ Temporary access roads to laydown areas are not known at this stage, it will be designed and planned in details by the EPC contractor. The temporary land requirements for such access roads should be updated to this table.

1.4.1.2 Overview of Project Land Acquisition Impact

Table 1.7 presents an overview of Project land acquisition impact. A total of 378 households in 27 villages will be directly impacted⁸ by agricultural land loss due to Project land acquisition, of which 5 households will be permanently affected; 170 households will be temporarily affected, and 203 households will be both permanently and temporarily impacted⁹. The village that has the highest number of affected households (AHs) is Dak Bong Village, with a total of 108 HHs, followed by Dak Rant (43 HHs) and Dak Terb, Dak Dor, Ngon Don, and Dak Muan villages (at approximately over 30 AHs). Similarly, Dak Bong has the largest area of affected agricultural land (70.11 ha), followed by Ngon Don (26.81 ha), Dak Rant (17.60) and Dak Muan (16.96 ha), respectively.

In terms on NTFP collection area, Dak Rant absorbs the largest impact of total 66.70 ha of affected NTFP collection area, followed by Tong Muang (59.24 ha) and Dak Xieng A (39.75 ha), respectively. Dak Rant will also have the largest impacts on communal land— approximately 88.65 ha of communal land will be impacted, followed by Tong Muang (59.24 ha) and Dak Lern and Dak Kung (approximately 43 ha).

⁸ Directly impacted households refer to the individuals or households whose land or assets are affected by Project land acquisition

⁹ This refer to households whose lands are partly permanently impacted (acquired for permanent Project facilities) and partly temporary affected (required for Project temporary facilities or only for Project construction activities such as laydown area

Table 1.7: Overview of Project Land Acquisition Impact

No.	Location	Project Activity	Loss of Agricultural Land						NTFP Collection Areas (ha)		Communal Land (ha)	
			Affected Area (ha)		Affected HHs (No.)			Total Affect HHs	Per.	Temp.	Per.	Temp.
			Per.	Temp.	Per.	Per & Temp.	Temp.					
Dak Cheung District, Sekong Province												
1	Dak Tiem	Turbine site, Access Road	2.35	5.07	2	16	3	22	9.50	14.06	14.61	21.07
2	Dak Xeng	Access Road	0.16	0.38	0	4	0	4	N/A	N/A	0.08	0.19
3	Dak Yang	Turbine site, Access Road	1.09	1.20	0	4	0	4	1.30	1.89	1.30	1.89
4	Dak Yen	Turbine site, Access Road	0.44	1.33	0	4	1	5	2.60	5.92	2.60	5.92
5	Xieng Luang	Access Road, Internal TL 115kV	0.75	1.84	0	9	0	9	2.22	19.15	2.22	19.15
6	Dak Terb	Internal TL 115kV, Turbine site, Access Road	0.06	6.07	0	21	16	37	7.39	23.36	7.39	23.36
7	Tong Muang	Internal TL 115kV, Turbine site, Access Road	0.00	0.73	0	2	0	2	15.21	44.02	15.22	44.03
8	Dak Dor	Internal TL 115kV	0.04	4.57	0	12	10	22	0.01	2.34	0.01	2.34
9	Dak Den	Turbine site, Access Road	0.46	0.81	0	4	1	5	7.7411	14.2	10.50	18.97
10	Dak Rant	Turbine site, Access road, Internal TL 115kV, 35 kv ,Sub 500kV	2.65	14.94	0	26	18	43	22.00	44.69	24.39	64.27
11	Dak Cheung	Access road	0.18	0.41	0	2	0	2	6.3	13.53	5.82	11.85
12	Dak Lern	Access road	0.62	0.72	0	5	0	5	4.69	36.45	9.57	31.92
13	Dak Kung	Turbine site, Access road, Internal TL 35 kv	1.09	1.15	0	5	0	5	6.90	27.73	9.85	35.33
14	Dak Jom	Turbine site, Access road,	0	0	0	0	0	0	4	6.8	7.75	14.13

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No.	Location	Project Activity	Loss of Agricultural Land						NTFP Collection Areas (ha)		Communal Land (ha)	
			Affected Area (ha)		Affected HHs (No.)			Total Affect HHs	Per.	Temp.	Per.	Temp.
			Per.	Temp.	Per.	Per & Temp.	Temp.					
15	Dak Xieng A	Turbine site, Access Road, TL35kv	0	0	0	0	0	0	9.15	30.59	9.13	30.59
16	Tong Sieng	Turbine site, Access Road	0	0	0	0	0	0	1.68	4	1.48	2.43
17	Dak Dom	Turbine site, Access Road	0	0	0	0	0	0	0.14	30.12	0.15	30.73
18	Dak Bong	Access road, Internal TL 115kV, 35kV, TL500kV	13.70	56.41	0	40	68	108	0.07	11.48	8.03	11.99
19	Dak Muan	TL500kV	0.08	16.88	0	5	26	31	0.04	3.46	0.04	5.42
20	Nonsavan	TL500kV	0.02	2.24	0	1	3	4	N/A	N/A	-	0.44
21	Ngon Don	TL500kV	0.11	26.70	0	8	22	30	0.01	2.25	0.01	0.44
22	Dak Ta-ok Noi	TL500kV	0.04	5.37	0	2	0	2	0.06	17.81	0.06	13.42
Total			23.84	146.83	2.00	170.00	168.00	340.00	101.04	353.88	130.21	389.87
Sanxay District, Attapeu Province												
1	Dak Nong	Internal TL 115kV, Turbine site, Access road	2.9181	5.3083	0	20	1	21	6.34	11.83	6.34	11.84
2	Dak Samor	Turbine site, Access road	0.727	1.2842	3	6	0	9	8.68	3.52	4.85	10.08
3	Dak Yok	Turbine site, Access road	1.0862	2.2363	0	3	1	4	2.35	1.27	1.56	3.19
4	Dak Padou	Turbine site, Access road	0.7353	0.8714	0	4	0	4	11.96	5.24	10.54	21.23
5	Dak Xeum	Turbine site, Access Road	-	-	-	-	-	-	20.42	8.94	6.87	12.35
Total			5.47	9.70	3	33	2	38	49.75	30.80	30.16	58.69
Grand Total			29.31	156.53	5.00	203	170	378	150.79	384.69	160.37	448.56

1.4.2 Land Acquisition Process Update

The Project land acquisition is led by the government. The overview of the process is presented in **Table 1.8**. As the Project does not result in physical displacement (resettlement), the Project has proceeded with the following steps:

- Established Environmental and Social Impact Management Committee or the Compensation Committee in Dak Cheung District, Sekong Province and Sanxay District, Attapeu Province.
- Conducted socio-economic household survey
- Consulted village heads on compensation unit rates as a method for market price survey
- Determined compensation unit rates by the Compensation Committee
- Conducted Detailed Measurement Survey (DMS)
- Approval of compensation unit rates by Sekong Governor for Sekong Province and Attapeu Governor for Attapeu Province. It was noted by the Compensation Committee that for crops and trees that have not been included in the list of compensation unit rates, the Compensation Committee will consider compensation unit rates for such crops and trees based on market price.
- The key Project's land acquisition activities undertaken to date is summarized in **Table 1.8** with supporting evidence.

Table 1.8: Land Acquisition Process to Date

Date	Land Acquisition Process	Evidence
Dak Cheung District, Sekong Province		
26 November 2020	Establishment of Environmental and Social Management Committee or the Compensation Committee for development projects in Dak Cheung District, Sekong Province.	Notification No. 160 (dated 26 November 2020) (Appendix A)
28 Oct - 24 Nov 2021	Socio-economic household survey was conducted for ESIA preparation	Section 4 presents the outcomes of the socio-economic household survey of affected people
17 February 2022	Dak Cheung District Governor and Department of Natural Resources and Environmental and other relevant authorities, the Project developer and its local E&S consultant, and village heads from 23 villages in Dak Cheung District. Total participants of 61 people (of which 12 were women). The meeting agreed for the Provincial Compensation Committee to undertake research and determination compensation unit rates. Refer to summary of the meeting in Section 7.6.3	Minute of Meeting dated 17 February 2022 (Appendix B)
31 March 2022	The Deputy of Governor of Sekong and the relevant provincial and district, and Project developer and its E&S consultant (total 28 participants, of which 3 are female) approved draft compensation unit rates for 600 MW wind farm project and 500 kV transmission line. Refer to summary of the meeting in Section 7.6.3	Minutes of Meetings date 31 March 2022 (Appendix C)

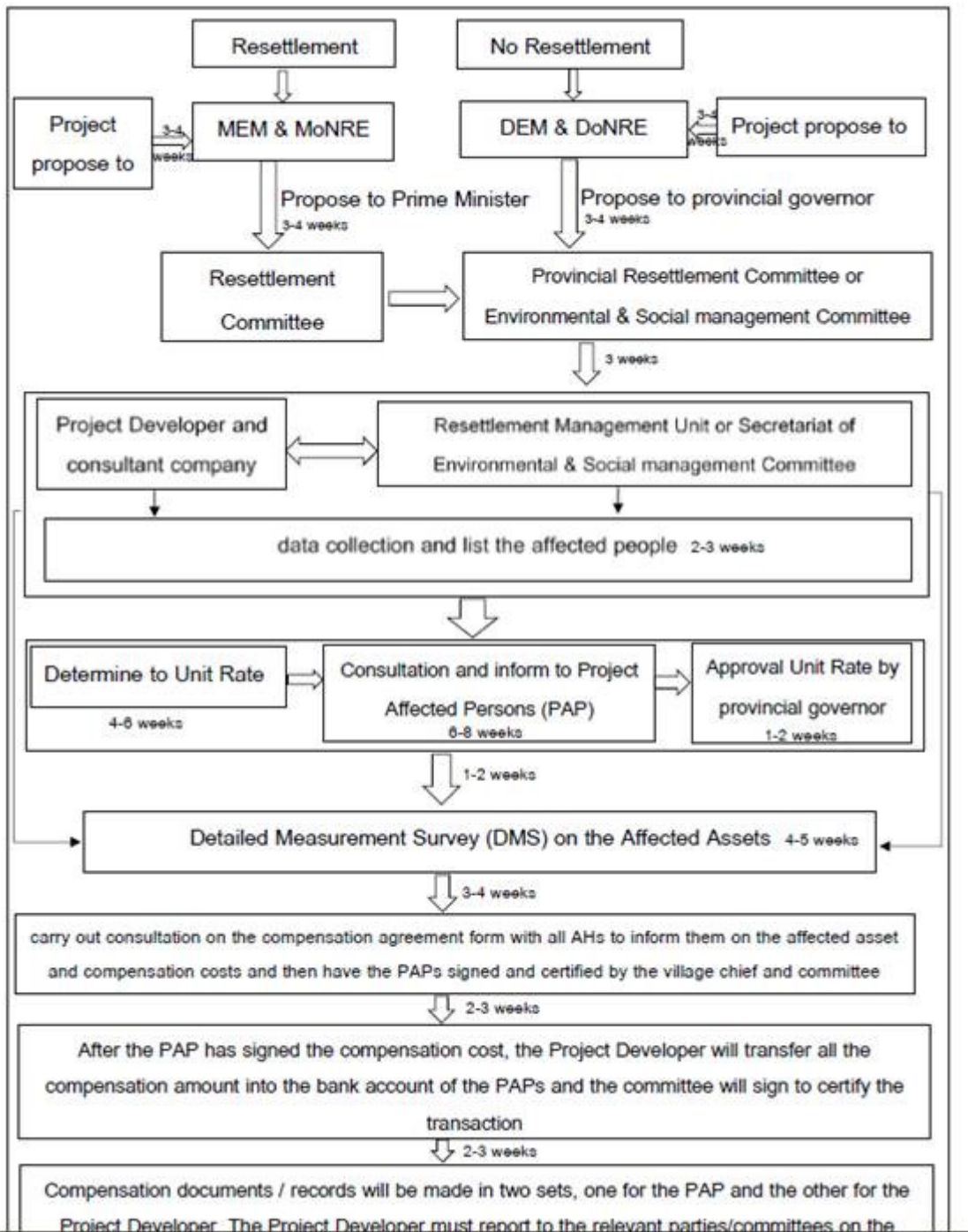
Date	Land Acquisition Process	Evidence
16 May 2022	The Compensation Committee issued notice No. 32 to set out principles, procedures and measures for implementation and monitoring of compensation for 600 MW wind farm project and 500 kV transmission line located in Dak Cheung District, Sekong province. The notification presents approved compensation unit rates by the Compensation Committee. Refer to Section 5.5.2 for a summary of notification No. 32/Sekong.	Notification No. 32/Sekong Governor (dated 16 May 2022) (Appendix D)
17 May to 21 June 2022	Detailed measurement survey. The last date i.e. 21 June 2022, was set as a cut-off date and verbally informed the affected households during the DMS exercise.	Detailed measurement survey form with signature of affected peoples, village heads and local authorities (Appendix E)
Sanxay District, Attapeu Province		
5-12 December 2021	Socio-economic household survey was conducted for ESIA preparation	Section 4 presents the outcomes of the socio-economic household survey of affected people
7 March 2022	Establishment of Environmental and Social Management Committee or Compensation Committee for 600 MW wind farm project, 115 kV transmission line and 115 kV substation in Sanxay District, Attapeu Province.	No. 307 dated 7 March 2022 (Appendix F)
30 March 2022	The Committee conducts a market price survey of affect assets and consults with project affected villages unit compensation rates for various types of assets on 30 March 2022 with five villages including Dak Nong, Dak Padou, Dak Samor, Dak Xeum, and Dak Yok with the District Committee. It was observed that at the end of each minute of meetings, presented a list of compensation unit rates discussed with the village representatives.	Minutes of meeting and registration form (Appendix G)
12 May 2022	The objective of the meeting to report on the consultation on compensation unit rates with village head and affected households conducted in March-April 2022 to the Deputy Governor and head of Energy and Mining Department. Refer to Section 7.6.3 for a summary of this meeting.	Report dated 12 May 2022 (Appendix H)
23 May 2022	Approval for unit rates for compensation for affected land, structures and crops for 600 MW wind farm project by the Provincial Governor, Sanxay District, Attapeu Province. Refer to Section 5.5.2 for a summary of notification No. 601/Attapeu.	No. 601/Attapeu Governor dated 23 May 2022 (Appendix I)
14-18 June 2022	Detailed measurement survey. The last date i.e. 18 June 2022, was set as a cut-off date and verbally informed the affected households during the DMS exercise.	Detailed measurement survey form with signature of affected peoples, village heads and local authorities (Appendix E)

Table 1.9 presents pending land acquisition activities to be undertaken by the Project and relevant authorities.

Table 1.9: Next Steps and Schedule

Timeline	Activities	Responsibility
End of August 2022	Formal issuance of a decree by the relevant government authority confirming the cut-off date.	Compensation Committee of Sekong Province and Attapeu Province
Mid September 2022	Disclosure of the compensation policy and final unit compensation rates by the Compensation Committee (Appendix A and Appendix F) with support from the Project Developer	Compensation Committee of Sekong Province and Attapeu Province and Project Developer
Mid September – Mid November 2022	Carry out consultation with the PAPs on the compensation agreement form with all PAPs to inform them on the affected asset and compensation cost. After agreement, the PAPs will sign the compensation form and certified by village heads and the Committee..	Compensation Committee of Sekong Province and Attapeu Province and Project Developer
Mid September – Mid December 2022	After the PAP has signed to accept the compensation cost, the Project developer will transfer compensation into the PAP's bank account and the Committee will sign to certify the transaction. PAP's with no bank accounts will be assisted by IEAD's Compensation Management Office to open one. In addition, financial literacy regarding banking system will be provided to PAPs prior to compensation payment deposit to their bank accounts. Produce compensation documents/records in two sets, one for the PAPs and the other for the Project developer. IEAD must keep electronic copies of the documents and records of payments in a secure database along with the electronic versions of the asset inventory, DMS data for each affected household. The Project Developer must report to the relevant committees on the completion of compensation.	Compensation Committee of Sekong Province and Attapeu Province and Project Developer

Figure 1-11: Overview of the Land Acquisition Process



1.5 Structure of the Report

The structure of this report is as follows:

Section 1 – Introduction

Section 2 – Key Standards and Legislation

Section 3 – Assessment of Land Acquisition Impact

Section 4 – Socio-economic Profile of PAPs

Section 5 – Methods of Valuating Affected Assets

Section 6 – Eligibility and Entitlement

Section 7 – Livelihood Restoration Program

Section 8 – Information Disclosure, Consultation and Participation and Grievance Mechanism

Section 10 – Implementation Schedule and Budget

Section 11 – Monitoring and Evaluation Framework

2 KEY STANDARDS AND LEGISLATION

The Lao PDR legislation and applicable ADB policies, standards, and guidelines are described in the following sections.

2.1 National Applicable Laws and Procedures

2.1.1 Constitution of Laos PDR, No. 63/NA, 2015

The Constitution recognizes in its Preamble that the Lao PDR is multi-ethnic “*The multi-ethnic Lao people have existed and developed on this beloved land for thousands of years. Starting from the middle of the 14th century...*” As part of that recognition, **Article 8** states that it is a state policy to promote unity and equality of all ethnic groups and all ethnic groups have the right to protect, preserve and promote the fine customs and cultures of their own tribes and of the nation

Article 16 states the State protects and promotes all forms of property rights: State, collective, private domestic and foreign investment in the Lao People’s Democratic Republic.

Article 17 (Amended) states the all lands, minerals, water sources, atmospheres, forests, natural products, aquatic and wild animals, and other natural resources are a national heritage, and the State ensures the rights to use, transfer and inherit it in accordance with the laws.

2.1.2 Law on Land (amended) No. 70/NA, 2019

The objective of this Law is to determine the regime of the management, protection, and use of land in order to ensure effectiveness, compliance with the objectives and with the laws and regulations, and to contribute to the enhancement of the national socio-economic development as well as to the protection of environment and territory of Laos.

Article 3 (amended) states that the land of Lao PDR is ownership of the national community where the State represents the ownership holder and manages land in a centralized and uniform manner across the country with land allocation plans, land use planning and land development.

The State grants long-term and secured land use rights to Lao citizens as well as legal persons, collective and organizations of Lao citizens.

Aliens, stateless persons, foreign individuals and foreign nationals of Lao ancestry have the rights to lease, receive concessions of State land or purchase allocated State land use rights with limited term and to lease the land of Lao citizens.

Article 7 states that the States protects the rights and lawful interests of the holder of the land use rights, while **Article 8** indicates that the States does not allow illegal possession and encroachment of lands by individuals, legal entities and organizations.

Article 14 (new) prescribes a fundamental policy on the development and land use planning, management, and protection for each land category which is in line with the National Land Allocation Master Plan and is also part of the National Socio-Economic Development Plan. The strategy is comprised of provincial and central land use strategies.

Article 38 (new) Determination of Area for Agricultural Land Use dictates that the State acknowledges the right of Lao citizens on long term use of agricultural land by issuing land title at the Office of Natural Resources and Environment where the land is located as prescribed in Article 101 of this Law

Article 44 (amended) Use of Forest Land dictates that State acknowledges the use of land by people who have been living and making their living in forestland before the area is classified as forestland. The Ministry of Agriculture and Forestry is tasked with coordinating with the MONRE, other relevant ministries and local administrative authorities to conduct surveys, data collection and re-allocation of the forest lands and then issue land use certificates to individuals or families in

accordance with the laws; and encourage them to contribute to the protection of forests as defined in the Forestry Law and other relevant laws.

Article 81. (new) Use of State Land for Collective Purposes prescribes that use of State land for collective purposes is the granting of State land use rights to the villagers in one or more villages to collectively use the lands in accordance with the local land allocation plans and the laws. These lands include cemeteries, sacred forest, common ponds, temples, schools, health centers, village administrative office, village markets.

State land use rights for collective purposes comprises the rights to protect and utilize the land for collective interests of the villagers from one or more villages with no rights to transfer, sell, exchange, lease the land use rights, nor granting concession, using the rights as shares or as collateral.

Article 86 (new) Land Use prescribes that individuals, legal entities and organizations who are granted land use rights shall use their lands in accordance with their purposes and in consistency with the Land Allocation Master Plan, land use strategy and land use plan adopted by the State for each time period

Article 94. (new) Land Title Registration Land title registration is the issuance of the first edition of land titles to individuals, legal entities and organizations for certifying their legal rights to use different land categories and for facilitating the management and protection of land in a uniform manner across the country.

Article 99. (amended) Land Title A land title is the only main document as evidence about land use rights that is identically copied out of the land register and only one original copy shall be given to the owner as long-term evidence until there is a change according to the conditions prescribed in the laws. There are two types of land titles: State Land title and land title of individual, legal entity and organization.

The Ministry of Natural Resource and Environment is tasked with defining the detailed content and format of land title.

Article 100. (amended) Documents for Land Title Registration The issuance of land title requires the following documents:

1. Certificate of acquisition of the land use rights such as: certificate of land granted by the State, agreements of transfer, bestowal, sale, certificate of inheritance, land survey certificate, certificate of land development, certificate of land guarantee
2. Photocopy of ID card or residency certificate and family book; for legal entity or civil society organization: photocopy of business license, business register or authorization letter for the establishment of the civil society organization;
3. Declaration of payment of land use fee;

Article 118. (new) Land Concession Land Concession is the authorization by the State for the concessionaires to use state allocated lands in certain areas to conduct concession investment activities in accordance with the contract and the laws, for example in the sector of agriculture, tree plantations, tourist sites, industrial zones or industrial estates, Special Economic Zones, development of new cities, development of power source, mining.

Article 126 (amended) Acquisition of Land Use Rights of Lao Citizens

Lao citizens including Lao legal entities and organization will acquire land use rights on one of the following bases:

1. Allocation by the State;
2. Transfer;
3. Inheritance; and
4. Sale of allocated State land use rights with determined timeframe as prescribed in Article 123 of this Law.

Article 123. (amended) Sale of Allocated State Land Use Right with Limited Term The sale of allocated State land use right with limited term is the sale of the land use right by the State to Lao citizens, aliens, stateless persons, foreigners or foreign nationals of Lao ancestry for development of new cities and construction of condominiums, apartments and housing developments with a term not exceeding fifty years from the date the sale contract is signed. The land use rights can be sub-purchased based on the agreement of the relevant State agency. The buildings on the land can be sold or leased to other persons or legal entities.

Article 127. (new) Allocation by the State

Land allocated by the State is when the State grants State land use right to become land use right of Lao citizens including legal entities and organizations to use the land for a specific purpose in accordance with Land Allocation Master Plan and the government land use plans as prescribed in the laws including the customary land use rights. There are two types of allocation by the State:

Definite assignment is the allocation of land use right as compensation for compulsory acquisition, recognition of customary land use right, implementation of policy on conversion of State land use rights, etc. whereas the receivers have the rights of protection, use, benefit, transfer and right related to inheritance of the land use right.

Indefinite assignment is the allocation of land use right as place for living, for making the living, implementation of policy on conversion of State land use rights, etc., whereas the receivers have the same rights as indicated in point 1 of this Article, except transfer of land use rights and some cases of the right to benefit from the land such as lease, mortgage.

Article 130. (new) Acquisition of the Customary Land Use Right

The acquisition of customary land use right is the acquisition by Lao citizens of their occupied and used lands through clearance, development, protection and regular use of the land **for more than twenty years before this Law becomes effective** and without document certifying the acquisition of the land but subjected to certification from village administrative authorities and the owners of adjacent land parcels regarding the continuous land occupation and use without any disputes or with disputes which have been already settled

While land registration has yet to conduct for issuing individual land titles, the State acknowledges and protects the customary land use rights of the person and proceeds with land title registration in accordance with the laws.

Article 131 (new) Acquisition of Land Use Rights by Aliens, Stateless Persons, and Foreigners

Aliens, stateless persons, foreigners, foreign nationals of Lao ancestry and their organizations who receive the authorization from the State to legally reside, invest or operate business in Lao PDR are granted the right to use land through lease or concession of State lands, purchase of allocated State land use right with determined timeframe.

Article 148 (new). Compensation prescribes that compensation is an indemnity of damage [and losses] for those who are impacted by violation of laws, legal servitude and re-acquisition [expropriation] of land use rights. Compensation is implemented in the form of provision of a new parcel of land, materials or money and other means based on a land valuation undertaken in each region and for each land category.

Article 154. (new) Compensation for Loss caused by State's Investment Projects prescribes that when it is necessary to use land of individuals, legal entities or organizations for State investment projects, land lease or concession in line with the National Socio-Economic Development Plan such as agriculture, tree plantations, mining exploitations, development of power sources, roads, industrial zones or industrial estates, Special Economic Zones, the State will re-acquire those land use rights but shall pay compensation to the landowners.

For the compensation, a committee shall be established to assess the damages of properties on land and the land value as prescribed in Article 109 Land Valuation of this Law. The committee shall

comprise relevant sectors with the participation of sectors responsible for the projects, projects owners, the owners of land use rights and relevant local administrative authorities.

Article 155 The payment of compensation for damages caused by re-acquisition of land use rights shall be paid before the re-acquisition is taking place based on the valuation of land and assets on the land.

Box 2.1: Key Differences Between Land Law 2003 and Land Law 2019

New Matters

- Article 8 of the Land Law 2019 outlines a prohibition of encroachment and authority to demolish encroaching structures.
- Section 2 of the Land Law 2019 contains a completely new Section dealing with national and local land allocation master plans (Section 1, Articles 11 to 13); and strategic land use plans at the national and provincial levels (Section 2, Articles 14 to 19).
- In Section 5 of the Land Law 2019, there is another completely new section dealing with the management of **Sate Land** and the use of state and also privately owned land. The new concept of 'public land for collective utility' in Article 81 covers land used by villagers. In Section 2 and 3, new provisions briefly deal with management of private owned land (such as recording rights and complying with land use rules) for Laos citizens (Article 85-86) and foreigners (Article 87-88).
- In Part 8, the Section on acquisition of land use rights (Articles 126 to 132) covers customary land use. The list of **reasons for the loss of use rights has been expanded to include the State's development projects**, as well as the existing reason of requiring the land for a public purpose (Article 147), which is linked to the new compensation provisions in Part 9.
- In Part 9, there are new provisions about compensation for loss of use right due to State investment operations (Articles 152 and 154), which expressly oblige the State to compensate where it takes land for investment, including for issuing leases or concessions.
- In Part 11, there is a set of prohibitions against activities by land users (Article 159) and official (Article 160). There are no specific penalties but Article 186 provides that anyone violating the law will be educated, disciplined, fined or prosecuted and, in certain cases, lose their land.

Deleted Matters

The prohibition against land speculation, which appeared in Article 7 of the Land Law 2003, has been omitted.

Article 17 of the 2003 Law dealing with maximum holding size for agricultural land no longer appears. Instead, Article 37 of the Land Law 2019 states that the allocation, strategic and land use plans will regulate such matters.

In Section 2 of the 2003 Land Law, the authority of the district or municipal agriculture authorities to issue **temporary land certificates** which can be upgraded to land titles, has been deleted. Instead, the power to issue titles covering agriculture land now specifically lies with the local Department of Natural Resources and Environment (Article 38 in the Land Law 2019). It should be noted that the equivalent Articles on forest land (Article 44 in the 2019 Law, and Article 22 in the 2003 Law) have also been modified to remove the concept of a three-year land certificate, which could be upgraded to a land title. Under the new Article 44 (Use of Forest Land), there is reference only to the **land use certificate**. Likewise, Article 21 in the 2003 Law, on the determination of the scope of forest land use rights, and Article 22 on the allocation of forest land use rights, have been omitted from the new Law. Forestry Law 2019, however, has no provisions that detail how rights over forests would be granted nor detail on the issuance of the land use certificate. However, the new Law does recognize that the process of issuing land titles across the entire country will take time (in Article 130, which deals with acquisition of the right to use customary land).

Box 2.1: Key Differences Between Land Law 2003 and Land Law 2019 (continued)

Paragraph 2 of Article 130 provides that, until a land title can be issued, the State is to acknowledge and protect customary land use rights.

Assessment of the changes

A major reform has been the formal introduction of master planning, strategic planning, land use planning in Section 2 (Articles 11 to 19), as well as the introduction of principles governing land administration and management (Article 6).

The new provisions on land administration (Articles 89 onwards), which cover for the first time land information systems, valuation, land-related businesses and revenue from fees, can also be considered a good first step in modernizing the country's regulatory framework for land administration.

Although there is no express prohibition on foreigners owning land use rights, the 2019 Law can be understood to mean that foreign investors can have only leases or concessions on land. The right of the State to terminate use rights for State investment purposes (rather than public purposes) is now clearly established in Article 147. This means, in practice, that the State can requisition privately owned land so that it can issue a lease or concession to a developer or investor, in addition to the right to requisition land for a public purpose. Further, while the State is obliged to compensate under Article 154, it is left to a committee to determine the value.

Source: MRLG and LIWG (2021). Assessment of the new Land Law and Forestry Law in Lao People's Democratic Republic: Focusing on Customary Rights. MRLG and LIWG Report. Vientiane: LIWG, MRLG.

2.1.3 Law on Forestry (amended) No. 64/NA, 2019

This Law on Forestry defines the fundamental principles, regulations, and measures relating to the management, protection, development, use and inspection of forest resources and forest land, promotion of the restoration, plantation and expansion of forest resources to ensure abundance and the increase of forest coverage; creation of tourism sites, and the sustainable sources of livelihood and use of the people: ensure the protection of the quality of land, water, air, and environment in line with the green and sustainable direction; and contribute to the national socio-economic development.

This law classifies forests into three categories: Protection Forests; Conservation Forests; and Production Forests. Protection Forests are classified for ecosystem services, Conservation Forests for nature and biodiversity protection and conservation, and Production Forests for the production of wood and forest products, and for the purposes of national socio-economic development as needed.

Article 31 (New) Harvesting of NTFPs outlines that the Government allows the harvesting of NTFPs in Production Forest areas, forestland conversion areas, controlled use zones within Protection Forest and Conservation Forest areas, land areas of individuals, households, legal entities or organizations where the Government has legally granted land-use rights and in village use forests which have been allocated by the State.

Harvesting of each NTFP species shall be conducted in accordance with the approved plan, area and technical standards, and should ensure sustainability.

Article 53 (Revised) Restriction of Uncontrolled Shifting Cultivation Restriction of uncontrolled shifting cultivation shall be carried out by providing support and disseminating relevant policies and laws to the people to halt the practice of uncontrolled shifting cultivation in order to replace it with stable, sedentary livelihoods.

Government and local administration authorities take the initiative in managing and allocating land and forest to villagers living in forest areas, for permanent settlement and sustainable livelihoods by promoting production and family income generation as prescribed in Article 71 (para. 3) and Article 118 of this law.

Article 64 (Revised) Customary Utilization of Forests, Timber and NTFPs recognizes Customary utilization of forests, timber and NTFPs is the use of forests, timber, and NTFPs in a manner that people have practiced for a long time within village forest areas. Such utilization shall be in accordance with the forest management plans and the Law.

Box 2.2: 2019 Forest Law and Customary Rights

However, it can be said that the Forestry Law does at least recognize the possible existence of customary rights, even if there are few details about how to recognize them and what they mean in practice. Note that the Forestry Law does not deal with customary rights over land, but rather customary rights to the resources on the land.

There is no concept of **collective forest use** by the village as a whole, of the kind described in Article 81 of the Land Law, that gives property rights to the village. However, the Forestry Law refers extensively to 'the village' in relation to forest conservation, protection and development.

As for **collective or common land use**, there is no specific concept of ownership of forest use rights by a group of individuals included under the 2019 Forest Law. However, as with the 2019 Land Law, it would be theoretically possible for forest use rights to be held by more than one individual.

Source: MRLG and LIWG (2021). Assessment of the new Land Law and Forestry Law in Lao People's Democratic Republic: Focusing on Customary Rights. MRLG and LIWG Report. Vientiane: LIWG, MRLG.

Article 71 (Revised) Management of Conservation Forestland

The management of Conservation Forestland involves the zonation of Conservation Forestland into Totally Protected Zones, Controlled Use Zones, and Buffer Zones. Management also involves formulating plans and measures to prevent encroachment, destructive activities that may adversely affect the forest ecosystem and cause damage to flora and fauna, biodiversity, cultural and historical values, natural heritage or other values.

- Totally Protected Zones are forest areas with high biodiversity values, important habitats and areas for the reproduction of key aquatic and wildlife species, river headwater areas, riparian forests and other areas that are important for environmental protection. In these areas, it is prohibited to conduct any activities except those for scientific research or walking trails for ecotourism.
- Controlled Use Zones are forest areas which the State allocates to villages inside and adjacent to Conservation Forests to sustainably manage and protect biodiversity and thereby receive appropriate benefits. These areas can be used for eco-tourism, for harvesting NTFPs from the natural forest, for agriculture production and for planting trees and NTFPs. Controlled Use Zones are allocated by the State to support family income generation, but on the condition that the felling of naturally grown trees for commercial purposes is prohibited.
- Buffer zones are area with or without forest, which are part of Conservation Forestland or are areas around Conservation Forest land which the State allocates for villages to use and manage to prevent encroachment in and around Conservation Forests, and to prevent negative impacts on the forest ecosystem in Conservation Forest areas.

The project must comply with Articles 80, 81, 82, 87 in this amended Forestry Law.

- Article 80 (Revised) Utilization of Forestland for Business Purposes
- Article 81 (Revised) Conversion and the Nature of Conversion of Forestland
- Article 82 Obligations in Converting Forestland
- Article 87 (Revised) Lease or Concession of Forestland

In addition, Article 68 of this law mandate for survey and identification of areas for forests regeneration and trees planting aimed at environmental protection, sustainable maintenance of forest ecosystems, increasing forest cover to 70% of the country's total area, and identifying areas for lease or concession for planting trees and NTFP.

Box 2.3: 2019 Land Law vs 2019 Forest Law

The two Laws have an inconsistency in an important area; the Forestry Law's omission of customary utilization of forestlands is an outright inconsistency with **Article 44** of the Land Law and requires clarification about whether the Land Law could supersede the Forestry Law.

The Forestry Law does not deal with customary rights over forest resources, focusing instead on rights created under that Law or the management plans.

Note, there is some possible overlap regarding agriculture land within Controlled Use Zones of forest land.

Source: MRLG and LIWG (2021). Assessment of the new Land Law and Forestry Law in Lao People's Democratic Republic: Focusing on Customary Rights. MRLG and LIWG Report. Vientiane: LIWG, MRLG.

2.1.4 Decree on State Land Leasing or Concession (No. 135/PM, 2009)

The objective of this Decree is to define the principles, methods, and measures relating to the lease or concession of state land in order to ensure uniform practice in the whole country, allowing state land to be developed, converting land into capital, promoting investment in the production of commercial goods and services, as well as creating sources of revenue for state budget.

Article 37: Contents of State Land Lease or Concession Agreement

The state land lease contract or land concession agreement must specify the purposes, term, conditions, rental charge, concession royalty; and shall also specify that in every five years, the rental charge or concession royalty shall be increased by not less than five percent of the rental charge or concession royalty of that year as in accordance with the contract form provided in the relevant law.

The implementation of the approved state land lease contract or concession agreement shall be subject to the preparation of report on the evaluation of the implementation in each phase of the activity submitted to the National Land Management Authority and concerned agencies for information.

Article 43: Calculation of Compensation for the People Affected by the Land Lease and Concession

If the area of state land lease and concession includes land owned by people who have a legal right to use it, compensation should be computed according to the following scenarios:

In general, agricultural land for cultivating rice or annual crops should be kept for farmers, however in exceptional circumstances, compensation should be paid to the farmers by adding together the estimated value of the land and the estimated value of crops in a normal year, then multiplying the value by ten (10).

Compensation for agricultural land used for orchard tree cultivation must be determined and paid by adding together the estimated value of the land and the estimated value of crops in a year, then multiplying the value by ten (10).

Compensation for agricultural land used for the planting of industrial trees and medicinal plants must be determined and paid by combining the estimated value of the trees or other plants on a plot of land.

Compensation for agriculture land used for livestock must be determined and paid by adding together the estimated worth of the land and the estimated value of the animals raised in a normal year, then multiplying the value by three (3).

The paddy field area should not be used for any other purposes. Authorization from the Land Management Authority and the Agricultural and Forestry Sector must be acquired, if necessary.

Compensation must be computed by adding together the estimated worth of the land and the value of the structures and crops on the land, in the case of construction land.

Compensation by the state will be given to the investor in the case that the land is used for the lease and concession of the public interest, to compensate for the loss of properties associated with the land, as specified in the Law on Investment Promotion.

A written memo must be prepared and signed by all participants, specifically the line agencies, local administrative authorities, village head, and the villagers involved, in order to estimate the compensation.

2.1.5 Decree on Compensation and Resettlement Management in Development Projects No. 84/NA, 2016

The objective of this decree is to ensure that the affected people are compensated, resettled and are assisted with permanent livelihood substitutes that could lead them to improve their livelihoods. The decree provides regulations, principles, and standards on the monitoring of compensation of losses and the management of resettlement activities.

Article 2: Compensation

Land, material, or money will be the compensation form for the land, livestock, agricultural products, and incomes that are affected by developmental projects. These are based on the compensation value

Article 3: Resettlement

People affected by development projects will be resettled and moved out from their original living areas and are resettled in new living areas, which are allocated by the development projects. The new living areas will be based on the majority of votes by the affected people.

Article 9: Valuation and estimation of compensation value

Project owners, in collaboration with the committee for compensation and resettlement at the local level, must estimate the value for compensation for land, constructed facilities, crop products, livestock and potential incomes and organize consultations with affected people by selecting the right and appropriate options based on prices applied by the state, market prices or average prices applicable for period of compensation and based on the types of properties and locations. The prices applied by the state (middle prices) are the prices specified in a separate regulation which are identified and regulated by the Ministry of Natural Resource and Environment from time to time.

Article 15: Implementation of livelihood rehabilitation plan

The owner of the project must follow the following principles when carrying out the livelihood rehabilitation plan:

- Agriculture land is to be provided in appropriate ways so that it can create new livelihood options and a stable income that generates activities, in addition to agriculture production activities for the people affected;
- The livelihood conditions of those affected must either be upgraded or at least remain in the same original level. A proposal to the provincial or city compensation and resettlement committee must be done if the project owner fails to complete the livelihood rehabilitation plan on time to request an extension to complete and implement the plan;
- Based on the city compensation and resettlement committee or the supervision of the provincial, vulnerable groups who have been affected by the development project must be specially paid attention to so that they can overcome poverty and have better livelihood conditions.

2.1.6 Electricity Law, No. 19/NA, 2017

Article 69 (New) Resettlement prescribes that if electricity project has social impacts, relevant governmental department or compensation and resettlement committee or project developers should collect information on the project impacts, determine compensation unit rates and land area and assets to be impacted, develop resettlement measures, assistance during transition and livelihood restoration plan. Determination of compensation unit rates should be carried out in conformance with applicable laws and regulations.

After CA agreement, project developers and relevant government authority or compensation and resettlement committee to implement compensation and resettlement. The cost for such activities to be responsible by the project developers.

2.1.7 Regulation on Safety for High Voltage Transmission Line and Substation, EDL, 2232, 2013

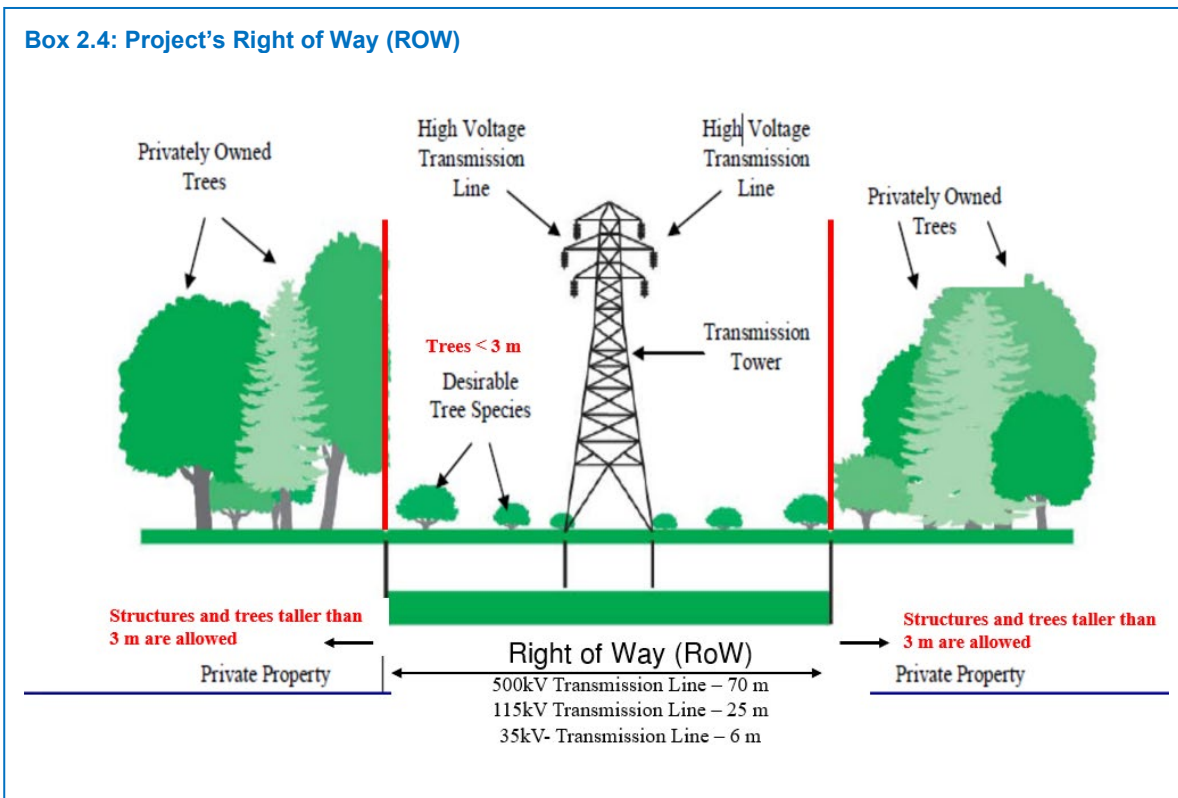
Item 20. Construction of structures are prohibited within the Right of Way (ROW) or transmission line. The ROW of transmission line is defined as follows:

Type of Transmission Line	ROW (distance to each side from the center of transmission line)
22 kV	4.5 m
35 kV	5.5 m
115 kV	12.5 m
230 kV	17.5 m
500 kV	35 m

Item 21. Trees taller than 3 m are prohibited within the ROW.

Item 22. If tall trees outside of the ROW are considered to have high risks of reaching/touching the transmission line during strong wind or falling over onto the transmission line, relevant authority will ask for permission from the tree owners to cut such trees to ensure safety.

Box 2.4: Project's Right of Way (ROW)



2.1.8 Law on Resettlement and Vocation No. 45/NA, 2018

This law sets out the rules, principles, and measure regarding the inspection, supervision, and monitoring of resettlement and vocation to improve its effectiveness, compliance, and efficiency. Additionally, it is to ensure that Lao multi-ethnic people who live in resettlement and vocational areas have a place to stay, place to earn a living and sustainable vocation.

Article 11: Categories of Resettlement

Resettlement is classified into two different categories – general resettlement and specific resettlement. General resettlement allocates a place for a person/people who live in underdeveloped or remote areas, specific and high-risk living area to stay and make a living. On the contrary, specific resettlements allocates a place to stay and make a living for those who are affected from development projects. The Ministry of Agriculture and Forestry and other relevant sectors are responsible for both types of resettlement implementation.

Article 20: Resettlement Process

The following steps illustrates the process of resettlement:

1. Resettlement project study and planning;
2. Compensation for damages caused by resettlement;
3. Building of Infrastructures to support resettlement;
4. Displacement or relocation;
5. Resettlement area development;
6. Livelihood rehabilitation during transition period;
7. Handover of resettlement project;
8. End of resettlement project.

Article 29: Categories of Vocation

Revision and classification of the vocations of targeted groups are done by the Agriculture and Forestry Sector Authority and other relevant sector and stakeholders from both local and central levels. The vocations of targets groups are as follows:

- Cultivating, livestock farming, fisheries;
- Tree planting and forest protection;
- Services, trades, tourism;
- Industrial production and handicraft;
- Labour worker in factories or development project, factory production or small enterprise, tools, equipment or materials assembly including assembly production line.

2.2 ADB Safeguard Policy Statement (SPS) on Land Acquisition and Involuntary Resettlement

2.2.1 ADB Safeguard Policy Statement (2009)

ADB adopts a set of specific safeguard requirements that are required to address environmental and social impacts and risks:

Safeguard Requirement 1: Environment;

Safeguard Requirement 2: Involuntary Resettlement;

Safeguard Requirement 3: Indigenous Peoples;

Safeguard Requirement 4: Special Requirements for Different Finance Modalities; and
ADB's Prohibited Investment Activities List.

It should be noted that none of the project activities are included in ADB's list of prohibited activities.

Safeguard Requirement 2: Involuntary Resettlement is applicable to the development and implementation of the RP.

2.2.1.1 Involuntary Resettlement Requirements

ADB's Safeguard Requirements 2 (SR2) on involuntary resettlement apply to full or partial, permanent or temporary physical displacement (relocation, loss of residential land, or loss of shelter) and economic displacement (loss of land, assets, access to assets, income sources, or means of livelihoods) resulting from (i) involuntary acquisition of land, or (ii) involuntary restrictions on land use or on access to legally designated parks and protected areas. Resettlement is considered involuntary when displaced individuals or communities do not have the right to refuse land acquisition that results in displacement. This occurs in cases where (i) lands are acquired through expropriation based on eminent domain; and (ii) lands are acquired through negotiated settlements, if expropriation process would have resulted upon the failure of negotiation. (SPS, Appendix 2, para. 5).

If potential adverse economic, social, or environmental impacts from project activities other than land acquisition (including involuntary restrictions on land use, or on access to legally designated parks and protected areas) are identified, such as loss of access to assets or resources or restrictions on land use, they will be avoided, or at least minimized, mitigated, or compensated for, through the environmental assessment process. If these impacts are found to be significantly adverse at any stage of the project, the borrower/client will be required to develop and implement a management plan to restore the livelihood of affected persons to at least pre-project level or better. (SPS, Appendix 2, para. 6).

ADB's 2013 Operations Manual F1 (OMF1) on Safeguards provides guidance on categorization of projects based on its potential involuntary resettlement impacts. The involuntary resettlement impacts of an ADB – financed project are considered significant if 200 or more persons will be physically displaced from their homes or lose 10% or more of their productive or income generating assets. (2013 ADB OMF1/OP, para 9)

Where projects involve involuntary resettlement of people, a resettlement plan is prepared that is commensurate with the extent and degree of the impacts, the scope of physical and economic displacement, and the vulnerability of the affected persons.

The Policy uses a categorization system to reflect the significance of a project's potential impacts related to involuntary resettlement. This includes:

Category A: A proposed project is classified as Category A if it is likely to have significant involuntary resettlement impacts. A resettlement plan, including assessment of social impacts, is required.

Category B: A proposed project is classified as Category B if it includes involuntary resettlement impacts that are not deemed significant. A resettlement plan, which includes assessment of social impacts, is required.

Category C: A proposed project is classified as Category C if it has no involuntary resettlement impacts. No further action is required.

Categories FI: A proposed project is classified as Category FI if it involves the investment of ADB funds to, or through, a financial intermediary.

ADB's SPS SR2 provides key requirements covering compensation, assistance and benefits for displaced persons, social impact assessment, resettlement planning, negotiated land acquisition, information disclosure, consultation and participation, grievance redress mechanism, monitoring and reporting, unanticipated impacts; and special considerations for indigenous peoples

2.2.2 ADB Social Protection Strategy (2001)

The Social Protection Strategy was approved by ADB on September 13, 2001. It is defined as the set of policies and programs designed to reduce poverty and vulnerability by promoting efficient labour markets, diminishing people's exposure to risks, and enhancing their capacity to protect themselves against hazards and interruption/ loss of income.

The Social Protection Strategy spells out the scope of social protection and commitment of the ADB to develop priority interventions in five major elements:

- Labour market policies and programs designed to generate employment, improve working conditions and promote the efficient operations.
- Social insurance programs to cushion the risks associated with unemployment, ill health, disability, work-related injury and old age.
- Social assistance and welfare service programs for the vulnerable groups with inadequate means of support, including single mothers, the homeless, or physically or mentally challenged people.
- Micro and area-based schemes to address vulnerability at the community level, including micro insurance, agricultural insurance, social funds and programs to manage natural disasters; and
- Child protection to ensure the healthy and productive development of children.

At the project level, the following social protection requirements are applicable in the design and formulation of ADB projects,

compliance with the internationally recognized core labour standards; and

taking all necessary and appropriate steps to ensure that for ADB-financed procurement of goods and services, contractors, subcontractors and consultants will comply with the country's labour legislation (e.g., minimum wages, safe working conditions, and social security contributions, etc.) and the Core Labour Standards

The Core Labour Standards include:

Elimination of all forms of forced or compulsory labour (Conventions 29 and 105)

Effective abolition of child labour (Conventions 138 on minimum age, 182 on worst form)

Freedom of association and effective recognition of the right to collective bargaining (Conventions 87 and 98)

Elimination of discrimination in respect of employment and occupation (Conventions 100 equal remuneration and 111 on discrimination)

2.2.3 ADB Gender and Development Policy (1998)

The ADB Gender and Development Policy, which was approved in 1998, is the guiding framework for gender and development activities. The Policy adopts gender mainstreaming as the key strategy for promoting gender equality and women's empowerment across the full range of ADB operations—from country partnership strategies to the design and implementation of gender-inclusive projects and programs. The key elements of ADB's policy include gender sensitivity, gender analysis, gender planning, mainstreaming, and agenda setting. To operationalize the policy, ADB's focus of activities will be to:

- Gender analysis: to assess systematically the impact of a project on men and women, and on the economic and social relationship between them.
- Gender planning: to formulate specific strategies that aim to bring about equal opportunities for men and women.

- Mainstreaming: to consider gender issues in all aspects of ADB operations, accompanied by efforts to encourage women's participation in the decision-making process in development activities (Section B paragraph 78).
- Key provisions for loans in this policy (Section C: Operational Approaches) are as follows:
- ADB will promote the mainstreaming of gender considerations in projects, and gender concerns will be addressed at all appropriate stages of the project cycle from identification through post-valuation (paragraph 85)
- Special design features and strategies will be built into projects, especially those with the potential to address GAD objectives, to facilitate and encourage women's involvement, and to ensure tangible benefits to women (paragraph 86).
- During project implementation, GAD objectives and components will be systematically monitored and reported (paragraph 89).

2.2.4 ADB Access to Information Policy (2018)

The objective of the Access to Information Policy (AIP) is to promote stakeholder trust in ADB and to increase the development impact of ADB activities. The policy reflects ADB's commitment to transparency, accountability, and participation by stakeholders in ADB-supported development activities in Asia and the Pacific. It also recognizes the right of people to seek, receive, and impart information about ADB's operations. The AIP is based on the following principles:

- (i) Clear, timely, and appropriate disclosure. ADB discloses information about its operations in a clear, timely, and appropriate manner to enhance stakeholders' ability to meaningfully engage with ADB and to promote good governance.
- (ii) Presumption in favor of disclosure. ADB discloses information unless that information falls within the exceptions to disclosure specified in the policy.
- (iii) Limited exceptions. Full disclosure of information is not always possible. For example, ADB needs to explore ideas, share information, hold candid discussions, and freely debate ideas internally and with its members or clients. In other cases, ADB needs to consider the special requirements of its no sovereign operations and clients,⁸ protect personnel's right to privacy, or safeguard its own and its clients' legitimate business interests. The policy provides a limited set of exceptions that balances the rights and interests of various parties. However, ADB reserves the right, under exceptional circumstances, to override the policy exceptions (para. 18) or not to disclose information that it would normally disclose (para. 19)
- (iv) Proactive disclosure. ADB proactively shares its knowledge products and information about its operations in a timely manner to facilitate participation in ADB decision-making. While the ADB website remains the primary vehicle for proactive disclosure, ADB also uses other appropriate means to disclose and communicate information
- (v) Sharing of information and ideas. The AIP includes processes by which people may equally seek, receive, and convey information and ideas about ADB operations. Effective communications and exchange of information and ideas with stakeholders is a vital component of effective and sustainable development.
- (vi) Providing information to project-affected people and other stakeholders. ADB works closely with its borrowers and clients to ensure two-way communications about ADB projects with project affected people and other stakeholders. This is done within a time frame, using relevant languages,⁹ and in a way that allows project affected people and other stakeholders to provide meaningful inputs into project design and implementation.
- (vii) Country and client ownership. ADB borrowers and clients own the projects that ADB supports or in which the bank invests. Thus, in some cases, the views of borrowers and

clients regarding the content and timing of disclosure are considered before documents are disclosed.

- (viii) Clear appeals process. A clear process to appeal an ADB decision not to disclose requested information is an important part of a meaningful disclosure framework.
- (ix) Continuous monitoring. ADB monitors the effectiveness of the policy, learns lessons from its successes and shortcomings, and stays abreast of new technologies and practices.

2.2.5 ADB's Accountability Mechanism Policy (2012)

ADB's Accountability Mechanism is an ADB procedure that allows people affected by ADB-financed projects to submit complaints to ADB. This is entirely separate from grievance mechanism which is responsible by Project Company at Project level. The Accountability Mechanism provides an independent forum and process whereby people can voice their problems and seek resolution and report alleged violations of ADB's operational policies and procedures. The Accountability Mechanism comprises two separate but related, phases, namely: (i) a consultation phase, led by ADB's Special Project Facilitator to assist project-affected people in finding solutions to their problems; and (ii) a compliance review phase, led by a three-member panel that investigates alleged violations of ADB's operational policies and procedures, as defined by the Board of Directors, including safeguard

Box 2.5: ADB's Complaint Receiving Channels

Complaints may be submitted by mail, fax, email, or in person:

Complaint Receiving Officer (CRO), Accountability Mechanism
Asian Development Bank ADB Headquarters, 6 ADB Avenue, Mandaluyong City 1550, Metro
Manila, Philippines (+632) 632-4444 loc. 70309 (+632) 636 2086 amcro@adb.org

Alternatively, the complaint may be submitted through ADB's Lao Resident Mission whose address appears below, which will forward the complaint to the CRO.

Lao PDR Resident Mission (LRM) - Asian Development Bank (ADB)
Corner of Lanexang Av. and Samsenthai Rd., P.O. Box 9724, Vientiane, Lao PDR
Office Hours: 8:00 a.m. to 5:00 p.m. (Monday to Friday)
Tel +856 21 250444
Fax +856 21 250333

policies, that have resulted or are likely to result in direct adverse and material harm to project affected people and recommends how to ensure project compliance with those policies and procedures.

The following may file a complaint:

- Two (2) or more persons (can be from the same family); and
- A local representative of such affected persons, or a nonlocal representative, in exceptional cases where local representation cannot be found, and the Special Project Facilitator (SPF) or Compliance Review Panel (CRP) agrees.

For compliance review, the following may also file a complaint: Any member of the ADB Board of Directors, in special cases involving allegations of serious violations of ADB's operational policies and procedures relating to an ongoing ADB-assisted project.

2.3 Add Applicable IFC Performances Standards here

According to IFC Performance Standard 5: Land Acquisition and Involuntary Resettlement (2012), involuntary resettlement refers both to physical displacement (relocation or loss of shelter) and to economic displacement (loss of assets or access to assets that leads to loss of income sources or means of livelihood) as a result of project-related land acquisition. Resettlement is considered involuntary when affected individuals or communities do not have the right to refuse land acquisition

that results in displacement. This occurs in cases of: (i) lawful expropriation or restrictions on land use based on eminent domain; and (ii) negotiated settlements in which the buyer can resort to expropriation or impose legal restrictions on land use if negotiations with the seller fail.

Three types of displaced people are entitled to compensation and assistance under IFC Performance Standard, including persons (i) who have formal legal rights to the land or assets they occupy or use; (ii) who do not have formal legal rights to land or assets, but have a claim to land that is recognized or recognizable under national law; (iii) who have no recognized legal right or claim to the land or assets they occupy or use. An overview of the relevant portions of IFC Performance Standard 5 is presented below:

- **Project Design:** The client will consider feasible alternative project designs to avoid or minimize physical and/or economic displacement, while balancing environmental, social, and financial costs and benefits, paying particular attention to impacts on the poor and vulnerable.
- **Compensation and Benefits for Displaced Persons:** When displacement cannot be avoided, the client will offer displaced persons and communities, compensation for loss of assets at full replacement cost and other assistance to help them improve or at least restore their standards of living or livelihoods.
- **Community Engagement:** The client will engage with affected communities, including host communities, through the process of stakeholder engagement described in Performance Standard 1. Decision-making processes related to resettlement and livelihood restoration should include options and alternatives, where applicable.
- **Grievance Mechanism:** The client will establish a grievance mechanism as early as possible in the project development phase. This will allow the client to receive and address specific concerns about compensation and relocation raised by displaced persons or members of host communities in a timely fashion, including a recourse mechanism designed to resolve disputes in an impartial manner.
- **Resettlement and Livelihood Restoration Planning and Implementation:** Where involuntary resettlement is unavoidable, the client will carry out a census with appropriate socio-economic baseline data to identify the persons who will be displaced by the project, to determine who will be eligible for compensation and assistance, and to discourage inflow of people who are ineligible for these benefits. Implementation of a Resettlement Action Plan or Livelihood Restoration Plan will be considered completed when the adverse impacts of resettlement have been addressed in a manner that is consistent with the relevant plan as well as the objectives of this Performance Standard.
- **Private Sector Responsibilities Under Government-Managed Resettlement:** Where land acquisition and resettlement are the responsibility of the government, the client will collaborate with the responsible government agency, to the extent permitted by the agency, to achieve outcomes that are consistent with this Performance Standard. In addition, where government capacity is limited, the client will play an active role during resettlement planning, implementation and monitoring.

2.4 Gap Analysis between National Laws, ADB SPS SR2 and IFC PS5

Table 2.1 presents gap analysis between ADB SPS and applicable national legislations.

Table 2.1: Comparison between ADB SPS SR2, IFC PS5 and Laos Legislations

	ADB Policy	IFC PS5	Applicable National Legislations and Practices	Identified Gaps	Agreed Measures to Close the Gaps
Obligations of project owners	Avoid involuntary resettlement wherever possible; minimize involuntary resettlement by exploring project and design alternatives		Decree on Compensation and Resettlement Management in Development Projects 84//NA, 2016 (hereafter Decree 84) Chapter 1 and 5 indicate in the obligation of project owner/s to meet the social and environmental obligations and responsibility for development and implementation of resettlement, compensation and rehabilitation of livelihood in compliance with this Decree	Avoid involuntary resettlement and minimize involuntary resettlement by exploring project and design alternatives	The Project have demonstrated effort to avoid impacts on resettlement to extent possible as discussed in Section 1.3 Alternative Consideration
Socio-economic survey	Socio-economic survey of affected households is required to be undertaken.		Decree 84, Article 10 In preparation of compensation plans, project owners must work in coordination with the committee for compensation and resettlement at the local levels concerned to collect information on the number of people to be resettled as well as to identify new resettlement areas in detail	There is no national provision for requirements of socio-economic survey coverage of economically displaced households	The Project has conducted socio-economic survey of affected households as presented in Section 4 Socio-economic Profile of PAPs

	ADB Policy	IFC PS5	Applicable National Legislations and Practices	Identified Gaps	Agreed Measures to Close the Gaps
			manners to prepare the resettlement plans while contributing to the development plans of project owners in terms of management and monitoring of social and environmental impacts		
Eligibility	Displaced persons in a project area could be of three types: (i) persons with formal legal rights to land lost in its entirety or in part; (ii) persons who lost the land they occupy in its entirety or in part who have no formal legal rights to such land, but who have claims to such lands that are recognized or recognizable under national laws; and (iii) persons who lost the land they occupy in its entirety or in part who have neither formal legal rights nor recognized or recognizable claims to such land.	.	Decree 84 Article 8 Compensation is applicable to the followings: (i) affected person possesses documents concerning the land use rights in accordance with the laws and their land have been all or partly affected (ii) the affected person possesses the traditional land use right, provided that the affected person has possessed the land use rights for a long period of time and he/she has protected, developed and used the land peacefully without invasion into protected forest areas or restricted areas with land use certificate issued by local authorities and relevant state agencies; (iii) In case	No gaps	The Project will adopt eligibility in compliance with ADB SPS and national legislation to include: (i) title holders (ii) traditional/customary land owners or who have claims to such lands that are recognized or recognizable under national laws (iii) non-title holders Please refer to Section 5 Eligibility and Entitlement for details

	ADB Policy	IFC PS5	Applicable National Legislations and Practices	Identified Gaps	Agreed Measures to Close the Gaps
			the affected person does not have land use rights documents as identified in (i) and (ii) of this article		
Compensation	<p>Preference to land-based resettlement strategies for displaced persons whose livelihoods are land-based. Replacement land should be offered with combination of productive potential, location advantages, and other factors at least equivalent to the land taken.</p> <p>Cash compensation at full replacement costs if land is not available or not preferred. The lack of land will be demonstrated and documented to the satisfaction of ADB.</p> <p>Depreciation of structures and assets should not be taken into account.</p> <p>Income and livelihood restoration.</p> <p>Relocation assistance.</p>		<p>Decree 84 Article 2 The compensation shall be in the form of land, material or money for the land, agricultural products, livestock and incomes that are affected by development projects based on the compensation value.</p> <p>Decree 84 Article 9 Based on prices applied by the state, market prices or average prices applicable for period of compensation and based on the types of properties and locations.</p> <p>Decree 84 Article 8 Compensation for constructed facilities without deduction of depreciation value</p> <p>Livelihood rehabilitation.</p> <p>Assistance during the transitional period.</p>	There are no provisions for preference to land-based resettlement strategies and compensation at full replacement costs	<p>The Project will consider land-for-land compensation for displaced persons and demonstrate replacement value of land where land-for-land compensation is not possible.</p> <p>The Project will compensate for loss of assets at full replacement cost</p>
Compensation for non-title holders	Non-title affected persons (persons who have neither formal legal rights nor		Decree No. 84/NA, 2016, Article 8	No major gaps	The Project will ensure compensation for non-title holders at full replacement cost

	ADB Policy	IFC PS5	Applicable National Legislations and Practices	Identified Gaps	Agreed Measures to Close the Gaps
	recognized or recognizable claims to such land) are not compensated for affected land but are eligible for compensation for non-land assets such as dwellings, and also for other improvements to the land, at full replacement cost. Income and livelihood restoration.		In case the affected person does not have land use rights documents, the affected person shall not be entitled to receive any compensation for the loss of such land. However, he/she will be entitled to receive compensation for the loss of constructed facilities, trees and crops		
Significant Affected households	Significant impacts are defined as affected households experiencing major impacts (i) being physically displaced from housing, or (ii) losing 10% or more of their productive (income generating) assets.		NA	Legislation has no provision for severely affected groups and obligatory provision of compensation and livelihood restoration for this group.	Being physically relocated from housing (not applicable to this Project); and/or losing 10% or more of productive assets (income generating) will be considered as severely affected for the Project.
Cut-Off date	The good practice is to use the completion date of the census of displaced persons as the cut-off date. The SPS requires that the borrower/client document and disseminate the cut-off date information throughout the project area. The key is to inform all displaced persons and enforce the		The affected persons must acknowledge that any activities taking place after the date of registration of their eligibility for compensation shall not be subject to compensation except for the case that the compensation plan are not implemented within the time	No major gaps	The Project will adopt the date of completion of DMS survey as cut-off date and disseminate the cut-off date information throughout the project area.

	ADB Policy	IFC PS5	Applicable National Legislations and Practices	Identified Gaps	Agreed Measures to Close the Gaps
	requirements to prevent eligibility and implementation problems		frame as defined in point 12 of this article		
Compensation completion	The borrower/client will ensure that no physical displacement or economic displacement will occur until (i) compensation at full replacement cost has been paid to each displaced person for project components or sections that are ready to be constructed; (ii) other entitlements listed in the resettlement plan have been provided to displaced persons; and (iii) a comprehensive income and livelihood rehabilitation program, supported by an adequate budget, is in place to help displaced persons improve, or at least restore, their incomes and livelihoods.		Decree 84, Article 8 Project owners must complete the implementation of their compensation plans within twenty-four months as from the date the compensation plan is officially adopted	There is no national provision on taking possession of acquired land and related assets only after compensation has been completed and livelihood rehabilitation program is in place.	The Project will take possession of acquired land and assets after completion of compensation and establishment of livelihood restoration program.
Vulnerable households and gender considerations	Improve the standards of living of the displaced poor and other vulnerable groups, including women, to at least national minimum standards. In rural areas		Decree 84, Article 15 Special attention to address the need of vulnerable groups “who cannot help themselves” including disabled, elderly, children	ADB considers people without land/squatters as vulnerable group There is no regulatory provision for particular	The Project will adopt definition of vulnerable groups as follows: <ul style="list-style-type: none"> ■ Poor households i.e. living under the national poverty line set by the Laos Government

	ADB Policy	IFC PS5	Applicable National Legislations and Practices	Identified Gaps	Agreed Measures to Close the Gaps
	<p>provide them with legal and affordable access to land and resources, and in urban areas provide them with appropriate income sources and legal and affordable access to adequate housing.</p> <p>Pay particular attention to the needs of vulnerable groups, especially those below the poverty line, the landless, the elderly, women and children, and Indigenous Peoples, and those without legal title to land, and ensure their participation in consultations.</p> <p>During resettlement process, adequate attention will be paid to gender concerns, including specific measures addressing the need of female headed households, gender-inclusive consultation,</p>		and widows who have responsibilities for their families. These groups of people are more vulnerable than other groups to be poorer resulted from development projects.	attention to gender concerns during resettlement process	<p>(LAK 9,364 (USD 0.83) per day per person);¹⁰</p> <ul style="list-style-type: none"> ■ Households of elderly persons above the age of 65 with no economic support; ■ Households with physically and/or mentally disabled members who need care from other family members; ■ Female-headed households with dependents; and ■ Households with no land/squatters. <p>The Project will ensure that the RP addresses gender concerns and gender-inclusive and responsive process and assistance will be provided to vulnerable groups. Refer to Section 5.5 key considerations for vulnerable groups in more details.</p>

¹⁰ The national poverty line is estimated at LAK 280,910 (USD 24.90) per month per person at 2019 prices or approximately LAK 9,364 (0.83 USD) per day per person.

	ADB Policy	IFC PS5	Applicable National Legislations and Practices	Identified Gaps	Agreed Measures to Close the Gaps
	information disclosure, and grievance mechanisms.				
Considerations for Indigenous Peoples	The borrower/client will explore to the maximum extent possible alternative project designs to avoid physical relocation of Indigenous Peoples that will result in adverse impacts on their identity, culture, and customary livelihoods. If avoidance is impossible, in consultation with ADB, a combined Indigenous Peoples plan and resettlement plan could be formulated to address both involuntary resettlement and Indigenous Peoples issues.		N/A	The national legislations do not stipulate considerations for indigenous peoples.	The Project has demonstrated to avoid physical displacement of indigenous peoples through various layout change.
Meaningful consultation	A resettlement plan will be based on the social impact assessment and through meaningful consultation with the affected persons. A resettlement plan will include measures to ensure that the displaced persons are (i) informed about their options and entitlements pertaining to compensation, relocation, and		Decree 84, Article 9 Project owners, in collaboration with the committee for compensation and resettlement at the local level, must estimate the value for compensation for land, constructed facilities, crop products, livestock and potential incomes and organize consultations with affected people.	There is no national provision for meaningful consultation	Principle of meaningful consultation has been adopted for the project in accordance with the requirements of ADB SPS as discussed in Section 7 Information Disclosure, Consultation and Participation and Grievance Mechanism.

	ADB Policy	IFC PS5	Applicable National Legislations and Practices	Identified Gaps	Agreed Measures to Close the Gaps
	rehabilitation; (ii) consulted on resettlement options and choices; and (iii) provided with resettlement alternatives.		Decree 84, Article 18 The obligation of the affected people is to attend consultations at different levels, make comments to the plan of compensation, resettlement, and rehabilitation of their livelihood		
Information Disclosure	Disclose a draft resettlement plan, including documentation of the consultation process in a timely manner, before project appraisal, in an accessible place and a form and language(s) understandable to affected persons and other stakeholders. Disclose the final resettlement plan and its updates to affected persons and other stakeholders.		Decree 84, Article 17 The Project owner is responsible for the information developed and disclose the information related to the implementation of the development project as well as the implementation of the plans of compensation, resettlement, and rehabilitation of the livelihood of people for the relevant state and public organizations	No major gaps	The Project will disclose a draft and final resettlement plan to affected persons and other stakeholders.
Grievance Redress Mechanism	The borrower/client will establish a mechanism to receive and facilitate the resolution of affected persons' concerns and grievances about physical and economic displacement		Decree 84, Article 24 There are three tiers of grievance resolution. First grievance is filed to an operation unit of the compensation and resettlement and a	No major gaps	The Project's grievance mechanism starts at the village level integrated into the village grievance committee not just administrative authorities. Women and vulnerable groups

	ADB Policy	IFC PS5	Applicable National Legislations and Practices	Identified Gaps	Agreed Measures to Close the Gaps
	and other project impacts, paying particular attention to the impacts on vulnerable groups. The grievance redress mechanism should be scaled to the risks and adverse impacts of the project. It should address affected persons' concerns and complaints promptly, using an understandable and transparent process that is gender responsive, culturally appropriate, and readily accessible to the affected persons at no costs and without retribution. The borrower/client will inform affected persons about the mechanism.		management unit of compensation and resettlement. If not resolved, the grievance can be lodged to government through the study and proposition of the Ministry of Natural Resources and Environment. If not resolved, the disagreeing party is entitled to make a request for justice to the National Assembly or to a court according to the judicial process.		will be included in the grievance committee. The Project will inform the affected communities of the grievance mechanism. The Project will ensure transparent, documented and free of retribution grievance process.
Monitoring and Reporting	The borrower/client will monitor and measure the progress of implementation of the resettlement plan. The extent of monitoring activities will be commensurate with the project's risks and impacts. In addition to recording the progress in compensation payment and other		Decree 84, Article 27 The project owner must set up a management unit of compensation and resettlement to take charge of monitoring and examination by himself other than other parties. The unit is obliged to make reports to the state audit-inspection organizations concerning the	Requirement for external monitoring in case of projects with significant involuntary impacts	The Project will prepare and submit internal monitoring reports to ADB semi-annually. Following the DMS results, the Project will determine if significant involuntary impacts applies. If deemed applicable, external monitoring will be established (refer to Section 10 Monitoring and Evaluation Framework).

	ADB Policy	IFC PS5	Applicable National Legislations and Practices	Identified Gaps	Agreed Measures to Close the Gaps
	<p>resettlement activities, the borrower/client will prepare monitoring reports to ensure that the implementation of the resettlement plan has produced the desired outcomes. For projects with significant involuntary resettlement impacts, the borrower/client will retain qualified and experienced external experts or qualified NGOs to verify the borrower's/client's monitoring information. The borrower/client will prepare semi-annual monitoring reports that describe the progress of the implementation of resettlement activities and any compliance issues and corrective actions.</p>		<p>monitoring and examination of the implementation of the plan for compensation, allocation and relocation, and rehabilitation of people's livelihood of the development project as stipulated in the overall plan, at each period.</p>		
Resettlement	<p>In the case of physically displaced persons, the borrower/client will provide (i) relocation assistance, secured tenure to relocation land, better housing at resettlement sites with comparable access to</p>		<p>Law on Resettlement and Vocation No.45/NA, 2018 Article 11: Specific resettlement means the allocation of place to stay and make a living for persons who affected from the development project.</p>	<p>Opportunities to derive appropriate development benefits from the project.</p>	<p>There will be no physical displacement impact from Project's land acquisition. Therefore, no measures to close the gaps are required.</p>

	ADB Policy	IFC PS5	Applicable National Legislations and Practices	Identified Gaps	Agreed Measures to Close the Gaps
	employment and production opportunities, and civic infrastructure and community services as required; (ii) transitional support and development assistance, such as land development, credit facilities, training, or employment opportunities; and (iii) opportunities to derive appropriate development benefits from the project.		<p>Article 19: requirements for resettlement sites</p> <p>Article 20: Resettlement Process</p> <ol style="list-style-type: none"> 1. Resettlement project study and planning; 2. Compensation for damages caused by resettlement; 3. Building of Infrastructures to support resettlement; 4. Displacement or relocation. 5. Resettlement area development. 6. Livelihood rehabilitation during transition period. 7. Handover of resettlement project. 8. End of resettlement project. 		
Livelihood Restoration	Improve, or at least restore, the livelihoods of all displaced persons through (i) land-based resettlement strategies when affected livelihoods are land based where possible or cash compensation at replacement value for land		<p>Law on Resettlement and Vocation No.45/NA, 2018</p> <p>Vocation program is to promote sustainable vocation for displaced persons to make available stable source of income</p> <p>Article 30: Persons eligible for vocation programs</p>	No gaps	The Project has included training and employment opportunities in the Resettlement Plan and Community and Ethnic Group Development Plan.

	ADB Policy	IFC PS5	Applicable National Legislations and Practices	Identified Gaps	Agreed Measures to Close the Gaps
	<p>when the loss of land does not undermine livelihoods, (ii) prompt replacement of assets with access to assets of equal or higher value, (iii) prompt compensation at full replacement cost for assets that cannot be restored, and (iv) additional revenues and services through benefit sharing schemes where possible.</p> <p>The borrower/client will include detailed measures for income restoration and livelihood improvement of displaced persons in the resettlement plan. Income sources and livelihoods affected by project activities will be restored to pre-project levels, and the borrower/client will make every attempt to improve the incomes of displaced persons so that they can benefit from the project. For vulnerable persons and households affected, the resettlement plan will</p>		<p>include individuals or families who live in affected areas and meet the same requirements as for resettlement.</p> <p>Article 32: Vocation Procedures</p> <p>The vocation shall follow the following procedures:</p> <ul style="list-style-type: none"> ■ Data collection. ■ Vocation option assessment. ■ Vocational training and development. ■ Support and promotion of technical aspects, ■ access to finance and marketing. ■ Building of onsite developers and model families. ■ Monitoring and evaluation of vocational ■ implementation; ■ End of vocation project. 		

	ADB Policy	IFC PS5	Applicable National Legislations and Practices	Identified Gaps	Agreed Measures to Close the Gaps
	include measures to provide extra assistance so that they can improve their incomes in comparison with pre-project levels. The resettlement plan will specify the income and livelihoods restoration strategy, the institutional arrangements, the monitoring and reporting framework, the budget, and the time-bound implementation schedule				

3 ASSESSMENT OF LAND ACQUISITION IMPACTS

3.1 Impact Avoidance Measures

Impact Avoidance for Loss of Agricultural Land and NTFP Collection Area

The Project has undertaken multiple revision of the Project layout to avoid land acquisition impacts to extent possible. The previous layout (GoldWind) consisting of 148 WTGs resulted in 16 villages and 246 households affected by loss of agricultural land and 25 villages affected by loss of NTFP collection area. **Table 3.1** summarizes the Project land acquisition impact due to the previous layout (GoldWind).

Table 3.1: Land Impact due to Old Layout (GoldWind)

Province	Agricultural Land					NTFP Collection Area		
	Affected Area (ha)		Affected HHs (No.)			Affected Area (ha)		Affected HHs
	Per.	Temp.	Per.	Pert & Temp.	Temp.	Per.	Temp.	
Sekong	4.89	123.10	3	104	135	108.25	421	1,752
Attapeu	0.51	1.97	0	6	1	44.80	19.98	355
Total	5.4	125.07	3	110	136	153.05	439.98	2,107

In the current layout (Envision), the Project reduced the number of WTGs to 133 with the intention of reducing land acquisition and related impacts, particularly to agricultural and NTFP collection areas. However, villagers had already been informed of Project component locations, resulting in increased claims to land and numbers of affected people. **Table 3.2** summarizes the Project land acquisition impact resulting from the current layout. This indicates an increased impact to agricultural land by 78.74 ha and NTFP collection area loss is reduced by 57.55 ha. A total of 27 villages and 378 households are affected by agricultural loss, 25 villages are affected by NTFP collection loss.

Table 3.2: Land Impact Due to Current Layout (Envision)

Province	Agricultural Land					NTFP Collection Area		
	Affected Area (ha)		Affected HHs (No.)			Affected Area (ha)		Affected HHs
	Per.	Temp.	Per.	Pert & Temp.	Temp.	Per.	Temp.	
Sekong	23.84	146.83	2	170	168	101.04	353.88	1,752
Attapeu	5.47	9.70	3	33	2	49.75	30.81	355
Total	29.31	156.53	5	203	170	150.79	384.69	2,107

Based on the interview with DAFO/PAFO on 20 July 2022, boundaries for each type of forest for every village has been identified including designated NTFP areas. The government has agreement with the villages on the management on the different types of forest and NTFP areas. The DAFO/PAFO confirmed that though the Project might cause decrease of existing NTFP areas, it will

also open up new NTFP areas which the villagers can access. Though each village has assigned NTFP areas, villages can share NTFP/Production areas and work together on the management of the areas. He also concerns that with improved access, people from the cities may also come to hunt and collect NTFP in this area.

Impact Avoidance for Loss of Paddy Field

Given the area is highly mountainous with limited suitable flat land and water resource for rice paddy farming, rice farming is therefore considered highly significant for livelihood by the villagers. It was advised by village heads, villagers and field survey team that finding replacement land for rice paddy is highly difficult due to limited suitable flat land. For this reason, the Project has optimized the Project layout to avoid impact on rice paddy which is a significant and irreplaceable livelihood of the local villagers. The previous layout affected 13.23 ha of paddy rice, whilst the current layout will affect 8.61 ha of rice paddy – the Project has reduced paddy loss by 4.21 ha with layout optimization.

Impact Avoidance on Cultural Heritage

The Project has taken into considerations impacts to cultural heritage resources for Project layout optimization. The Project have put best effort to re-route the transmission line and internal road alignment to avoid cutting through cemeteries. Refer to **Section 3.6** for more details of impacts to cemetery and impact avoidance measures.

3.2 Affected Households

A total of 378 households and 396 land parcels will be impacted by Project land acquisition. **Table 3.3** presents details of affected households by land ownership type. As discussed in **Section 4.5** the common land ownership types in affected villages include land title, land use certificate and booking land¹¹. The majority of the households have land use certificate (306 households), followed by booking (49 households) land and land titles (19 households). Six households have land title and land tax payment and sixteen households have land tax payment and booking land.

Table 3.3: Affected HHs by Land Ownership Type

District	Village	Affected HH	Booking Land (No. HHs)	Land Tax Payment (No. HHs)	Land Tax Payment & Booking land (No. HHs)	Land Title & Land Tax Payment (No. HHs)	Land Titles (No. HHs)
Dak Cheung	Dak Tiem	22	4	17	1	0	0
	Dak Xeng	4	0	4	0	0	0
	Dak Yang	4	0	4	0	0	0
	Dak Yen	5	0	5	0	0	0
	Xiengluang	9	0	9	0	0	0
	Dak Terb	37	4	32	1	0	0
	Trongmuang	2	0	2	0	0	0
	Dak Dor	22	0	22	0	0	0
	Dak Den	5	0	5	0	0	0
	Dak Rant	43	5	38	0	0	0

¹¹Booking land refers to a traditional system, which is not recognized in Laos law. Village members claim or 'book' land for farming (or so-called booking) (e.g., rice, cassava, and coffee) by notifying the village heads and communicate to other village members by marking the booked land with sticks and lines or threads.


District	Village	Affected HH	Booking Land (No. HHs)	Land Tax Payment (No. HHs)	Land Tax Payment & Booking land (No. HHs)	Land Title & Land Tax Payment (No. HHs)	Land Titles (No. HHs)
	Dak Cheung	2	1	1	0	0	0
	Dak Lern	5	0	5	0	0	0
	Dak Kang	5	0	5	0	0	0
	Dak Bong	108	3	84	8	3	10
	Dak Muan	31	2	26	1	1	1
	Nonsavanh	4	0	4	0	0	0
	Ngon don	30	0	27	3	0	0
	Dak Ta Aok Noi	2	1	1	0	0	0
	Total	340	20	291	14	4	11
Sanxay	Dak Nong	21	14	7	0	0	0
	Dak Samor	9	5	4	0	0	0
	Dak Yok	4	4	0	0	0	0
	Dak Padu	4	4	0	0	0	0
	Total	38	28	8	0	0	0
Grand Total		378	49	306	16	6	19

3.3 Affected Land

Table 3.4 presents summary of affected land by the Project land acquisition of the Project. With regards to determining the number of significantly affected households i.e., those losing 10% or more of their productive (income generating) assets, the DMS questionnaire has incorporated questions to determine % of total productive asset impacted by the Project land acquisition. **Figure 3-1** presents an example of DMS form where information on total land holding (m²) and total affected land (m²) were asked. However, such percentage of productive asset or land affected by the Project could not be determined because most of the PAPs were not able to provide information on total land holdings. This may be attributed to:

- Lack of formal land ownership system (e.g., the presence of booking land) leads to PAPs do not know the accurate area of total land holding. This was substantiated during the consultation with the District and Provincial District on 18 July 2022, Attapeu District Officer indicated that most of the villagers do not have land titles as it is a remote area and land titling program has not reached the area due to limited capacity and personnel of the GOL.
- At the time of DMS, some respondents did not have a clear perspective of household's total land holding. For example, they may have three land plots but could not estimate the sum area of these land plots.
- Relatively low education level of the PAPs may hinder the respondents reporting their land information to the survey team
- The official documentation i.e., land tax payment is insufficient to provide such information in this area. For instance, one household may have been paying tax for 2 ha; however, their actual land holding is 4 ha—they have been paying tax to conservative land area to minimize tax payment amount.
- Some respondents indicated that they were not willing to provide such data of total land holding

Figure 3-1: Example of DMS Form



ສາທາລະນະລັດ ປະຊາທິປະໄຕ ປະຊາຊົນລາວ
ສັນຕິພາບ ເອກະລາດ ປະຊາທິປະໄຕ ເອກະພາບ ວັດທະນະຖາວອນ

ບົດບັນທຶກການຮັບຮອງເອົາຂໍ້ມູນ ການສໍາຫຼວດວັດແທກລະອຽດ ກ່ຽວກັບຜົນກະທົບ
ຈາກໂຄງການຜະລິດໄຟຟ້າຈາກພະລັງງານລົມ 600 MW, ເມືອງດາກຈຶງ, ແຂວງເຊກອງ ແລະ ເມືອງ ຊານໄຊ, ແຂວງ ອັດຕະປື

1. ຂໍ້ມູນຄົວເຮືອນທີ່ໄດ້ຮັບຜົນກະທົບ:		ລະຫັດຄົວເຮືອນ: DB28
ຊື່ ແລະ ນາມສະກຸນ ເຈົ້າຂອງຊັບສິນ: ທ. ສິມສາ ລາ ພູມມະ ສິງ		
ອາຍຸ: 52	ອາຊີບ: ພະນັກງານໂຍທາ	ໂທລະສັບ: 020 56478649
ຊື່ ແລະ ນາມສະກຸນ ຄູ່ສົມລົດ ຂອງເຈົ້າຂອງຊັບສິນ: ພ. ສອນ		
ອາຍຸ: 49	ອາຊີບ: ພະນັກງານສື່ສານ	ໂທລະສັບ:
ບັດຈຸບັນຢູ່ບ້ານ: ຄາກ ບຸງ	ເມືອງ: ຄາກຈຶງ	ແຂວງ:

ບົດບັນທຶກສະບັບນີ້ແມ່ນສ້າງຂຶ້ນໃນວັນທີ 22 ກໍລະກົດ 2022, ຢູ່ທີ່ບ້ານ ຄາກບຸງ, ເມືອງ ຄາກຈຶງ, ແຂວງ ສິງຂວາງ ລະຫວ່າງ ທີມງານຄະນະກຳມະການ ທີ່ກ່ຽວຂ້ອງ ແຕ່ລະຂັ້ນ, ອົງການປົກຄອງບ້ານ ແລະ ຄອບຄົວທີ່ໄດ້ຮັບຜົນກະທົບ ຈາກໂຄງການ.

ຈຸດປະສົງ ການເຮັດບົດບັນທຶກສະບັບນີ້ແມ່ນເພື່ອຢັ້ງຢືນຄວາມຖືກຕ້ອງເປັນເອກະພາບ ທາງດ້ານຂໍ້ມູນການສໍາຫຼວດວັດແທກລະອຽດກ່ຽວກັບຜົນກະທົບຈາກໂຄງການໄຟຟ້າພະລັງງານລົມ 600 MW ເພື່ອນໍາເອົາຂໍ້ມູນໄປຄິດໄລ່ມູນຄ່າໃນການຈັດຕັ້ງປະຕິບັດໜ້າວຽກການຊົດເຊີຍ ແລະ ທົດແທນຄ່າເສຍຫາຍຂອງໂຄງການ. ເຊິ່ງມີລາຍລະອຽດດັ່ງລຸ່ມນີ້:

2. ຂໍ້ມູນຕອນດິນທີ່ຖືກກະທົບ:

ປະເພດດິນ	ການນໍາໃຊ້ດິນ: ສວນ ກະເພີ, ນາເຂົ້າ, ມັນຕົ້ນ, ໄຮ່....ອື່ນໆ	ໃບຕາດິນ/ຈັບ ຈ່ອງ/ໃບເສຍ ພາສີ/ເຊົ້າທີ່ດິນ	ເລກທີ ໃບ ຕາດິນ/ຈັບຈ່ອງ/ ໃບເສຍພາສີ	ໄດ້ຜົນກະທົບຈາກເຂດ (ເລີ່ ກັງຫັນລົມ, ເສັ້ນທາງ, ສາຍສົ່ງ ໄຟ້າ, ສາຍສົ່ງ 500 kv, ອື່ນໆ	Total land holding (m ²)	Total affected land (m ²)	Permanent or Temporary Impact	ທີ່ຕັ້ງຂອງຕອນ ດິນ (GPS)	ໝາຍເຫດ
					ເນື້ອທີ່ດິນທີ່ມີ ທັງໝົດ (ມ ²)	ເນື້ອທີ່ດິນຈະຖືກ ກະທົບ (ມ ²)	ຮູບແບບຂອງຜົນ ກະທົບ (ຖາວອນ/ ຊົ່ວຄາວ)		
ສວນກະເພີ	ສວນກະເພີ	101020000		ສວນກະເພີ 500 ກວ.		2313	ຊົ່ວຄາວ	744334	
ດິນສວຍ	ດິນສວຍ	11		11		68744	ຊົ່ວຄາວ	1709982	
ຈຸດປ່າໄມ້	ຈຸດປ່າໄມ້	11		11		3378	ຊົ່ວຄາວ	244010	
ດິນສວຍ	ດິນສວຍ	11		11		5893	ຊົ່ວຄາວ	1709304	

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Table 3.4: Affected Land

Villages	Affected Area (ha)		Land Type	Affected HHs (No.)									Total Affect HHs (No.)		
	Per	Temp.		Per			Temp			Permanent & Temp.			Title	Non-title	Land Tax Payment
				Title	Non-title	Land Tax Payment	Title	Non-title	Land Tax Payment	Title	Non-title	Land Tax Payment			
Dak Cheung District, Sekong Province															
Dak Tiem	16.96	26.14	Booking land, paddy field, agriculture, upland rice field, communal	-	-	-	-	-	3	-	5	15	-	5	18
Dak Xeng	0.24	0.57	Agriculture, upland rice field, communal	-	-	-	-	-	-	-	-	4	-	-	4
Dak Yang	2.39	3.09	Upland rice field, communal	-	-	-	-	-	-	-	-	4	-	-	4
Dak Yen	3.04	7.25	Agriculture, upland rice field, communal	-	-	-	-	-	1	-	-	4	-	-	5
Xiengluang	2.97	20.99	Agriculture, upland rice field, communal	-	-	-	-	-	-	-	-	9	-	-	9
Dak Terb	7.39	29.49	Agriculture, upland, paddy field, booking land, communal	-	-	-	-	2	21	-	3	12	-	5	33
Trongmuang	15.06	44.92	Agriculture, ranch land, communal	-	-	-	-	-	-	-	-	2	-	-	2

IEAD SUPPLEMENTARY E&S STUDY
Resettlement Plan

Villages	Affected Area (ha)		Land Type	Affected HHs (No.)									Total Affect HHs (No.)		
	Per	Temp.		Per			Temp			Permanent & Temp.			Title	Non-title	Land Tax Payment
				Title	Non-title	Land Tax Payment	Title	Non-title	Land Tax Payment	Title	Non-title	Land Tax Payment			
Dak Dor	0.00	6.97	Agriculture, upland, fish pond, communal	-	-	-	-	-	10	-	-	12	-	-	22
Dak Den	10.96	19.79	Agriculture, booking land, communal	-	-	-	-	-	1	-	-	4	-	-	5
Dak Rant	26.98	79.27	Agriculture, upland rice field, paddy field, booking land, communal	-	-	-	-	3	14	-	2	24	-	5	38
Dak Cheung	6.00	12.26	Agriculture, booking land, communal	-	-	-	-	-	-	-	1	1	-	1	1
Dak Lern	10.19	32.63	Agriculture, communal	-	-	-	-	-	-	-	-	5	-	-	5
Dak Kang	10.94	36.48	Agriculture, communal	-	-	-	-	-	-	-	-	5	-	-	5
Dak Bong	21.52	68.61	Agriculture, upland rice field, paddy field, booking land, communal	-	-	-	10	9	58	3	2	37	13	11	95
Dak Muan	0.00	22.41	Agriculture, upland rice field, paddy field, booking land, communal	-	-	-	1	2	25	1	1	3	2	3	28

IEAD SUPPLEMENTARY E&S STUDY
Resettlement Plan

Villages	Affected Area (ha)		Land Type	Affected HHs (No.)									Total Affect HHs (No.)		
	Per	Temp.		Per			Temp			Permanent & Temp.			Title	Non-title	Land Tax Payment
				Title	Non-title	Land Tax Payment	Title	Non-title	Land Tax Payment	Title	Non-title	Land Tax Payment			
Nonsavan	0.00	2.70	Agriculture, communal	-	-	-	-	-	3	-	-	1	-	-	4
Ngon Don	0.00	27.26	Agriculture, upland rice field, paddy field, fish pond, booking land, communal	-	-	-	-	2	23	-	1	7	-	3	30
Dak Ta-ok Noi	0.00	18.88	Agriculture, booking land, communal	-	-	-	-	-	-	-	1	1	-	1	1
Dak Xeum	6.87	12.35	Communal	-	-	-	-	-	-	-	-	-	-	-	-
Sieng A	9.13	30.59	Communal	-	-	-	-	-	-	-	-	-	-	-	-
Tongsieng	1.48	2.43	Communal	-	-	-	-	-	-	-	-	-	-	-	-
Dak Dom	0.00	30.88	Communal	-	-	-	-	-	-	-	-	-	-	-	-
Dak Jom	7.75	14.13	Communal	-	-	-	-	-	-	-	-	-	-	-	-
Total	152.11	535.96	All land types	-	-	-	11	18	159	4	16	150	15	34	309
Sanxay District, Attapeu Province															
Dak Nong	9.26	17.15	Agriculture, upland rice field, booking land, communal	-	-	-	-	1	-	-	13	7	-	14	7

IEAD SUPPLEMENTARY E&S STUDY
Resettlement Plan

Villages	Affected Area (ha)		Land Type	Affected HHs (No.)									Total Affect HHs (No.)		
	Per	Temp.		Per			Temp			Permanent & Temp.			Title	Non-title	Land Tax Payment
				Title	Non-title	Land Tax Payment	Title	Non-title	Land Tax Payment	Title	Non-title	Land Tax Payment			
Dak Samor	5.57	11.37	Agriculture, upland rice field, paddy field, booking land, communal	-	2	1	-	-	-	-	3	3	-	5	4
Dak Yok	2.65	5.42	Booking land, communal	-	-	-	-	1	-	-	3	-	-	4	-
Dak Padoi	11.28	22.10	Agriculture, upland, communal	-	-	-	-	-	-	-	4	-	-	4	-
Total	28.76	56.04	All land types	-	2	1	-	2	-	-	23	10	-	27	11
Grand Total	188.63	606.13	All land types	-	2	1	11	20	159	4	39	160	15	61	320

3.4 Affected Trees and Crops

Table 3.5 presents summary of Project impact on trees and crops.

Table 3.5: Affected Crops and Trees

Village Province	Main affected crops	Area of affected paddy field (ha)	Area affected crops (ha)	Main affected trees	Number of trees
Dak Cheung District, Sekong Province					
Dak Tiem	Coffee, Rice, Old Farm*	0.28	7.75	Coffee	9,844
Dak Xeng	Rice, Old farm	-	0.69		-
Dak Yang	Coffee, Rice, Old farm	-	2.48		-
Dak Yen	Coffee, Old farm	-	2.21	Coffee	767
Xieng Luang	Coffee, Cassava, Rice, Old farm	-	3.34	Coffee	3,781
Dak Terb	Coffee, Cassava, Rice, Old farm, Trees	0.33	3.44	Coffee	6,650
Trongmueang	Coffee, Cassava, Old farm	-	0.40	Coffee	1,528
Dak Dor	Coffee, Cassava, Rice, Old farm	-	4.33	Coffee	348
Dak Den	Coffee	-	1.60	Coffee	1,752
Dak Rant	Coffee, Cassava, Rice, Old farm, Trees	1.16	7.45	Coffee	16,248
Dak Cheung	Coffee, Cassava, Rice, Old farm	-	0.60	Coffee	197
Dak Lern	Coffee, Cassava, Rice, Old farm	-	1.96	Coffee	6,090
Dak Kung	Coffee, Cassava	-	3.32	Coffee	228
Dak Bong	Coffee, Cassava, Rice, Old Farm	5.81	7.44	Coffee	44,433
Dak Muan	Coffee, Cassava, Rice, Old Farm	-	-	Coffee	15,908
Nonsavan	Coffee, Cassava, Trees	-	-	Coffee	3,671
Ngon Don	Coffee, Cassava, Rice, Old Farm	-	-	Coffee	11,770
Dak Ta-ok Noi	Old Farm	-	-	Coffee	1,153
Total		7.6	47.01	Coffee	124,368
Sanxay District, Attapeu					
Dak Nong	Coffee, Cassava, Rice, Old Farm	-	8.03	Coffee	1,364

Village Province	Main affected crops	Area of affected paddy field (ha)	Area affected crops (ha)	Main affected trees	Number of trees
Dak Samor	Coffee, Cassava, Rice, Old Farm	1.03	1.13	Coffee	940
Dak Yok	Booking Land	-	0.46		-
Dak Padou	Coffee, Cassava, Rice	-	2.34	Coffee	773
Total		1.03	11.96	Coffee	3,077
Grand Total		8.61	58.97	Coffee	127,445

*Note: Old farm refers to farms that were previously (but are not currently being) worked on. The owners still retain ownership of the land and may return to cultivate the land in the next 4-5 years.

3.5 Affected Structures and Assets

Table 3.6 presents a summary of Project impacts on structures and assets. Structures that are impacted by the Project land acquisition include farm hut, barb wire fence, fence post, toilet and kitchen. It is noted that there is no communal structures impacted by the Project.

Table 3.6: Affected Structures and Assets

Village Province	Type of Affected Structures of PAH					
	Farm hut (m ²)	Barb wire fence (m)	Fence post (No.)	Fence (m)	Toilet (m ²)	Kitchen (m ²)
Dak Cheung District, Sekong Province						
Dak Tiem	-	1,932.00	396.00	-	-	-
Xieng Luang	-	67.00	46.00	-	-	-
Dak Terb	-	572.50	50.00	-	-	-
Dak Dor	-	293.00	-	-	-	-
Dak Rant	47.00	2,606.00	16.00	-	4.00	-
Dak Chueng	-	152.00	120.00	-	1.44	-
Dak Kung	6.00	-	-	-	-	-
Dak Bong	128.00	10,035.00	2,278.00	-	-	-
Ngon Don	-	1,436.00	-	-	-	-
Nonsavan	12.00	582.00	-	-	-	4.00
Dak Muan	44.00	332.00	-	-	-	8.00
Total	237.00	18,007.50	2,906.00	-	5.44	12.00

Village Province	Type of Affected Structures of PAH					
	Farm hut (m ²)	Barb wire fence (m)	Fence post (No.)	Fence (m)	Toilet (m ²)	Kitchen (m ²)
Sanxay District, Attapeu Province						
Dak Nong	-	-	357.00	498.00	-	-
Dak Samor	-	431.00	415.00	641.00	-	-
Dak Yok	-	108.00	134.00	552.00	-	-
Total	-	539.00	906.00	1,691.00	-	-
Grand Total	237.00	18,546.50	3,812.00	1,691.00	5.44	12.00

3.6 Impacts on Cultural Heritage

3.6.1 Impacts to cemeteries

The Project has taken into considerations impacts to cultural heritage resources for Project layout optimization. More details are included in the Cultural Heritage Management Plan (CHMP) and CEGDP. Cemeteries (*paa saa*), which are mostly forested areas, are observed in all surveyed villages. Based on the consultation with Dak Learn village representative (village deputy and belief leader) on 20 August 2022, cemeteries are regarded as highly sacred place as they expressed the area as being highly respected and prohibited. The village coordinator of Dak Tiem village added to this premise that he considered cemetery as a highly sacred place as his parents who passed away are resting there. Activities to disturb resting of their ancestors such as chopping of wood or loud noise are prohibited—he feared if his parent are woken up from their resting by disturbing activities, the parent spirits will be angry at him for not protecting them and let them rest in peace after death. For this reason, people are prohibited from entering cemeteries for any kind of activities such as hunting or collecting timber and NTFPs. If cemeteries are impacted, it is required to undertake rites involves sacrifice of a pup and use its blood to spread across affected cemetery area.

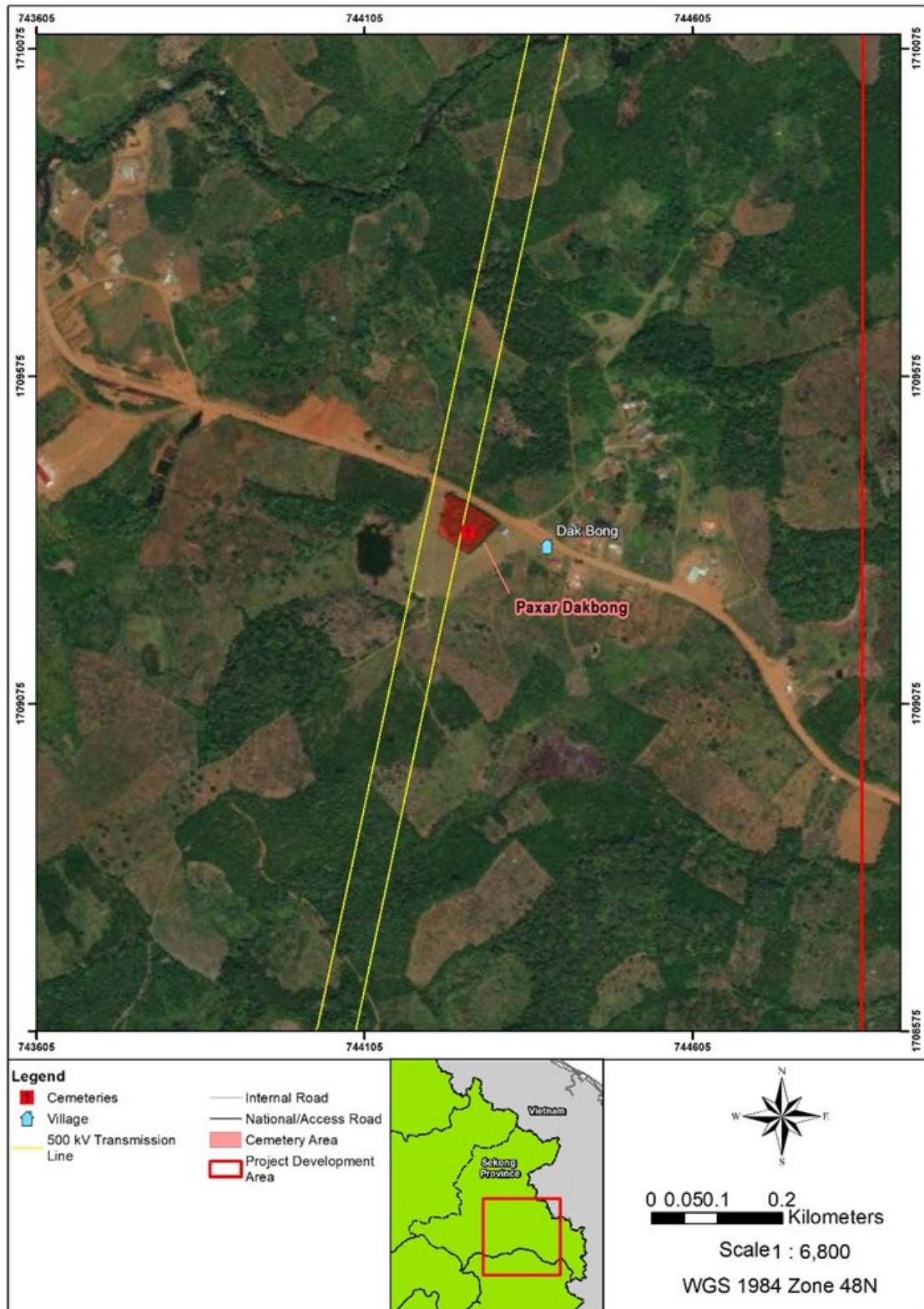
The final Project layout has been optimized and refined to avoid impacts to all cemeteries—the Project has re-routed the transmission line and access road alignments to avoid cutting through almost all the cemeteries. It is noted that an overhead transmission line will pass over Dak Bong Cemetery (**Figure 3-2**). The transmission line is approximately 70 m above the ground, therefore there will be no modifications (i.e. vegetation clearance and earthwork) made to the cemetery area. However, under the Regulation on Safety for High Voltage Transmission Line and Substation, EDL/ 2013, trees taller than 3 m are prohibited within the ROW area. Therefore, there is a need for the Project or relevant authority to maintain the height of trees under 3 m. The Project consulted with Dak Bong village on 21 July 2022, the village representatives indicated that cutting of trees within ROW in cemetery area is allowed; however, the Project is required to provide budget for the village to prepare and perform specific rituals to seek permission from spirits for such activities.

3.6.2 Impacts to Phou Koungking

Figure 3-3 presents location of Phou Koungking in relation to Dak Lern, Dak Kung and Prao villages. Eight WTGs are proposed in the lower elevation which is designated as multi-use zone of the Phou Koungking Mountain, and therefore will not affect the higher elevation of Phou Koungking which is believed to be sacred forest by Prao village. Project activities within the lower elevation of the Phou Koungking Mountain are not prohibited and will not incur any impact on intangible cultural heritage if the Project consults with the villages and complies with villages' Heet-Kong. Refer to **Section 4.2.2.3** for details discussion of beliefs around Phou Koungking and findings from consultations with Dak Lern, Dak Kung and Prao villages in July 2022.

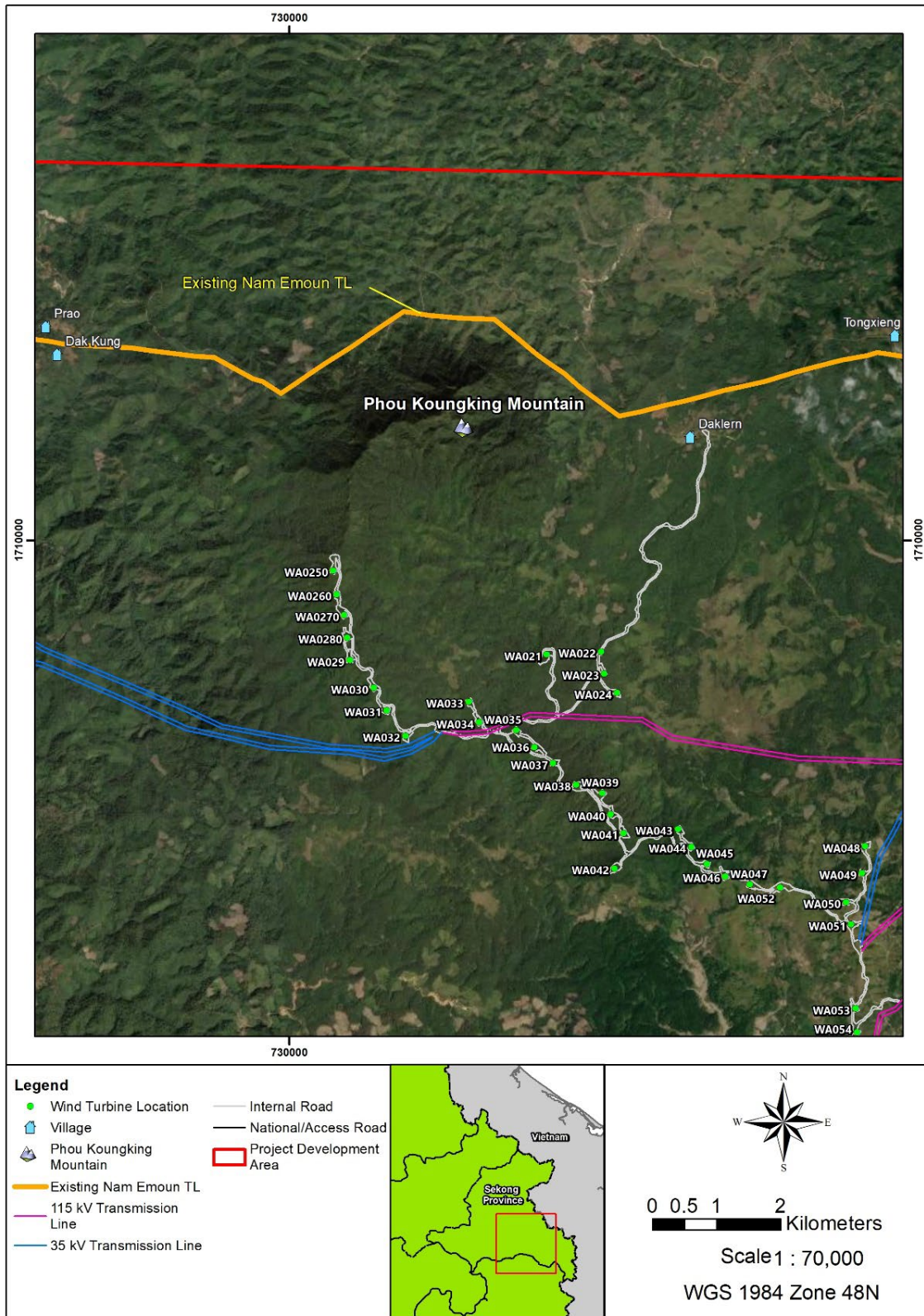
Phou Koungking is classified as a legally protected forest at a provincial level but not nationally protected, managed by the Provincial Agricultural & Forestry Office (PAFO). It is noted that this forest is also designated as a protected forest to protect area's watersheds. Any potential ecological impact of the Project on the sacred forest is assessed in **Section 8.4** of the ESIA and the mitigation measures are proposed in the BAP. Interview with DAFO also corroborate that there is a sacred forest in Phou Koungking. The Project will strictly comply with the regulation and requirements from relevant authorities and the Biodiversity Action Plan (BAP) and limit land clearance within this mountain as this area is also listed as a protected forest under the National guideline.

Figure 3-2: Dak Bong Cemetery



Source: ERM/Innogreen, 2021

Figure 3-3: Phou Koungking (Potential Intangible Heritage)



Source: ERM/Innogreen, 2021

4 SOCIO-ECONOMIC PROFILE OF PAPS

4.1 Methodology

The Project undertook a household socio-economic survey of PAPS in November and December 2021 with a target of 100% coverage of households listed at the time as affected (246 households) and with 10% of population of affected villages. A total of 449 out of 2,599 households (17.3%) and 2,984 out of 16,065 people (18.6%) of the 32 affected villages were engaged in Project's household socio-economic survey (referred to as HH socio-economic survey).

Due to the Project layout optimization, the number of affected households increased from 246 to 396 households during the DMS.¹² After being informed of the Project component locations there was an increase in land claims, which accounts for the 37% rise in households claiming land loss. Out of the revised figure of 396 households, 116 (29%) were included in the earlier household socioeconomic survey, in compliance with ADB's requirement [footnote: Planning & Implementation Good Practice Sourcebook, ADB, 2012]. This requires coverage of 20-25% of the affected population in a socioeconomic survey (**Table 4.1**). Following completion of the DMS, all 396 are considered eligible to receive compensation and other assistance as they occupy/use the land before the disclosure of the cut-off date.

Table 4.1: ADB's Guideline on Data Collection Methods

Type	Timing	Data Collection Tools	Objectives
Socioeconomic Survey	Feasibility study stage	Household questionnaire, usually covering a 20%–25% sample of affected population but could be less or more	<ul style="list-style-type: none"> ✓To prepare a profile of the displaced persons ✓To prepare an entitlement matrix for a resettlement plan ✓To assess incomes, identify productive activities, and plan for income restoration ✓To develop relocation options ✓To develop a social preparation phase^a for highly complex and sensitive projects
Census and assets inventory of losses	Best done after the engineering design and DMS	Complete enumeration of all displaced persons and an inventory of their assets through a household census questionnaire	<ul style="list-style-type: none"> ✓To prepare a complete inventory of the displaced persons and their assets as a basis for compensation and assistance ✓To identify non-titled persons ✓To minimize impact of later influx of outsiders to project area

The following section presents the main socio-economic characteristics of the Project's affected villages, which includes the demographic information, livelihood engagement, income and expenditures, land use and tenure, household assets, access to infrastructure and public services, social network and cohesion, and levels of vulnerability.

The information for this section was collected through Key Informant Interviews (KII) with relevant stakeholders including livelihoods groups, women's group, local authorities, youth, and healthcare personnel. The demographics of the household survey are outlined in **Table 4.2**.

¹² DMS result in July 2022 indicates a total of 396 affected households which are later updated in August 2022 to 378. For the purpose of this Section, the number of affected households refer to the DMS result in July 2022 (i.e., 396 affected HHs)

4.2 Demographic Profile

4.2.1 Population

Table 4.2 presents the population of the 32 Project-affected communities, which are clustered into koumban (village cluster)¹³ and akkelad villages¹⁴. A total of 116 households in the 32 affected villages were surveyed comprising 721 people, of which 362 are female (50.3%) and 359 are male (49.7%). The gender ratio of the affected villages is 1:1.01 females to males, similar to the national average which stands at 1:1 (female 49.8: 50.2 male).¹⁵ 107 of the 396 affected households surveyed are located in Dakcheung District while 9 of the 36 households are located in Sanxay.

Table 4.2 also presents the population by village¹⁶, where the total number of households surveyed is 116 with an average of 48 people per village surveyed. Dak Nong and Dak Padou of Sanxay District has the lowest population of 4 people who are affected, followed by Dak Yok, Dak Den, and Dak Yang of Dak Cheung District with 4 -11 affected people. Dak Bong has the largest population size of 128 affected people, followed by Dak Rant with 112 people. Based on the HH socio-economic survey, the average household size of the affected villages is 6.2 people per household which is higher than the national average of 4.7 people per household as of 2017.¹⁷ The population growth rate in 2019 in Dak Cheung District is 2.4% annually, while for Sanxay District, it is 1.02%.

The overall population density of Dak Cheung District (including affected and non-affected people) is 11 people per km² in 2019¹⁸, and 6.7 people per km² for Sanxay District¹⁹, which is considerably lower than that of the national population density of 31 people per km² in 2020.²⁰ The mountainous terrain of these districts contributes to a significantly lower population density than the national average.

¹³ Koumban is a cluster of villages which has been a priority for Lao administration since 2004 as an institutional link between District and village levels—it is 'A formal administrative grouping of villages within a District defined for a purpose of extending government policies and development programs'. (MAF and NLMA, 2010). The main objective is to strengthen the political infrastructure to advance rural development by bringing smaller villages together in larger units, as a more efficient basis for local administration and planning. Retrieved from: <http://lad.nafri.org.la/fulltext/1786-0.pdf>

¹⁴ Akkelad villages refer to those villages which are not clustered under any kouman because they are located in close proximity to the District or the municipality and therefore are governed directly by the District or municipality.

¹⁵ The World Bank, n.d.c

¹⁶ Number of village population was obtained through KIIs with village heads of each village during the site visit in November 2021

¹⁷ Lao Statistics Bureau, 2018

¹⁸ Socio-economic Development Plan (2020-2024), Dak Cheung District (Government of the Lao PDR, 2021)

¹⁹ Socio-economic Development Plan (2020-2024), Sanxay District (Government of the Lao PDR, 2021)

²⁰ Lao Statistics Bureau, 2020c

Table 4.2: Overview of Demographics of Affected Villages

Province	District	Koum Ban (Village Cluster)	Village	Impacted by Project Components	Total Affected Village Households	Total village population	Surveyed households		Surveyed population	
							N	%	N	%
Sekong	Dak Cheung	Xiengluang	Dak Tiem	Wind turbine and access road	23	658	9	39.1%	42	6%
			Dak Yang	Wind turbine and access road	4	397	1	25.0%	11	3%
			Dak Terb	Wind turbine, access road and transmission line (115kv)	38	769	12	31.6%	87	11%
		Dak Duem	Dak Dor	Transmission line facility (115)	31	528	9	29.0%	58	11%
			Dak Den	Wind turbine and access road	5	555	1	20.0%	5	9%
			Dak Rant	Wind turbine, access road and transmission line (115kv and 35kv)	46	445	15	32.6%	112	25%
		Xekamarn	Dak Muan	Transmission line facility (500kv)	31	398	17	54.8%	100	25%
			Dak Ta-ok Noi	Transmission line facility (500kv)	2	231	2	100%	15	6%
		Akkelad (no koum ban)	Dak Bong	Transmission line facility (500kv, 115kv, 35kv) and Sub-station 500kv	110	1,228	24	21.8%	128	10%
			Ngon Don	Transmission line (500kv)	30	553	12	40.0%	78	14%
			Dak Lern	Access road, Wind turbine and transmission line 115kv	5	257	5	100%	41	16%

Province	District	Koum Ban (Village Cluster)	Village	Impacted by Project Components	Total Affected Village Households	Total village population	Surveyed households		Surveyed population	
							N	%	N	%
Attapeu	Sanxay	Nam Zou	Dak Nong	Access Road and Transmission line 115kv	19	598	1	5.3%	4	1%
			Dak Samor	Wind Turbine Facility and Access Road	9	730	6	66.7%	32	4%
			Dak Yok	Wind Turbine Facility and Access Road	1	550	1	100%	4	1%
		Dak Ben	Dak Padou	Wind Turbine Facility and Access Road	4	363	1	25.0%	4	1%
Total					396	16,065	116	29%	721	14%

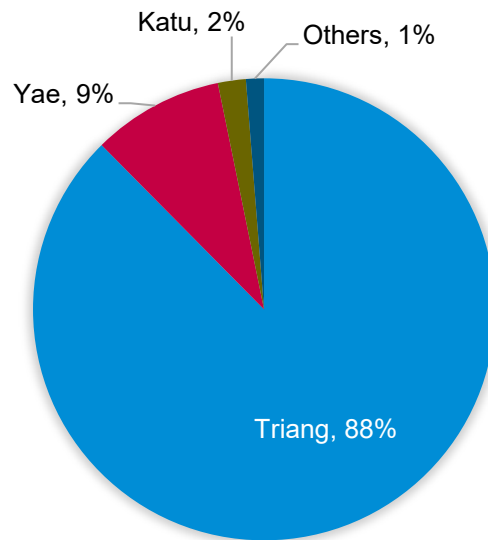
Source: Socio-economic survey undertaken by Innogreen/ERM, November 2021

* It should be noted that the administrative boundary provided from GIS data is inaccurate. The GIS Data shows that Dak Jom Village is located within Lamarn District; however, based on site visit and engagement with local authorities and villagers it is noted that Dak Jom village is located in and reports to Dak Cheung District.

4.2.2 Ethnicity and Religion

Three ethnic groups were identified in the HH socio-economic survey, namely Triang, Yae, Katu, and others. Of the 721 socio-economic surveyed population, 628 are Triang (88%), 66 are Yae (9%), 14 are Katu (2%), 9 are from other ethnic groups (1%), and 4 people chose not to answer (**Figure 4-1**)

Figure 4-1: Ethnic Groups in the Surveyed Population



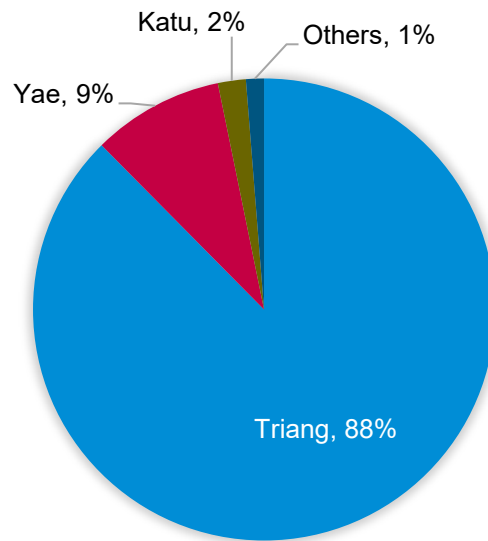
Source: Household socio-economic survey conducted November 2021

Three villages in Dak Cheung District were identified with having the most diverse ethnicities—Dak Bong, Dak Run, and Ngon Don were identified with all three ethnicities, with Triang being the most dominant ethnic group.

While the main religion of Lao PDR is Buddhism, accounting for 65% of the population²¹, about 30% of the population hold an animist belief (belief in supernatural beings or spirits as inhabiting animals, plants, rocks, and other objects in nature, and also the worshiping of ancestral spirits²²). These people are found among the ethnic minority groups of the Lao Theung (people of the mountainous areas) and the Lao Soung (people of the highlands). The majority of the population of the surveyed villages believe in animism, covering 98% of the surveyed population, whilst Buddhism accounts for 2% of the total surveyed households. **Section 4.12.13** discusses more on the topic of animism and the people's religious beliefs, as well as their cultural heritages.

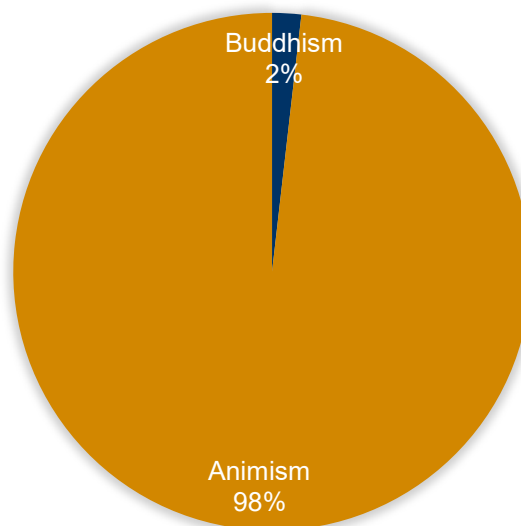
²¹ PHC, 2015

²² For a general definition and overview of animism please refer to: <https://iep.utm.edu/animism/>. For reflections on specific animist practices in the Lao PDR, please refer to https://www2.ohchr.org/english/bodies/hrcouncil/docs/13session/A.HRC.13.40.Add.4_enAEV.pdf, articles 54-57.

Figure 4-2: Ethnic Groups in the Project Affected Villages

Source: Household socio-economic survey conducted November 2021

In terms of religion, the majority of the population surveyed believe in animism in that of the 717 people surveyed, 704 people (or 99%) follow these beliefs, while only 13 (or 1%) considered themselves Buddhist (**Figure 4-3**).

Figure 4-3: Religions in the Project Affected Villages

Source: Household socio-economic survey conducted November 2021

4.2.2.1 Characteristics of Ethnic Groups

Table 4.3 presents key characteristics of main ethnic groups identified in the affected villages (Triang, Katu Yae and Ha Luk) including languages, land, ceremony, beliefs, language, and community cohesion.

Based on the site visit and Focus Group Discussions (FGDs) undertaken in November to December 2021, all ethnic groups have their own spoken languages, namely Triang, Yae, Katu and Ha Luk which are classified under Mon-Khmer Linguistic Group while Lao ethnic group is Lao-Tai Linguistic Group.²³ Due to the similarity of the spoken languages of Triang, Yae Katu, and Ha Luk these ethnic groups can understand one another. The FGDs and Key Informant Interviews (KIIs) also identified that Lao and Triang is the most common ethnic group in the Project area – makes up almost 100% of the population composition in the majority of villages, with some exceptions in a few villages. Therefore, Triang is the most common language for communication in all Project-affected villages. Most of the village members can understand and communicate in mainstream Lao to a great extent, except some of the older generation who have not gone to school and only communicate in their own ethnic group language.

In addition, ethnic groups also celebrate Lao mainstream festivals such as Laos' New Year, and wedding ceremonies absorbed from Laos mainstream culture. Access to education and information also changed preferences for medical treatment, from traditional medicine to modern medical treatments available in healthcare centers.

The communities have absorbed Lao mainstream culture and lifestyle mainly due to increased contacts with people from outside communities, increased access to information through radio, television and mobile phone and internet (young people identified to use internet for social media and contact with other people located outside of the villages), and increased access to infrastructure and education. Integration with Lao mainstream culture and lifestyle are therefore more visible in youth and younger members of the communities (**Figure 4-4**).

²³ IFAD, 2012

Figure 4-4: Integration of Lifestyle to Mainstream Society

Current clothing of the surveyed village population which have adopted from mainstream Laos. Additionally, the house has also adopted materials and style from mainstream Laos.

Women in some villages were observed to still wear traditional skirt called 'Sin' in combination of mainstream Laos clothing. In some villages, traditional houses were observed.





Source: Socio-economic household survey by ERM/Innogreen, November and December 2021




Through FGDs and KIIs and desktop research, it was understood that despite common animist beliefs, each ethnic group (Triang, Yae, Katu, Lao, and Ha Luk) has slightly different practices, rituals, customs, and ceremonies. These differences are discussed in more detail in **Table 4.3**.




Table 4.3: Characteristics of Ethnic Groups

Aspect	Triang	Katu	Yae	Ha Luk (Ha Hak)
Language	Triang have their own spoken language, which is similar to Yae and Katu	Katu have their own spoken language, which is similar to Triang and Yae	Yae have their own spoken language, which is similar to Triang and Katu	Ha Luk have their own spoken language, which is similar to Triang, Katu and Yae.
Political institution	<p>The key political institution of all ethnic groups include:</p> <ul style="list-style-type: none"> ■ Village head (<i>Nai Baan or Pok Kong Tong Thin</i>) which is a common village level authority across Laos, not only amongst ethnic groups. Responsibilities of village head may include (but not limited to) (i) allocating the use of communal land and other resources among households in the village; (ii) manage the people in the village, and to support the people in order to bring about more stable employment and sustainable livelihoods; (iii) settling disputes between members of the village; (iv) disciplining members of the village for violation of rules and customs; (v) representing the community or village on meetings convened higher-level authorities; and (iv) disseminate the government policies and laws to all people in the village.²⁴ ■ Belief leader or <i>Tao Kae Naew Home</i> which is translatable as an elderly who can gather/assemble all villagers together. <i>Tao Kae Naew Home</i> is usually someone who are well equipped of Heet-Kong (<i>Heet is long inherited traditions and Kong is social norm, custom or guidelines</i>) and have extensive experience in performing rituals that can guide the villagers. <i>Tao Kae Naew Home</i> is responsible for performing rites and guiding the villagers in following Heet-Kong of the village. 			
Traditional clothing	Triang's traditional clothing is similar to other ethnic groups in the Mhon-Khmer linguistic group. Men usually wear 'Ka-Tiew' (rolled tail or end of loincloth which runs between the legs and is tucked into the waistband at the back) and cloth across shoulders, women traditionally wear loincloth, running from chest down to shins.	Katu have unique traditional clothing, men wear 'Ka-Tiew; while women wear shirt and skirt made of traditionally woven cloth. Men have adopted to clothes from the Lao mainstream, while women still preserve traditional clothing as evidenced in ceremonies and festivals.	Men of Yae ethnic groups wear 'Ka-Tiew', and women wear loincloth similar to Triang ethnic groups. The key difference is the pattern of Katiew and skirt for women, which are unique to each ethnic group. During winter, they wear additional clothes to keep warm.	Ha Luk have unique traditional clothing, men wear 'Ka-Tiew; while women wear shirt and skirt made of traditionally woven cloth.

²⁴ [https://www.vientianetimes.org.la/Laws%20in%20English/49.%20Law%20on%20Local%20Administration%20\(2003\)%20Eng.pdf](https://www.vientianetimes.org.la/Laws%20in%20English/49.%20Law%20on%20Local%20Administration%20(2003)%20Eng.pdf)

Aspect	Triang	Katu	Yae	Ha Luk (Ha Hak)
	 <p>Source: Department of Ethnic Affairs, 2015a</p>	 <p>Source: Department of Ethnic Affairs, 2015b</p>	 <p>Source: Department of Ethnic Affairs, 2015c</p>	 <p>Source: Department of Ethnic Affairs, 2015d</p>
Community features	<p>Each Triang village usually construct fences made of bamboo (height up to 5 meters) around the villages and two gates for entry-exit of the village, known as “Patou Vieng”. The fence is for protection against thieves and wild animals from attacking livestock and village members.</p> <p>Additionally, villages also have Salakuan, a building in the middle of the village, for receiving guests and ritual ceremonies and feasts.</p>	<p>Traditionally, the houses are built to form a circle around “Salakuan”, a building in the middle of the village used as a meeting hall and for ceremonies.</p>	<p>Similar to Katu, the houses are built to form a circle around “Salakuan”. In front of the Salakuan and each house, there is usually a pole for securing animals e.g. buffalos, cows, pigs for animal offering/sacrifice rituals.</p>	<p>Traditionally, fence made of bamboo are constructed around the houses. Salakuan and poles for securing animals for ceremonies and sacrifice rituals are not common in the village. Rather, a nearby tree or somewhere else appropriate for securing animals e.g. buffalos, cows, pigs, are used for securing animal for sacrifice rituals.</p>

Aspect	Triang	Katu	Yae	Ha Luk (Ha Hak)
	 <p>Source: The identity of Trieng Ethnic Group [Lao PDR] - Library records OD Mekong Datahub</p>	 <p>Source: The identity of Katu Ethnic Group [Lao PDR] - Library records OD Mekong Datahub</p>	 <p>Source: The identity of Yae Ethnic Group [Lao PDR] - Library records OD Mekong Datahub</p>	 <p>Source: The identity of Haluk Ethnic Group [Lao PDR] - Library records OD Mekong Datahub</p>
Livelihood	<p>Based on the FGDs with ethnic groups, all ethnic groups have similar livelihoods comprising cultivation of rice, coffee, and cassava plantation. Some are still engaged in shifting cultivation and collection of Non-timber forest products (NTFPs). The socio-economic household survey conducted in November to December 2021, indicated that key primary livelihoods of active workforce comprised agriculture (farming and livestock) (75%) and day laborer (17%), while only 3% are engaged in NTFP collection as their primary livelihood. Consultation with Dak Rant village on 20 July 2022 found that while the villagers indicate to collect NTFPs in addition to agricultural activities or when they are free from agricultural activities. However, in Dak Learn village (and potentially Proa and Dak Kung villages given location being close to Phou Koungking which is a key resource for NTFPs), it was found that NTFP collection makes up a primary livelihood. Based on the village consultation conducted on 18-21 July 2022 in Dak Lern village, most of the households are engaged in timber and non-timber forest products (NTFPs) (mushroom, bamboo shoot, honey, ginseng, orchid, rattan, tiger grass etc.) collection from the foothill of Phou Koung King. Bamboo and tiger grass can be sold as a raw material or processed as added value products. Timber and non-timber forest products (NTFPs) collection is main income of household due to villagers collect and sell to merchant from Vietnam.</p>			
Ceremony	<p>Triang people organize several ceremonies to worship spirits throughout the year. At the beginning of the year, Triang celebrate the 'new rice ceremony' or Boun Kin Khao Mai by offering buffalos, cows and pigs to worship and food for community members. In June, they celebrate the festival "Boun Duean 6". At the end of the year, worshipping</p>	<p>Katu ethnic group holds several ceremonies throughout the year including rice ceremony (Boun Kwan Khao), ceremony prior to planting rice, ceremony for rice harvest and other ceremonies which organized within the households or together as a</p>	<p>Celebrations are conducted after rice harvest for 3 days and 3 nights which involve animal sacrifice / offering (such as buffalo, cow, pig) to worship spirits – known as the 'Boon Ja' ceremony. Yae have Boun Phao Thane, Boun Sak Khao, Boun Hor Khao Tom are</p>	<p>Ha Luk ethnic group holds several ceremonies throughout the year including rice ceremony (Boun Kwan Khao), "Chongkapiew" ceremony which is organized within the households or together as a community. The ceremonies usually involve offering of buffalos</p>

Aspect	Triang	Katu	Yae	Ha Luk (Ha Hak)
	spirits by offering buffalos for any wrong deeds or rites that have been caused in that year.	community. The ceremonies usually involve offering of chicken, pig or buffalo to worship spirits.	three festivals celebrated for the rice harvest and they prepare tools for land clearing for the new plantation season, with the tool attended to by local ironsmiths.	and cows to worship spirits. At present, the "Chongkapiew" ceremony is performed once in 2-3 years.
Religion and belief	Animist belief in supernatural beings or spirits as inhabiting animals, plants, rocks, and other objects in nature, and also the worshiping of ancestral spirits.			
Skills sets	All ethnic groups engage in ironsmithing, bamboo handicrafts, and weaving, which are unique to their ethnic groups. These skills have been passed on from generation to generation.			
Tangible and intangible cultural heritage	<p>Cemeteries are highly respected sacred places for the Triang ethnic group. Additionally, they also have sacred forests, sacred ponds and prohibited areas.</p>  <p>Triang maintain folk songs and folk tales in their own language. Moreover, they have musical instruments such as drum (Kong La).</p>	<p>Similar to Triang culture, cemeteries, sacred forests, ponds and prohibited areas are highly respected sacred places for the Katu ethnic group.</p>  <p>Katu maintain folk songs and folk tales in their own language. Additionally, they also have local musical instruments such as drum and pipe.</p>	<p>Same for the Yae ethnic group in terms of cultural heritage.</p>  <p>Yae have their song known as "Lam Yae", as well as their own drum (Kong La) and sarong (Sin Lom).</p>	<p>Similar to Triang, Katu and Yae, sacred forests and prohibited areas are highly respected sacred places for the Ha luk ethnic group.</p>  <p>Ha Luk maintain folk songs and folk tales in their own language. Additionally, they also have local musical instruments such as drum and pipe.</p>

Source: Department of Ethnic Affairs, 2015a, 2015b, 2015c & 2015d

4.2.2.2 Tangible Cultural Heritage

Cemeteries

Figure 4-5 presents a map depicting the locations of cultural heritage resources. One of the core beliefs of animism is spirits believed to be inhabiting inanimate, often nature-based objects and also ancestral worship.²⁵ This is observed within the Project area. Cemeteries (*paa saa*), which are mostly forested areas, are observed in all surveyed villages (**Figure 4-7**). In the cemeteries, there are usually small huts of the deceased where the local people believe the spirits of the deceased live. Local villagers offer food, tools, and other worshipping materials to the deceased at these small huts (**Figure 4-6**).

Based on the consultation with Dak Learn village representative (village deputy and belief leader) on 20 August 2022, cemeteries are regarded as highly sacred place as they expressed the area as being highly respected and prohibited. The village coordinator of Dak Tiem village added to this premise that he considered cemetery as a highly sacred place as his parents who passed away are resting there. Activities to disturb resting of their ancestors such as chopping of wood or loud noise are prohibited—he feared if his parent are woken up from their resting by disturbing activities, the parent spirits will be angry at him for not protecting them and let them rest in peace after death. For this reason, people are prohibited from entering cemeteries for any kind of activities such as hunting or collecting timber and NTFPs. If cemeteries are impacted, it is required to undertake rites involves sacrifice of a pup and use its blood to spread across affected cemetery area.

Village Ceremonial Ground (Salakuan)

There are ceremonial ground in the village which are used for performing rites or ceremonies. Poles located in the middle of the villages to secure animals and serve as a place for performing animal sacrifice (*Error! Reference source not found.*). In some villages, such as Dak Jom village, sacred houses or Salakuan in the middle of villages are used for performing animal sacrifices. Women and outsiders are strictly forbidden from entering Salakuan.

Figure 4-5: Pole Used for Securing Animals to Perform Animal Sacrifice



Dak Den village



Sieng A village



²⁵ See <https://iep.utm.edu/animism/>.



Dak Dom village



Dak Jom Village

The wooden pillars located in the ceremonial courtyard in the center of the villages are used for securing animals such as buffalos to perform animal sacrifice. In some villages, Salakuan, a building in the middle of the village, is used for as a meeting hall and to organize ceremonies (refer to (iii) and (iv)).

Figure 4-6: Cemeteries and Huts of the Deceased

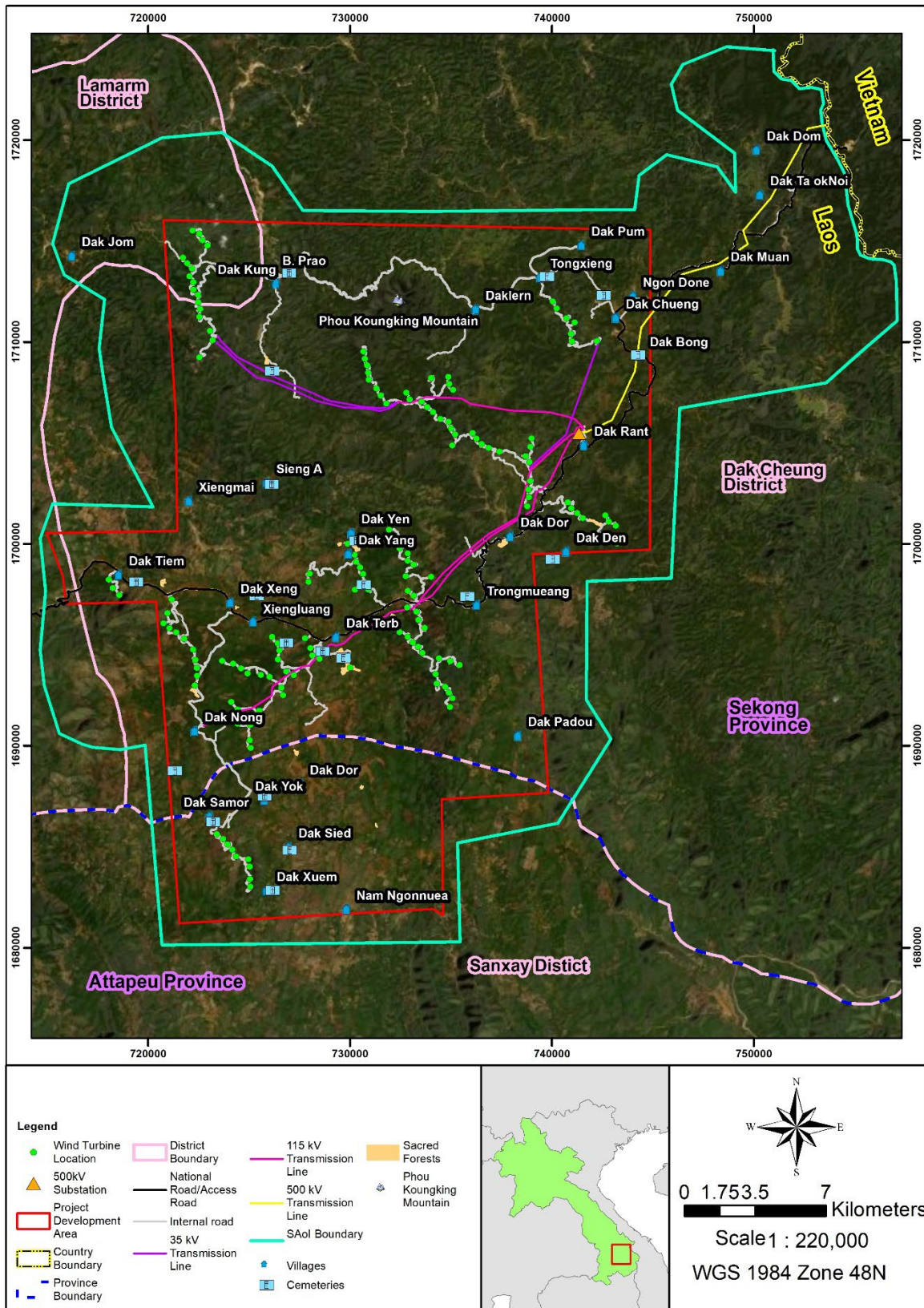


Cemetery of Dak Terb village (left) and Prao village (right) which is a forest area. Hunting and collecting NTFPs are prohibited in this area as it is regarded as a sacred area. There are some small huts of the deceased in the cemetery. None of these are affected by permanent or temporary project facilities.



Small huts of the deceased located in the cemetery areas of Dak Terb village (left) and Ngon Don village (right). The locals offer food, drink and other worshipping materials to the deceased at these small huts.

Figure 4-7: Cultural Heritage Sites



Source: ERM/Innogreen, 2021

4.2.2.3 Intangible Cultural Heritage

In addition to the spiritual significance of cemeteries located throughout the Project development area, community consultation revealed the nature and extent of present animist beliefs among ethnic minorities. Cemeteries were identified as the zones of highest sacredness or spiritual significance due to the connection with more direct and sometimes recently deceased relatives. The spirits of ancestors are widely and highly respected. Unrelated to ancestral spirits, villagers also identified and respected supernatural beings or spirits as inhabiting animals, plants, rocks, and other objects in nature that are commonly recognised in animist beliefs across southern Lao. These beliefs, however, were noted as potentially in decline among younger generations. A third type of spiritual entity, described as an individual ghost, was also identified by the ESIA survey team in consultation with the village heads and local villagers of Ban Dak Dreun and Prao. These consultations noted this ghost as potential intangible cultural heritage associated with Phou Koungking Mountain (as shown in **Figure 3-3**), in the central north of the Project development area. Rites, ceremonies, and festivals also comprise expressions of local intangible cultural heritage of importance to villagers.

Phou Koungking

Based on the consultation with representatives from Proa and Dak Learn (19 and 20 July, 2022), Phou Koungking is administratively under the jurisdiction of Prao and Dak Lern and the division of their area of jurisdiction is governed by an agreement of the two villages relating to NTFP collection. The lower elevations of Phou Koungking are designated as a multi-use zone, with ongoing activities in this zone including NTFP collection and other agricultural activities. Information about access to and use of higher elevations of the mountain differed between villages, however all agreed that villagers in Dak Lern are the primary custodians of the mount. Consultations conducted with Dak Lern representatives indicated that accessing the higher elevations of Phou Koungking although not prohibited is highly difficult, and this is the reason why people do not usually access area for NTFP collection. It should be noted that the Project eight WTGs are proposed in the lower elevation which is designated as multi-use zone of the Phou Koungking Mountain, and therefore will not affect the higher elevation of Phou Koungking.

Earlier consultations had revealed that the forest was once believed to have been inhabited by a spirit known as Phi Bang Bot, and this was noted as potentially significant intangible cultural heritage. Phi Bang Bot was believed to be responsible for visitors to the mountain becoming disorientated and lost on the mountain's steep terrain. Additional details clarifying present beliefs about the sacredness of Phou Koungking were obtained during the village consultations conducted during July 2022, revealing that different villages possess different beliefs and myths/legends connected with Phou Koungking.

- The consultation with Dak Lern representatives (20 July 2022) suggested that people are not afraid of entering high elevation area because of spirits, but rather dangerous animals such as snakes and also the risk of becoming disorientated and lost. When asked about beliefs in spirit in Phou Koungking, the representatives described 'Phi Bang Bot' (literally translated as covering the eyes), a ghost that has the power to blind people and cause them to get lost in the forest. However, belief in or concern about Phi Bang Bot is neither strong or common among villagers as it has been a long time since individuals claimed to have encountered the ghost. Villagers, however, believe that when entering the forest/mountain, "Heet" (long inherited traditions) and "Kong" (social norms, customs or guidelines) must be followed. For instance, offerings involving incense, rice, and tobacco are commonly performed prior to entering the forest for NTFP collection.
- The consultation with Dak Bong representatives (19 July 2022) indicated that entry to the high elevation of Phou Koungking is not prohibited but it is difficult to access the top of Phou Koungking. The sacred forest is at the tip of Phou Koungking Mountain where it is highly steep. For this reason, hunting and NTFP collection activities are only at the foot of the mountain, villagers do not hunt or collect NTFPs in the sacred forest because of steepness and there is a belief that they will get lost within the sacred forest. It was noted that the location of the project

components are approximately 2 km away from the sacred forest at the tip of Phou Koungking Mountain

- Consultation with Dak Rant village (20 July 2022) suggested that in general, the villagers don't have a strong connection to Phou Koungking and would defer to the views held by villagers in Prao and Dak Lern. One elderly man recounted a tale suggesting that Phou Koungking was the ancestral origin place people from the Dak Cheung region. However, in the short discussion that followed it was revealed that others had not heard this tale and as such it was concluded that this belief was not widely shared. The same question was put to villagers in Dak Lern who also had no knowledge of this tale.
- Based on the above discussions, it can be concluded that Phou Koungking Mountain is not regarded as a "sacred" place considered holy and deserving respect or worship. It appears that due to the steepness and inaccessibility of the terrain it is regarded as a place of some hazard and the stories surrounding it that could be construed as having intangible heritage value are more related to recognition of these hazards than animist spiritual beliefs. Cemeteries, by contrast, are regarded as highly sacred places by villagers, and if impacted certain remediation rituals involving animal sacrifices are required to restore spiritual balance.
- Project Activities within Phou Koungking
- Consultation with Dak Lern and Proa clarified that the Project activities in the lower elevation of Phou Koungking Mountain are not "Kalem", or prohibited. (*In Triang language Kalam means prohibited, taboo or wrong according the local customs.*) However, prior to entering the forest and commencing construction activities, the Project must consult with Dak Lern and Prao villages and comply with villages' "Heet-Kong" or rituals (in Triang language Heet-Kong means inherited traditions or ritual practices) and Kong (meaning social norms, customs or guidelines). For Project construction activities, the project is required to provide budget for the village to perform a specific ritual. The ritual involves animal sacrifice with a pig, a jar of rice whisky and a copper bracelet. The pig will be sacrificed while the blood spills onto the copper bracelet. Following this, everyone in the village must touch the blood of the pig. Thereafter, the pig will be cooked and all villagers must eat the pork and drink rice whisky from the jar. The copper bracelet will be left there where the ritual is performed. The ritual is usually performed in the village towards the end of the day or in the evening when everyone has returned from their daily activities and is available to participate in the ritual. It is noted that by performing this ritual, permission is sought from all spirits that the people believe in including the village spirit, forest spirit, mountain spirits, etc. Villagers believe that if the rituals are not carried out correctly, it will result in illness and depression of people in the village. However, if errors occur in the performance of rituals, further rituals may be performed to remediate the missteps.

In conclusion, Project activities within the lower elevation of the Phou Koungking Mountain are not prohibited and will not incur any impact on intangible cultural heritage if the Project consults with the villages and complies with villages' Heet-Kong.

Rites, Ceremonies and Festivals

An important component of animist beliefs involves the ritual sacrifice of large animals such as buffalos, cows, and pigs in order to stay on good terms with the spirits that are believed to inhabit animals, plants, rocks, and other objects in nature, and also ancestral spirits. Animal sacrifice is also performed when a family member falls ill as an offering to evil spirits which are believed to have caused the illness. Consequently, some of the livestock bred by villagers is destined for sacrificial use, though following sacrifice the meat is prepared and eaten by the villagers. Specific seasonal rituals are also linked to rice cultivation as an essential part of a belief system in which the paramount deities are spirits of the soil, such as Boun Duean 6. **Table 4.4** provides a summary of key annual ceremony/festival cycle practiced by the affected villages.

The consultation with Dak Rant village on 20 July 2022 indicated ceremonies and festivals are joint activities by all members of the village. All village members usually help with preparation of the

ceremonies and festivals, and high rates of attendance are believed to be required for them to be effective.

Table 4.4: Annual Cycle of Ceremonies and Festivals

Month	Ceremony/Festival	Description
January	Boun Phao Thane or Boun Thang Hai	Boun Phao Thane or Boun Thang Hai is usually held in the first month in each year. Before forest is cleared for rice cultivation or plantation (cassava, coffee, banana, etc.), villagers burn wood or charcoal in a forest and cut down grass or trees with a 'big knife' in the burning area for land clearing. Thereafter, the villagers would go into the forest to collect mushrooms, vegetables and hunt wildlife to celebrate in that area. This is to worship to forest spirit in order to have productive agriculture season.
June	Boun Duean 6 or Boun Sak Khao	Boun Duean 6 and Boun Sak Khao is held June each year prior to rice growing and land clearing. A duck/chicken or a cow/buffalo will be sacrificed using 'a big knife' – as an offering and to worship spirits, and to ask for blessings for productive and fertile rice farming and plantation (cassava, coffee, banana, etc.) season.
September to October	Boun Kin Khao Mai	Boun Kin Khao Mai is usually held at the conclusion of the rice harvest (between September-October). The ceremony takes place within families and then within the community to celebrate. During the ceremony, a duck/chicken or a cow/buffalo will be sacrificed as an offering to the village spirit and forest spirit. Villagers usually bring food to eat together to celebrate the success of the harvest season.
November to December	Boun Hor Khao Tom or Boun Sa Loup Khao	Boun Hor Khao Tom or Boun Sa Loup Khao is usually organized after the finish of harvest season after Boun Kin Khao Mai between November to December each year. All households in the villages would bring a basket of unmilled rice, a chicken (for sacrifice), rice whisky and some flowers to worship the village spirit and forest spirit. The villagers would also eat and celebrate together. It is believed that this ceremony will bring as much agricultural productivity as this year to the next year.
Ritual for entering forest for NTFP collection	Prior entering the forest	Prior to entering the forest for NTFP collection, six grains of rice, tobacco and incense are required to be offered to spirits under a large tree in the forest in order to safe passage from spirits to enter the forest and collect NTFPs.
Ritual for construction activities in Phou Kounking	Prior to entering the forest and any construction activities	For Project construction activities, the project is required to provide budget for the village to perform required rituals. One such ritual described in detail involves a pig, a jar of rice whisky and a copper bracelet. The pig will be sacrificed while its blood flows over the copper bracelet. Everyone in the village must touch the blood of the pig. Thereafter, the pig will be cooked and all villagers must eat the pork and drink rice whisky from the jar. The copper bracelet will be left there where the ritual is performed. The ritual is usually

Month	Ceremony/Festival	Description
		performed in the village in the evening. It is noted that by performing this ritual, it covers asking for permission from all spirits that the people believe in including village spirit, forest spirit, mountain spirits, etc.
Ritual for activities in cemetery area	Prior to entering the cemetery and any activities	Prior to entering or any activities within cemetery area, in consultation with relevant villages, it is required to undertake rites involving the sacrifice of a puppy (i.e. a young dog) and use its blood to spread across affected cemetery area

Source: FGDs and KIIs conducted by ERM/Innogreen, November and December 2021

4.2.2.4 Education Attainment

The FGDs and KIIs with youth and village heads in Dak Cheung District found that the average level of education for girls is 3rd to 4th year of secondary school (equivalent to years 8 and 9) and 4th year of secondary school (9 years of education) for boys. The majority of the villages (18 villages of 23 villages) identified that all students are enrolled in schools, starting from kindergarten at the age of 4-5 years old. However, three villages, namely Dak Terb, Dak Yang and Dak Lern indicated that not all students are enrolled in schools, due to poverty.

Most youths attend primary schools in their villages; however secondary schools are only available in some bigger villages including Xiengluang, Dak Cheung, and Dak Dor of Dak Cheung District. Therefore, some students are required to travel from 4 to 10 km to secondary schools. The common means of travel include walking and biking.

The FGDs and KIIs with youth and village heads in Sanxay District identified the average education level primary school, and 4th to 5th year of secondary school. Similarly, youth attend primary education in the village, and are required to travel to Sanxay village and Chalensexay village (approximately 12-16 km away) for secondary education, on foot or by bike.

48% or 327 people of the surveyed population have completed primary education²⁶, followed by 27% (187 people) attending secondary education. These figures are considerably lower compared to those of Sekong and Attapeu Provinces. In Sekong Province, 94.1% and 92% of population complete primary and (lower) secondary school²⁷, while 85.5% and 95.4% of Attapeu's population complete primary education and (lower) secondary education, respectively²⁸. Approximately 61 people (9%) have completed university and 25 people (4%) have completed vocational education, as shown in

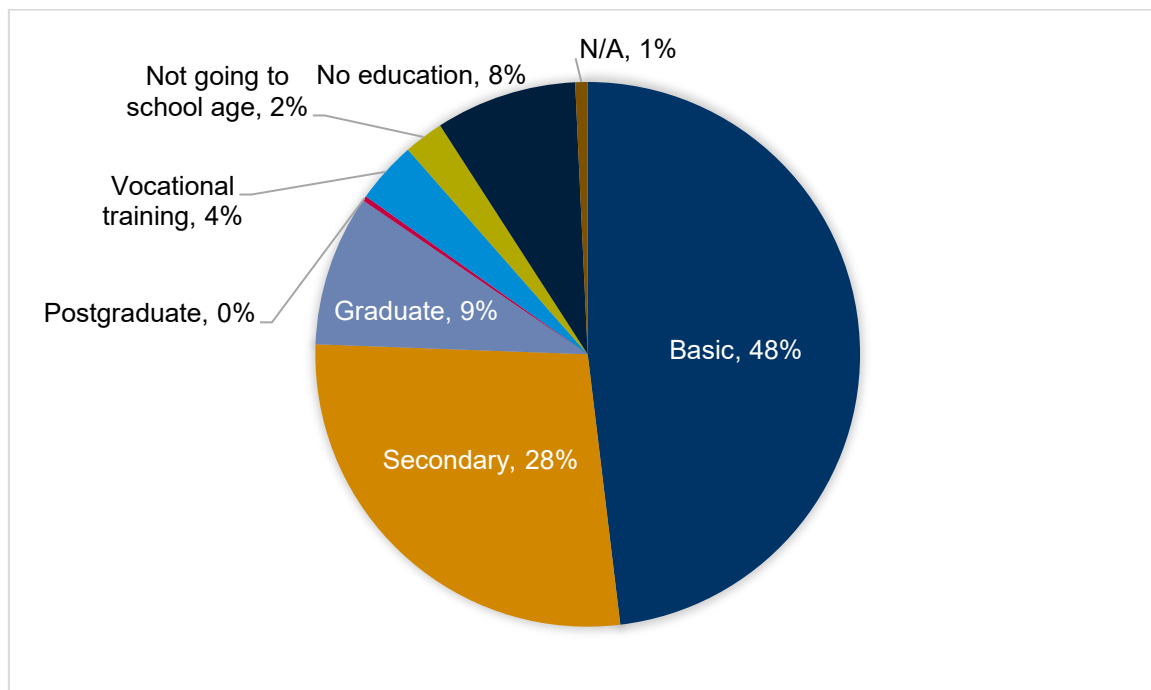
²⁶ Primary education are for children aged 6-10 years (Grade 1-5)
Low Secondary Education are for students aged 11-14 (Grade 6-9)
Upper Secondary Education are for students aged 15-17 (Grade 10-12)
Source: Government of the Lao PDR, 2015.

²⁷ Sekong Statistics Bureau (2018). Local Statistic of Sekong Province Report for 2018 (p.41). Retrieved from: https://laosis.lsb.gov.la/board/BoardList.do?bbs_bbsid=B404

²⁸ Attapeu Statistics Bureau (2018). Local Statistic of Attapeu Province Report for 2018 (p.44). Retrieved from: https://laosis.lsb.gov.la/board/BoardList.do?bbs_bbsid=B404

Figure 4-8. 16 of those surveyed were not at an age where they could go to school and 57 stated that they had no education. This figure is lower than the national figure (in 2015) where the population aged 6 years and above who had never attended school accounted for 13%, 27% currently attending school (in 2015) and school dropouts (58%).²⁹

Figure 4-8: Education Attainment of the Surveyed Population



Source: Socio-economic household survey by ERM/Innogreen, November and December 2021

In general, population of villages in Sanxay District have larger proportion of its population having received primary education than Dak Cheung District. Dak Samor has the highest rate of its population enrolment in basic education (69%), while Akkelad villages and Kou Dak Muan in Dak Cheung District have the highest rate of its population attending or completing secondary level education (32%). Disaggregated data per village (**Table 4.5**) shows that Dak Terb village in Dak Cheung District has the highest ratio of its surveyed population receiving education (100%) while other villages in Sanxay District have the lowest ratio of its population receiving education (83%). All koumbans and villages have a small population (0-13%) attending or completing higher education levels such as vocational or university education.

²⁹ Lao Statistics Bureau (2015). The 4th Population and Housing Census 2015 Retrieved from <https://lao.unfpa.org/en/publications/results-population-and-housing-census-2015-english-version>

Table 4.5: Education Attainment by Villages

Villages	Dak Cheung District										Sanxay District			
	Dak Rant		Dak Muan		Dak Terb		Dak Dor		Akkelad (Dak Cheung)		Dak Samor		Other villages	
	(N=94)		(N=90)		(N=87)		(N=52)		(N=240)		(N=30)		(N=10)	
	N	%	N	%	N	%	N	%	N	%	N	%	N	%
No education	5	5%	4	4%	13	15%	4	8%	21	9%	4	14%	0	0%
Primary education	40	43%	46	51%	56	64%	34	65%	77	32%	22	73%	8	80%
Secondary education	32	34%	32	36%	17	20%	10	19%	80	33%	2	7%	0	0%
Vocational education	2	2%	2	2%	0	0%	0	0%	21	9%	0	0%	0	0%
University education	15	16%	6	7%	0	0%	2	4%	34	14%	1	3%	0	0%
Not going to school age	0	0%	0	0%	1	1%	2	4%	7	3%	1	3%	2	20%
Total number of villages	94	100%	90	100%	87	100%	52	100%	240	100%	30	100%	10	100%
Total number of districts	563										40			

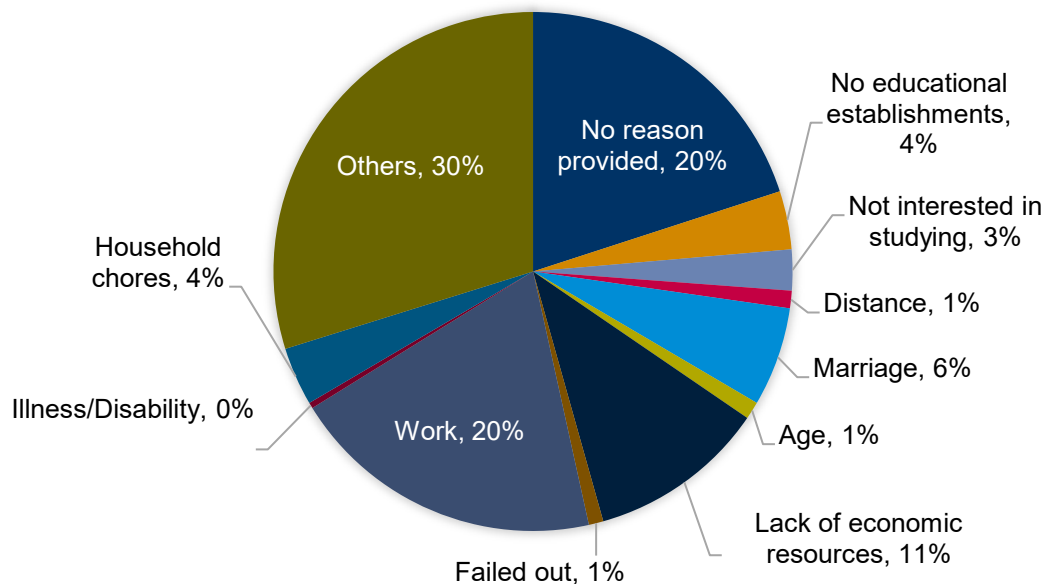
Source: Socio-economic household survey by ERM/Innogreen, November and December 2021

The FGDs and KII with youth and village heads in Dak Cheung District reveal that the average dropout age of school for both girls and boys is around the age of 14 to 17 years old. The average dropout age in Sanxay District is between 12 and 13 years old. The main reasons are the lack of economic support from families and need to work (such as work on families' farms and work as laborers) to earn additional income for the families, particularly in poor families.

The FGD and KII findings are supplemented by the socio-economic HH survey which reveal that the 721 surveyed populations' main reasons for discontinuing their study are due to work (20%), no reason provided (20%), no educational establishments (4%), not interested in studying (3%), distance (1%), marriage (6%), age (1%), lack of economic resources (11%), failed out (1%), illness or disability (0%), household chores (4%), and others (30%), where the main reason is that they are still studying (**Figure 4-9**). "No reason" given by some respondents can be interpreted as certain individuals dropping out early following the social norms in the area.

The average age to start helping their families by working on farms is between 10 and 13 years old for both boys and girls. Girls usually help with housework (cooking and cleaning), weeding at the farm, and collecting firewood. Boys usually work on the farm such as ploughing the rice farm, fencing of the farm, and other farm works. After graduation or drop-outs, men would be engaged in agricultural work and work as laborers in hydropower projects such as Xekamarn 3 Hydropower and E Moon Hydropower transmission line, while women would work in coffee collection and removing weeds in coffee plantations in Paksong and Pakxe Districts of Champasak province, which are located around 150 – 200 km from the villages. Particularly youth in Sanxay District indicated that both men and women also work as laborers in Chinese banana plantations, such as in Nam Noi area, Ban Phia Keow, and Xaysettha District, Attapeu Province. These plantations are located around 70-90 km from the villages.

Figure 4-9: Reasons for Discontinuing Study



Source: Socio-economic household survey by ERM/Innogreen, November and December 2021

Current scholarships and skills training available in the village are mostly livelihood related, with a few related directly to education. These programs, training, and scholarships include:

- In last 2 years, the Taiwanese Government has been offering scholarships for students in Xiengluang village;
- The provincial government provides scholarships for education for poor families; and

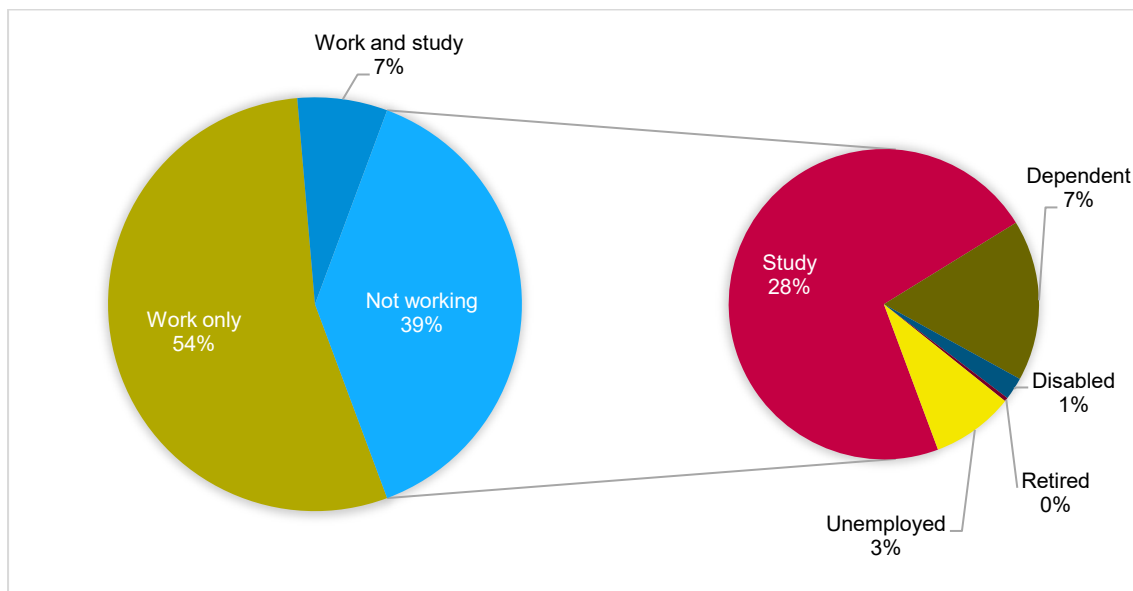
- Asian Development Bank (ADB) project assisting in further education for boys and girls in Dak Cheung, Dak Muan, Dak Dom, Dak Xeum villages.

4.2.2.5 Labor Force

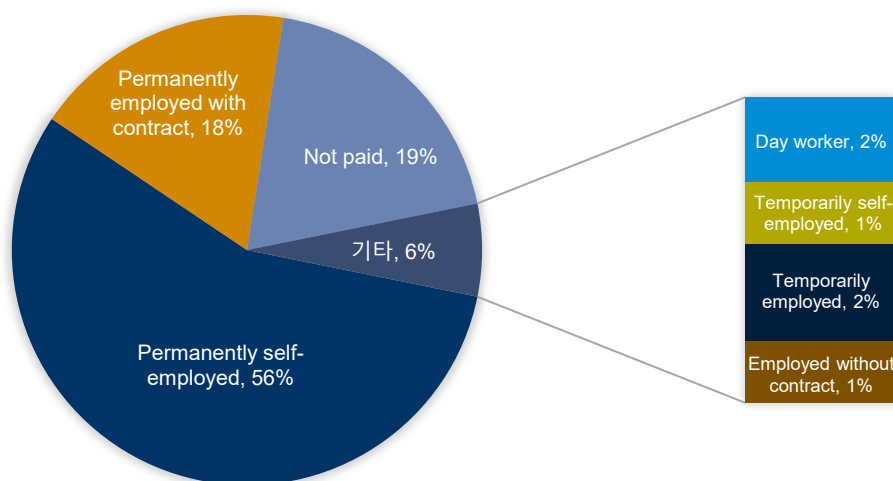
Based on the HH socio-economic survey regarding work engagement in the past 12 months (**Figure 4-10**), 61% of the population are in active labor force (including those who are working and studying) while the remaining 38% are not engaged in any kind of work, as they are in school (28%), dependent on the family or remittance (6%), incapable of work due to mental or physical disability (1%), in retirement (0%), or unemployed (3%).

In addition, the survey reveals that of those who are actively working, the majority (58%) are engaged in permanent self-employed work (such as agriculture and livestock), followed by permanently employed with contracts (such as civil servants and company employees) (20%), and engaged in unpaid work (such as work in family farms) (15%), respectively (**Figure 4-11**). Most of the workforce (82%) work within a short distance from the village and the remaining work in other villages or cities (18%).

Figure 4-10: Active Labour Force of the Surveyed Population



Source: Socio-economic household survey by ERM/Innogreen, November and December 2021

Figure 4-11: Types of Employment (for Primary Occupation)

Source: Socio-economic household survey by ERM/Innogreen, November and December 2021

4.2.2.6 Working Status

Disaggregated data of working status of the surveyed population is presented in Table 4.6 where Dak Nong, Dak Padoo, and Dak Yok village in Sanxay District have the highest rate of its surveyed population in the active workforce (100%). This is followed by Dak Samor village, where 45% of the surveyed population in active workforce are engaged in permanent work (e.g., agriculture and livestock), and 0% of its population have unpaid work (working on families' farms and housework, etc), and temporary employment (labourers in hydropower projects and coffee plantation). On the other hand, the Aekkalad has the highest ratio (33%) of its population not engaged in any kind of work; the main reasons for no work engagements are shown in **Figure 4-10**.

Table 4.6: Working Status of Surveyed Population

Villages	Dak Cheung District										Sanxay District			
	Dak Rant (N=159)		Dak Muan (N=146)		Dak Terb (N=139)		Dak Dor (N=96)		Aekkalad (N=360)		Dak Samor (N=47)		Other villages (N=8)	
	N	%	N	%	N	%	N	%	N	%	N	%	N	%
Active workforce	67	42	55	38	57	41	38	39	123	34	21	45	8	50
Unpaid work	15	10	4	3	19	14	12	13	12	3	0	0	0	0
Temporary	2	1	2	1	0	0	0	0	8	2	0	0	0	0
Permanent	41	26	49	33	33	24	26	27	99	28	21	45	8	50
No work	34	21	36	25	30	2	20	21	118	33	5	10	0	0
Total	159	100	146	100	139	100	96	100	360	100	47	100	8	100

Source: Socio-economic household survey by ERM/Innogreen, November and December 2021

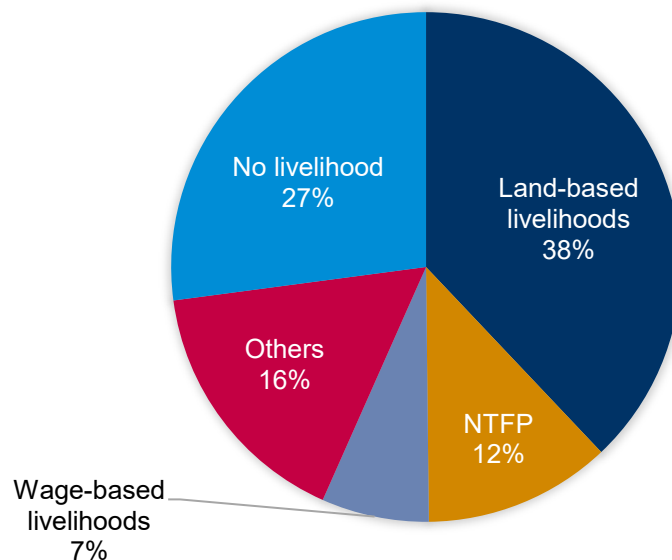
4.3 Livelihood Activities and Patterns

4.3.1 Main Livelihoods

The main livelihoods of those affected identified through the HH socio-economic survey were land-based livelihoods i.e., engagement in agricultural activities including rice farm, coffee and cassava cultivation, livestock, and non-timber forest products (NTFPs) collection.

Within the surveyed population, the largest percentage (38%) is engaged in land-based livelihoods, while 12% are engaged in NTFP collection. Other livelihoods (16%) have the second largest working population, where occupations can include soldiers, traditional medicine healers, retired, volunteers, etc. Following that is wage-based livelihoods (7%), where the working population includes teacher (4%), wage labour (2%), driver (0%), cook (0%), contractor (0%), and construction worker (0%). No livelihoods (*none) (27%) are identified by the survey population as studying, children, being in the army, and unemployed (**Figure 4-12**).

Figure 4-12: Livelihoods of the Surveyed Population



**Note: No livelihoods identified by surveyed population as still studying, children, unemployed, and being in the army*

Source: Socio-economic household survey by ERM/Innogreen, November and December 2021

Table 4.7 presents livelihoods of the surveyed affected population by village for all 32 villages. Land-based livelihood is the main livelihood for all villages, followed by wage-based livelihood and enterprise-based livelihood, respectively.

Table 4.7: Livelihoods of the Surveyed Population by Villages

District		Dak Cheung District										Sanxay District			
		Dak Muan		Dak Terb		Dak Rant		Dak Dor		Aekkalad		Dak Samor		Other villages	
		(N=91)		(N=82)		(N=78)		(N=47)		(N=189)		(N=21)		(N=8)	
Villages		N	%	N	%	N	%	N	%	N	%	N	%	N	%
Land-based	Farming	23	25	37	45	23	29	34	72	44	23	15	71	8	100
	Livestock	0	0	1	1	3	4	0	0	0	0	0	0	0	0
	NTFP Collection	16	18	2	2	15	19	0	0	15	8	6	29	0	0
Wage-based	Day laborers	1	1	0	0	1	1	1	2	2	1	0	0	0	0
	Company workers	2	2	2	2	3	4	0	0	4	2	0	0	0	0
	Public servants	4	4	0	0	1	1	1	2	17	9	0	0	0	0
Enterprise-based	Small business	0	0	0	0	0	0	0	0	1	1	0	0	0	0
	Handicrafts	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Others		10	11	8	10	17	22	2	4	48	25	0	0	0	0
None*		35	38	32	39	15	19	9	19	58	31	0	0	0	0
All surveyed population		91	100	82	100	78	100	47	100	189	100	21	100	8	100

*Note: No livelihoods or 'none' identified by surveyed population as still studying, children, unemployed, and being in the army

Source: Socio-economic household survey by ERM/Innogreen, November and December 2021

4.3.2 Supplementary Livelihoods

Of the surveyed population, 52% have a second occupation, of which 78% are engaged in farming activities (including coffee farming), 13% work as company workers, and 1% are engaged in livestock (**Table 4.8**). The remaining supplementary livelihoods (8%) include NTFPs collection, small businesses, handicraft productions, and others such as homemakers, carpenters, etc. The consultation with Dak Lern village on 19 July 2022 suggests that NTFP collection is the primary livelihood of the villagers—this may be attributed to Dak Lern is located near Phou Koungking Mountain which has been identified as the main resource of NTFPs. In terms of villages, it seems that Dak Samor village has the highest population engaging in farming as a supplementary livelihood, while Dak Terb had the least.

Table 4.8: Supplementary Livelihood of Surveyed Households

District		Dak Cheung District										Sanxay District			
		Dak Muan (N=100)		Dak Terb (N=82)		Dak Rant (N=42)		Dak Dor (N=34)		Aekkalad (N=180)		Dak Samor (N=19)		Other villages (N=5)	
Villages		N	%	N	%	N	%	N	%	N	%	N	%	N	%
Land-based	Farming	30	30	13	16	37	88	25	74	64	35	17	89	2	40
	Livestock	0	0	0	0	2	5	0	0	0	0	0	0	1	20
	NTFPs collection	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Wage-based	Day laborers	0	0	0	0	1	2	0	0	1	1	0	0	0	0
	Company workers	8	8	9	11	1	2	0	0	3	2	2	11	2	40
	Public servants	0	0	0	0	0	0	0	0	1	1	0	0	0	0
Enterprise-based	Small business	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Handicraft production	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Others		3	3	7	8	0	0	1	3	5	3	0	0	0	0
None		59	59	53	65	1	2	8	23	106	57	0	0	0	0
Total surveyed population		100	100	82	100	42	100	34	100	180	100	19	100	5	100

**Note: No livelihoods or 'none' identified by surveyed population as still studying, children, unemployed, and being in the army*

Source: Socio-economic household survey by ERM/Innogreen, November and December 2021

4.3.3 Livelihood Diversification

To understand the resilience to impacts on their livelihoods of the surveyed households, livelihood diversification amongst the surveyed population was analysed (**Table 4.9**). In terms of types of livelihoods, 99 out of 466 (20%) are dependent on a single livelihood including 44 people (9%) with land-based livelihoods, 10 (2%) with wage-based livelihood, and 44 (9%) with other sources of livelihoods. Approximately 247 people (53%) rely on two types of livelihoods, where 200 people (43%)

get their secondary source of income from land-based livelihoods, 30 people (6%) generated their supplementary income from wage-based livelihood, and 17 people (4%) gain their income from other sources of livelihoods.

Table 4.9: Livelihood Diversification

Livelihood Diversification		Type pf Livelihood	No. of People	%
Primary Livelihood	Secondary Livelihood			
One Livelihood	None	Land-based	44	6%
		Wage Labour	10	1%
		Business	1	0%
		Other sources	44	6%
Total of Population Dependent on One Livelihood			99	14%
Two-Livelihoods	Land-based	Land-based	145	20%
		Wage Labour	30	4%
		Business	0	0%
		Other sources	17	2%
	Wage Labor	Land-based	27	6%
		Wage Labour	0	0%
		Business	0	0%
		Others	0	0%
	Other	Land-based	28	4%
		Wage Labour	0	0%
		Business	0	0%
		Other sources	0	0%
Total of Population Dependent on Two Livelihoods			247	34%
No Livelihoods*			120	17%
No Response			255	35%
Total			721	100%

*Note: No livelihoods or 'none' identified by surveyed population as still studying, children, unemployed, and being in the army

Source: Socio-economic household survey by ERM/Innogreen, November and December 2021

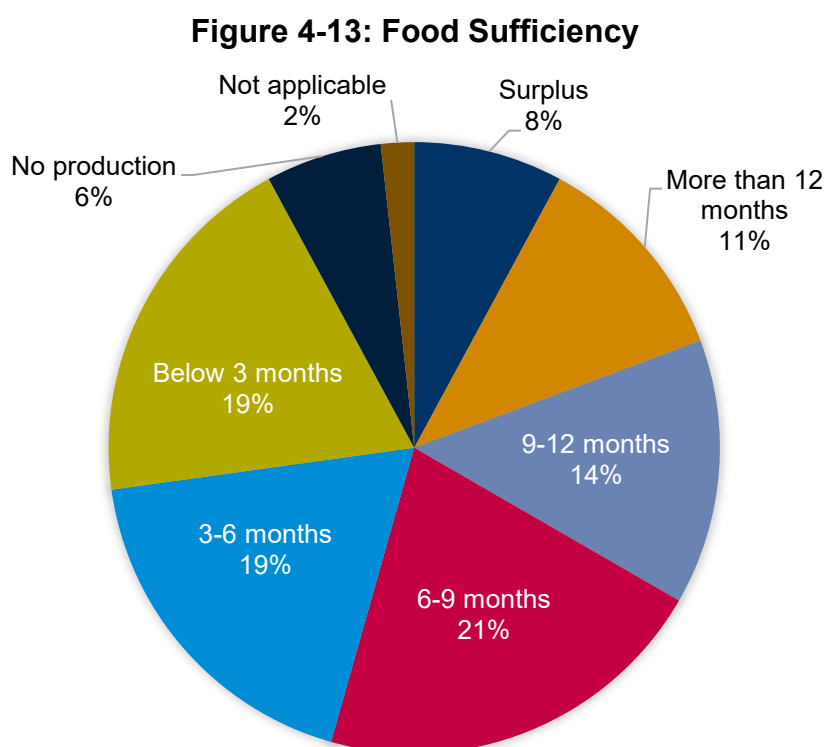
4.3.4 Land-based livelihoods

Cultivation, animal husbandry, and NTFPs collection are the most common livelihoods in all villages and for all ethnic groups. Through the FGDs with livelihood groups, the majority of the people have agricultural production land with average land holding size of 1 to 3 ha per household. The FGDs and KIIs with all relevant groups show that the surveyed population are dependent on the forest resources for food, medicine, hunting, firewood, and wood for construction of houses.

Rice, coffee, cassava, and other crops (such as ginger, ginseng, banana, vegetables, etc.) are commonly grown in most of the surveyed villages. The farmers are engaged in rice farming from May to August/November. Rice and vegetables grown are mostly for household consumption, while other

crops such as coffee and cassava are for sale. In general, rice harvested is sufficient for the family for the months of June to October. The FGDs with livelihood groups reveal that the villagers experience rice and food deficiency, particularly during the months October to April as it is dry season, with low to no productivity.

Of the total surveyed population, almost half of the surveyed population (40%) have a surplus amount of food or a sufficient amount of food for household consumption for 3 to 9 months – of which 19% have food sufficiency³⁰ for 3 to 6 months and 21% for 6 to 9 months. Approximately 19% of the surveyed population have food sufficiency below 3 months and 6% have no food production at all (**Figure 4-13**).



Source: Socio-economic household survey by ERM/Innogreen, November and December 2021

Table 4.10 presents food sufficiency by village cluster. Dak Samor has the highest proportion of population with food sufficiency for lower than 3 months (78%), while the population having food sufficiency for 3 to 6 months constitutes 22% of the surveyed households. On the other hand, Dak Rant appears to have the highest proportion of its households having food surplus (22%).

Table 4.10: Food Sufficiency

District	Dak Cheung District										Sanxay District			
	Dak Rant		Dak Terb		Dak Muan		Dak Dor		Aekkalad		Dak Samor		Other villages	
Villages	N	%	N	%	N	%	N	%	N	%	N	%	N	%
No production	0	0	0	0	0	0	0	0	33	13	0	0	0	0
Below 3 months	8	7	7	8	24	28	21	36	27	10	25	78	3	30

³⁰ The number of households which are food secure

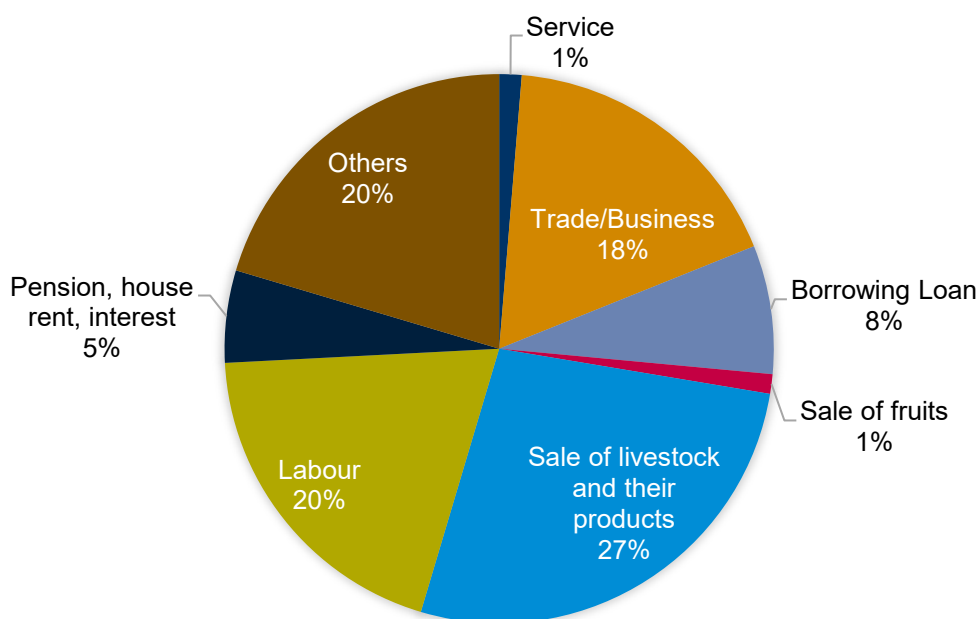
District	Dak Cheung District										Sanxay District			
	Dak Rant		Dak Terb		Dak Muan		Dak Dor		Aekkalad		Dak Samor		Other villages	
	N	%	N	%	N	%	N	%	N	%	N	%	N	%
3 to 6 months	0	0	17	20	10	11	14	24	69	27	7	22	4	40
6 to 9 months	33	29	25	29	31	36	12	21	30	12	0	0	3	30
9 to 12 months	35	44	38	44	7	8	0	0	35	14	0	0	0	0
More than 12 months	14	0	0	0	4	5	6	10	52	20	0	0	0	0
Surplus	22	0	0	0	11	13	5	9	12	5	0	0	0	0
Total	112	100	87	100	87	100	58	100	258	100	32	100	10	100

Source: Socio-economic household survey by ERM/Innogreen, November and December 2021

Figure 4-14 presents approaches deployed by the surveyed households to make up for household food insecurity. Of the total 721 surveyed population, 20% indicated that they would work as laborers to earn additional income for food purchase, 27% sell livestock and their products, 18% are engaged in trades and business, and 20% have other approaches including handicrafts (e.g., blacksmith and bamboo products), NTFPs collection, service, or are dependent on income from other family members.

Notably, 8% indicated that they would borrow loans, which are understood to be loans from friends and relatives from the same village. This practice of borrowing and exchanging food, products and money within villages show that these villages have a strong social cohesion and established relationships to help each other overcome hardships. It is noted that micro-credits/micro-finance schemes are not currently available in the villages.

Figure 4-14: Approach for Making Up for Food Insecurity



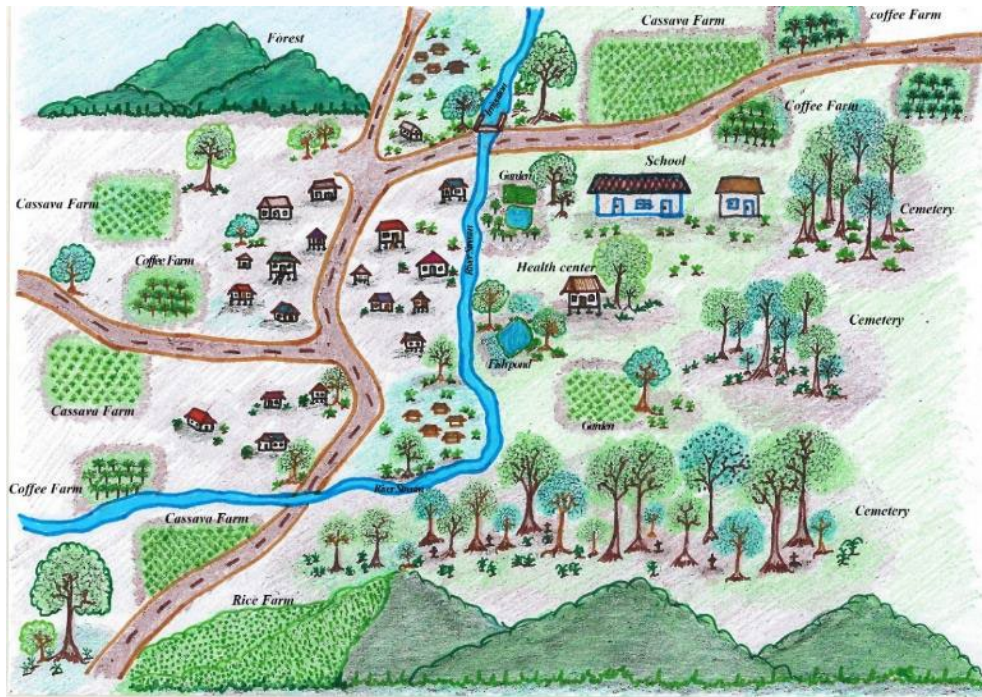
Source: Socio-economic household survey by ERM/Innogreen, November and December 2021

Cultivation, animal husbandry, and NTFPs collection activities are the common livelihoods across surveyed villages. **Figure 4-15** presents an overview of cultivation land, forestry land, cemetery, and village facilities and public services such as school, health centre and Salakuan.

Figure 4-15: Agro-Forestry Production Area



Agriculture production area map of Dak Jom Village, Dak Cheung District



Agriculture production area map of Dak Samor Village, Sanxay District

Source: Site visit and FGDs undertaken by ERM/Innogreen, November 2021

4.3.5 Cultivation

The following section summarizes the main cultivation practises in the Study Area.

Rice: Based on the land and asset survey conducted in November 2021, the cultivated rice paddy area ranges from 0.1 to 3 ha (refer to **Figure 4-16** for common rice cultivation). Rice farms are usually located in low land areas and close to streams as they require sufficient water for irrigation and productivity. Therefore, rice farms are cultivated in fixed locations with limited suitable land plots, and rice farming is not part of the shifting cultivation practices. The rice productivity varies across households, with minimum of rice productivity around 300 kg/year, and maximum of rice productivity around 90-100 tons/year. The average yield per hectare is approximately 974 kg/hectare. The average price of rice is between 3,000 and 6,000 kip/kg.

Coffee: The FGDs with livelihood groups indicate 90% to 100% of the villages are engaged in coffee cultivation (mainly Catimor coffee) (**Figure 4-16**). The coffee plantations are located between 3 km to 10 km from the households. Coffee is usually planted during March to April and takes around three to four years to yield productivity. Between November and January was identified as the busiest time of the year due to the coffee harvesting season. The average coffee plantation size is 0.14 ha and the average productivity of coffee is approximately 1 ton/household/year. The price for coffee is approximately 5,000 kip/kg in urban Dak Cheung, and the coffee is sold in the villages and urban Dak Cheung.

Cassava: Cassava is planted around March/April, and it takes about one year for productivity, while harvesting of cassava is around November/December. Cassava productivity ranges from 3 ton/household/year (**Figure 4-16**).

Figure 4-16: Examples of Cultivation



Rice cultivation in Dak Terb Village



Rice cultivation in Dak Rant Village



Drying rice in Dak Dom Village



Rice storage in Dak Dor Village



Coffee cultivation in Tong Xieng Village



Coffee cultivation in Dak Lern Village



Cassava cultivation in Dak Nong Village

Cassava cultivation in Dak Terb Village

Source: Socio-economic household survey by ERM/Innogreen, November and December 2021

Shifting Cultivation: The majority of the villages identified that they still conduct shifting cultivation which is a common practice for coffee and cassava. After approximately 5 years of cultivation, farmers would move to another location as soil becomes less fertile resulting in decreased productivity of coffee and cassava. Some farmers may 'book' (i.e., reserve) the land for future use, by notifying the village heads and communicating to other community members by marking the boundaries of the booked land with sticks and lines. The trend of shifting cultivation varies across villages depending on the productivity of the plantation. Based on the KIIs with the livelihood groups, most of the villages identified that they practice shifting cultivations, while only two villages (out of 13 villages) indicated that there has been decreasing trend in shifting cultivation.

Farming methods: Intercropping is practiced among survey villages, as mentioned during KIIs. Intercropping is cultivation of two or more crops simultaneously on the same field. The common crops for intercropping are rice, coffee, and cassava. The farming methods are still labour-intensive as the use of agriculture machinery is still limited in this region. In most villages, there are no irrigation system for their production land due to the mountainous terrain and the dry climate of the regions, resulting in limited water resources for irrigation. Irrigation is mostly available only for rice cultivation as rice requires sufficient water to grow and reach productivity (**Figure 4-17**).

Figure 4-17: Irrigation for Cultivation



Irrigation for rice paddy in Dak Rant Village



Irrigation in Dak Rant Village

Source: Socio-economic household survey by ERM/Innogreen, November and December 2021

4.3.6 Animal Husbandry

In the surveyed villages, people raise animals in large numbers, including both large animals such as buffalos, cows, goats and pigs, and small animals such as poultry. Large animals are released to graze outside the village and in the mountain areas where grass is available. Pigs and poultry are usually raised in the yards around the house. Animal husbandry is mainly for household consumption, performing ceremonies, and annual festivals (i.e., animal offerings) and for welcoming the visit of relatives and village official guests. Approximately ten households practise animal husbandry in large number for sale and is the main income source for the households (**Figure 4-18**).

Figure 4-18: Animal Husbandry



Buffalos grazing in open grassland in Dak Rant Village



Buffalos are kept under the house in Dak Yen Village



Cow husbandry in Dak Rant Village



Pig husbandry in Dak Yen Village

Source: Socio-economic household survey by ERM/Innogreen, November and December 2021

4.3.7 NTFPs Collection

Livelihoods of the Project-affected households are still highly dependent on natural resources such as forests and rivers. Households surveyed collect food e.g., bamboo, mushrooms, and wood for cooking (firewood) and construction of houses from the forests. Linzhi mushroom and Dok Lhai have been identified as the most common and wanted NTFPs as these products have high local value. Based on the FGD in Dak Lern on 19 July 2022, almost all the households in Dak Lern are engaged with timber and non-timber forest collection, both for subsistence and commercial/trading. The following are the NTFP collected by the villager:

- Dok Lhai – a medicinal flower collected between July-December and sold to Vietnam for which are sold at 250,000 – 1,500,000 LAK/kg depending on the breed and size of Dok Lhai
- Mushroom (Linzhi) – is available all year round and sold at around 20,000 LAK /kg to Vietnam.
- Grass to make broom – collected from January to March and dried to make a broom which cost 20,000 LAK/kg. Typically, 1 kg of grass can make 2 brooms.
- Somsamongling (ginseng) – sold to Vietnam at approximately 1 million LAK/kg; however, due to limited availability, villagers could only collect small amount.
- Bamboo shoots – is used for household consumption and sell. Raw bamboo is sold at 20,000 Kip/kg while fermented bamboo is sold at 30,000 LAK/kg.
- Honey
- Rattan for handicraft – sold at 20,000 LAK /per 20 m
- Fruits such as rambutan – household consumption and sell
- Orchids – the following flowers are sold Hang-Yao, Kai-Kua and Lai Noi
- Agarwood (*Por Hueng in Laos language*) – a high-valued fragrant resinous dark wood. The villagers collect

In addition, it was informed by the village head that NTFP collection activity of Dak Lern villagers in Dak Lern area will not be affected by the Project. He believes that the establishment of the Project facilities will open other areas for NTFP collection.

There are “middlemen” from nearby cities and Vietnam that come into the village to buy these products (e.g. Linzhi mushroom, Dok Lhai, ginseng), or these products will also be sold at local markets. In addition, subsistence hunting is practised, mostly small animals such as wild chickens, squirrels, wild birds, etc. are hunted for household consumption and extra may be sold to the markets (**Figure 4-19**).

Figure 4-19: NTFPs Collection



Dok Lhai collection in Dak Kung Village



Selling of hunted small wildlife in Xiengluang Village

Source: Socio-economic household survey by ERM/Innogreen, November and December 2021

4.3.8 Wage-based livelihoods

4.3.8.1 Waged labour

FGDs with livelihood groups indicated that some men from the villages work as labourers in hydropower projects in E-moon Hydropower and Xekamarn Hydropower, while women are engaged in coffee collection in bigger provinces such as Pakxong and Pakxe Provinces. Some households from Sanxay District work as labourers in banana plantation in Nam Noy area, Ban Phia Keow and Xaysettha District, Attapeu Province (70-90 km from the villages).

4.3.8.2 Civil Servants

The fewest number of actively working people surveyed are engaged as civil servants (60 people or 2%). Most are living in the locality and the common positions are teachers; however, some of the teachers and police in-migrated from other districts or provinces.

4.3.8.3 Handicraft

In addition to engagement in agricultural production, the people in the villages also have the traditions in production of various handicrafts products including blacksmith products, weaving and bamboo item handicrafts. Weaving is predominately conducted by women while blacksmith products are typical conducted by men. The handicrafts products are mostly for household consumption; some people may be able to produce extra and sell them in the markets. The most outstanding handicraft products are Ban Dak Terb of Dak Cheung District, Ban Dak Dor and Ban Dak Nhok of Sanxay District where the people in these villages have the tradition in smith-work that can generate income for the families. Examples of handicrafts are shown in **Figure 4-20**.

Figure 4-20: Handicrafts



Ironsmith handicraft in Dak Xuem Village



Weaving in Dak Ta-ok Noi Village



Ironsmith handicraft in Dak Terb Village



Bamboo handicrafts in Dak Nong Village

Source: Socio-economic household survey by ERM/Innogreen, November and December 2021

4.3.9 Enterprise-based livelihoods

According to the data from the field survey, in the Study Area, there is no trade facility. There are only a few retail shops in some villages on the roadsides, which sell food and consumer goods for daily use. Within the Project and surrounding areas, there is no industrial factory. Industrial factories are located in the surrounding area of the municipality of the district and these factories are mostly of small-scale industrial factories, such as: small furniture factory, rice mill, automobile repair shop, drinking water factory, ice-making factory. Small scale retail shops in the Study Area are shown in **Figure 4-21**.

Figure 4-21: Small Businesses



Retail shop in Dak Dor Village

Retail shop in Trong Mueang Village

Source: Socio-economic household survey by ERM/Innogreen, November and December 2021

4.3.10 Livelihood Trainings and Programs

According to secondary data and information gained through KIIs, trainings and programs have been provided by the government and non-governmental organizations (NGOs) to improve agricultural practices, livelihoods, and food sufficiency for the Project affected communities. These trainings/programs include the following:

- International Fund for Agricultural Development (IFAD) program supporting agriculture and livestock practice and production, providing training in weaving for women.
- Workshops by the Ministry of Agriculture and Forestry (2021) for soil preparation for coffee plantation (part of the Greater Sub-Region Biodiversity Conservation Corridors Project funded by Asian Development Bank);
- World Food Programme promoting nutrition and providing lunch and water supply for schools.
- German-Laos Association Development (GLAD) supporting people in livestock such as providing goats, and pigs.
- Training by CARE International on coffee planting skills.
- Government relation department providing scholarship to women for vocational weaving;
- PRF providing funds to build school in Prao, Dak Pam, Dak Den, Xiengluang, Dak Yen, Tong Xieng, Dak Kung, Dak Dom, Dak Treb, Dak Xeum Dak Dor, villages;
- Scaling Up Participatory Sustainable Forest Management (SUFORD) provides assistance and promoting forest conservation management; and

- District Agriculture and Forest Office providing seeds and collaboration with organizations and companies to provide assistance and support to people within the district.

4.4 Income and Expenditure

Data available from the 116 surveyed households were used in the financial analysis, which is discussed in more details in the following section.

4.4.1 Income

Of the surveyed households, the average monthly household income for those who engage in agriculture is LAK 1,167,552 (approximately USD 78), while the average monthly income for those who engage in non-agricultural means is only LAK 298,116 (approximately USD 20), which is lower than the provincial and national average of LAK 1,200,000 per month (approximately USD 104) per capita. The monthly income per household and per capita varies across the different villages. Akkelad villages in Dak Cheung District has the highest average monthly household income of over LAK 7,818,000 (approximately USD 520), while Dak Dor had the lowest average monthly household income at LAK 630,093 (approximately USD 42). **Table 4.11** provides an overview of the average monthly household income of the different villages.

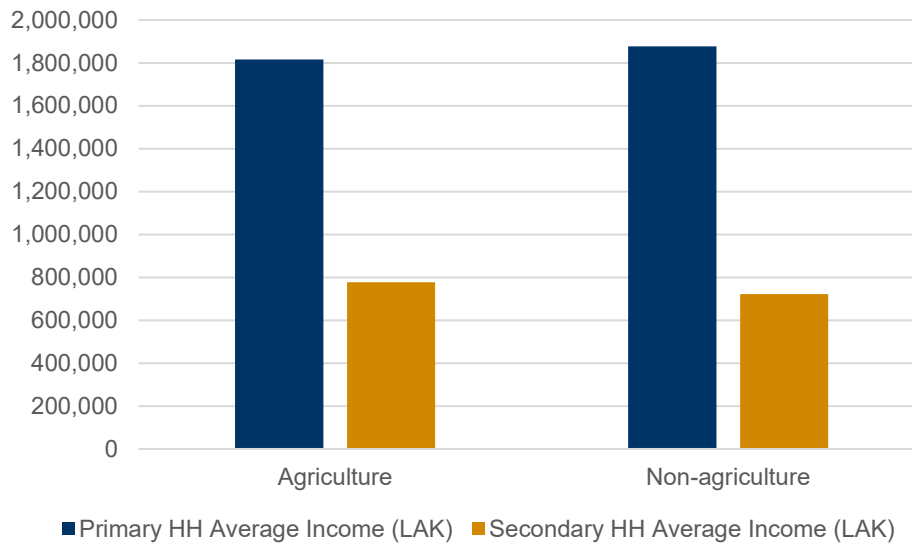
Table 4.11: Average Monthly Household Income

District	Dak Cheung District					Sanxay District	
Villages	Dak Rant	Dak Terb	Dak Muan	Dak Dor	Aekkalad	Dak Samor	Other villages
Average Income per Household (LAK) - Year	35,644,000	15,450,000	19,825,000	7,561,111	93,820,115	13,973,333	15,400,000
Average Monthly Income per Household (LAK)	2,970,333	1,287,500	1,652,083	630,093	7,818,343	1,164,444	1,283,333
Minimum Monthly Household Income (LAK)	810,000	308,333	250,000	175,000	1,000,000	416,667	-
Maximum Monthly Household Income (LAK)	8,333,333	4,591,667	4,900,000	1,791,667	23,233,333	2,108,333	-

Source: Socio-economic household survey by ERM/Innogreen, November and December 2021

Table 4.12 presents a comparison of the average monthly household income by types of livelihoods. When it comes to the primary average income of the households, agriculture make up the lowest average HH income of LAK 1,815,637 (approximately USD 120). However, it provides the highest average HH income as a secondary income source, with the average being at LAK 777,778 (approximately USD 50). For non-agriculture livelihoods, the primary average HH income is slightly higher than that of agricultural livelihoods, where the average HH income is LAK 1,877,778. When it comes to the secondary income sources, non-agriculture livelihoods have a slightly lower average HH income compared to agricultural livelihoods.

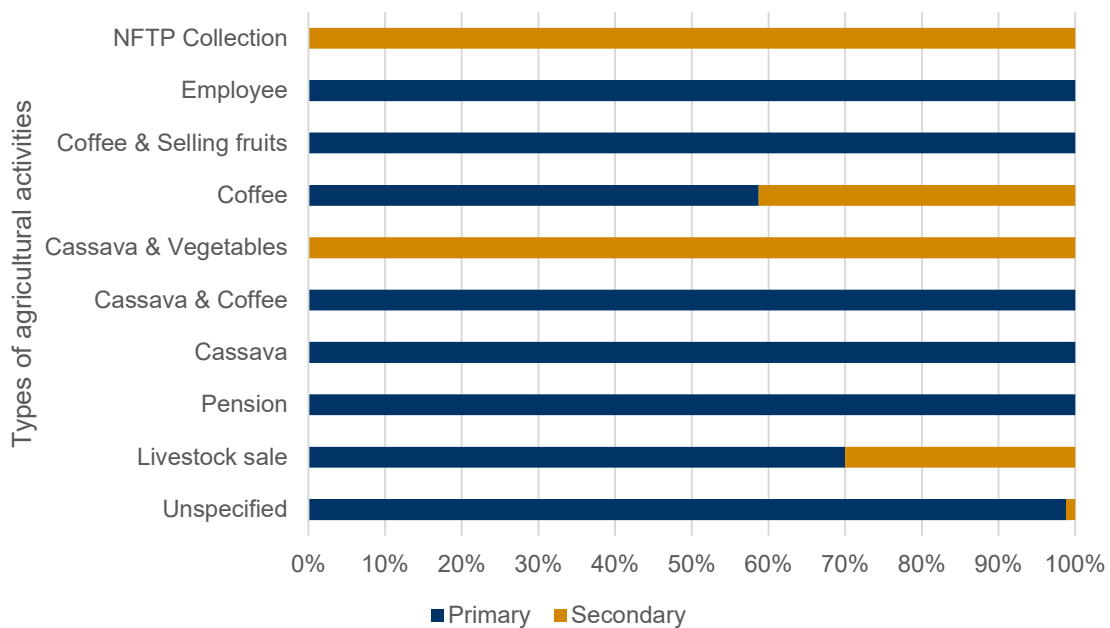
Table 4.12: Average Monthly Household Income From Agriculture and Non-Agricultural Sources



Source: Socio-economic household survey by ERM/Innogreen, November and December 2021

Figure 4-22 dives deeper into the average monthly household income from agriculture, illustrating the percentage of income by type of agricultural activities and comparing the difference between primary income source and secondary income source. The figure shows that unspecified, pension, casava, cassava & coffee, coffee & selling fruits, and employees are the main agricultural activities, in terms of primary income source. As for secondary income source, cassava & vegetables and NTFP collection makes up the main agricultural activities.

Figure 4-22: Income by types of agricultural activities



Source: Socio-economic household survey by ERM/Innogreen, November and December 2021

4.4.2 Expenditure

The average monthly expenditure of the surveyed affected households is LAK 6,550,114 (approximately USD 120). The average monthly household expenditure is approximately 3.55 times higher than the average monthly household income (LAK 1,846,708) for primary income sources (**Table 4.13**).

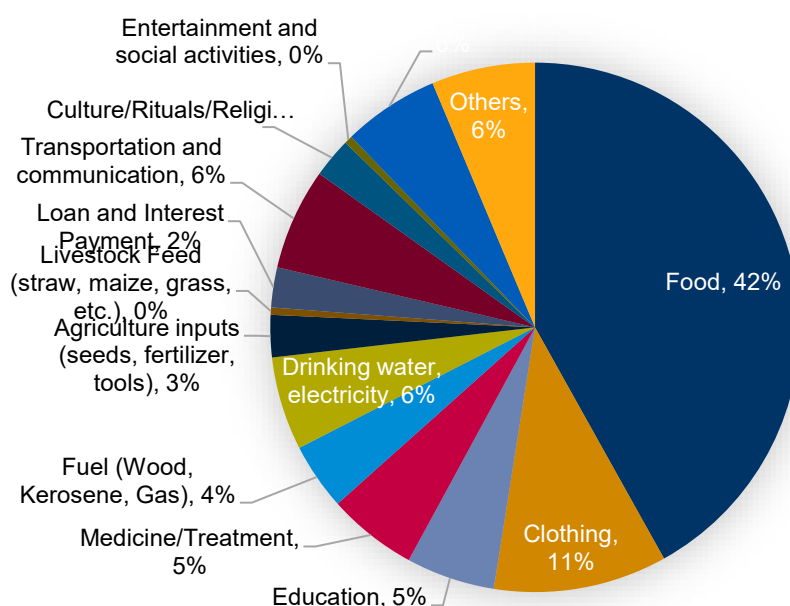
Table 4.13: Average Monthly Household Expenditure

District	Dak Cheung District					Sanxay District	
Villages	Dak Rant	Dak Terb	Dak Muan	Dak Dor	Aekkalad	Dak Samor	Other villages
Average Monthly Expenditure per Household (LAK) - Year	14,986,333	9,899,583	12,828,750	4,187,694	58,326,731	8,091,667	21,690,000
Average Monthly Expenditure per Household (LAK)	1,246,861	824,365	1,069,063	346,975	4,860,561	674,306	1,807,500
Minimum Monthly Household Expenditure (LAK)	166,667	253,333	108,333	72,917	565,250	230,000	-
Maximum Monthly Household Expenditure (LAK)	2,932,500	2,901,667	3,166,667	1,500,000	21,188,833	2,013,333	-

Source: Socio-economic household survey by ERM/Innogreen, November and December 2021

Figure 4-23 presents average monthly expenditure by item, which shows that most of the expenditure is spent on food (42%), followed by clothing (11%), transportation and communication, alcohol and cigarette, drinking water and electricity, and others (6%), education and medicine/treatment (5%), fuel (4%), agriculture inputs (3%), and loan and interest payment (2%). Livestock feed, and entertainment and social activities make up less than 1% of the total monthly expenditure

Figure 4-23: Average Monthly Expenditure by Expenditure Items



Source: Socio-economic household survey by ERM/Innogreen, November and December 2021

Based on the socio-economic HH survey, almost half of the surveyed households are in debt. Some 50 households (44%) of the surveyed households identified that they are in debt, while 63 households (56%) identified that they have no debts in the past 12 months. The average savings rate for the surveyed households is approximately 41%. On the whole, the surveyed households have an average income of LAK 25,839,461 (or US\$ 1716.64) and an average expenditure of LAK 15,245,272 (or US\$ 1012.82)³¹.

4.5 Land Use and Tenure

The Department of Land under Ministry of Natural Resources and Environment (MONRE) is the main governmental authority responsible for land administration. The 2019 Land Law recognizes land use rights of individuals, legal entities, collectives, and organizations of Lao citizens, and stipulates that these rights are to be managed through registration in land books, certification of land use, issuance of land titles and registration of transfer and changes of land use rights. The land title is the primary document that proves land use rights.

There are two land registration methods by which individuals can register the land that they are using lawfully. First is systematic land registration, which is carried out throughout a designated area where land allocation, zoning, or classification is required. Systematic registration confers a Land Title. Second, persons or entities can make application to certify their right to use certain land. Land certificates are issued certifying the temporary right to use agricultural or forestland which is issued by District level authorities.³²

During the past two decades, it has become a common practice in Laos for individuals to claim land ownership over plots that they do not legally own and sell such plots despite lacking a formal land title, after having their ownership certified by the village authorities. The process to obtain a land transfer certificate only requires an agreement between the buyer and seller, payment of the land use tax and the certification of village authorities. In this manner, some buyers were also able to have land titles issued by MONRE, using the land use tax payment or land certificate as evidence of their ownership. Following the enactment of the 2019 Land Law, the requirements for formal land purchase are more widely known and therefore this informal form of land transaction has reportedly become less common.

Within the 23 villages located in the vicinity of the Project Area in Dak Cheung District, privately held land plots cover nearly all areas, which are primarily agriculture land in the form of paddy fields, ranging from 1 to 3 ha. Most households have land use certificates and tax payment evidence for the land plots which they reside and conduct cultivation.

The FGDs with livelihood groups found that in the Project Area, land use and tenure include:

- **Booking land:** this is a traditional system, which is not recognized in Laos law. Village members claim or 'book' land for farming (or so-called booking) (e.g., rice, cassava, and coffee) by notifying the village heads and communicate to other village members by marking the booked land with sticks and lines or threads. The one who booked the land will then pay tax on this land to the village head. This land then can be used in the future for farming. After a few years (3-5 years) of farming, the land may become less fertile and less agriculturally productive, land users will move to claim a new piece of land for farming and 'book' the old piece of land which they may come back in the future to farm on this land again. This is understood to be a part of shifting cultivation practice.
 - Booked land can be inherited as land tax documents are recognized by local authorities as evidence for land ownerships. Inherited booked land can transfer into land use right (land use certificate) or land title by informing the village authority and District Natural

³¹ Exchange rate of August 2, 2022

³² Giz, 2015

Resource and Environment office to determine the land and issue a letter to confirm land ownership.

- Booking land within village communal land or production forest cannot be transferred to land use right nor land titles. The village authorities and the District Natural Resource and Environment Office will conduct survey and determine that such claim do not comply with the policy of the districts and provinces and the Land Law.
- **Land use certificate:** This refers to a document that certifies the land use right. It is issued by relevant State agency in pursuance of the policy on land allocation for Lao people to use as place of living and farming.
 - Individuals, legal entities, and organizations who are granted land use rights shall use their lands in accordance with their purposes and in consistency with the Land Allocation Master Plan, land use strategy and land use plan adopted by the State for each time period (Land Law 2019, Article 86).
- Article 126 of 2019 Land Law prescribes acquisition of land use rights. Lao citizens including Lao legal entities and organization will acquire land use rights on one of the following bases:
 - Allocation by the State;
 - Transfer
 - Inheritance
 - Sale of allocated State land use rights with determined timeframe as prescribed in Article 123 of 2019 Land Law.³³
- **Land titles (bai taa din):** A land title is the only document considered as the main evidence for permanent land use rights. Titles formally mark the boundaries of land, and the holders of land use rights may protect, use, lease and transfer these rights, as well as bequeath or otherwise pass these under inheritance law (Land Law 2019, Article 99). However, land titles are generally only seen in urban and peri-urban areas and the process of obtaining them and paying for systematic adjudication is expensive. Mostly households in the affected villages do not have land titles; however, some land titles are under preparation.
- **Community land and community forest:** All community members can access and make use of community land and forest equally. There is no system for management of community land and forest undertaken by the villages such as community forest management plan. However, local authorities periodically conduct monitoring to see if the forest use by the villages was undertaken in accordance with the Lao laws and requirements.

50% of the interviewees asserted that land ownership is mostly in men's names (or the head of the family), while the other 50% stated that ownership is equal between men and women. The average land holding size is ranging from 1 to 3 ha per household. In general, the local people are not concerned about land tenure in the form of land titles because land ownerships are recognized within their villages. Moreover, it is costly for the people to obtain land use certificate or land titles for their booking land.

4.6 Social Network and Cohesion

Through KIIs with local authorities and ethnic group representatives of Dak Cheung District, it was asserted that there have been no conflicts amongst ethnic groups in villages where multiple ethnic groups are present such as Dak Muan village where four ethnic groups are present (Triang, Yae, Katu and Lao). In addition, different ethnic groups living in the same village also celebrate their ceremonies

together despite differences in ethnicity. The FGDs with ethnic group representatives reveal that there have not been any conflicts between ethnic groups in the past.

The KIs also suggest that there are established social networks particularly support system to help one another overcome hardships. Village members help each other during difficult times such as during shortage of food, by sharing food and rice or money to each other.

Much of the population in rural areas do not have bank accounts and do not use the services provided by the banks, they usually borrow money from relatives in the village when they need financial assistance. Micro-credit schemes and informal loan schemes are not practiced in these rural villages. As discussed earlier, 9% of surveyed households indicated that they would borrow loans, from friends and relatives from the same village, and 3% would borrow rice from their friends and relatives in the same villages.

Labour exchange is also a standard practice across the villages, particularly among families with small number of family members or with elderly people and women-headed households, for agricultural activities.

4.7 Vulnerability

Vulnerable groups are people, especially those below the poverty line, the landless, the elderly, women, and children, or other, who by virtue of gender, ethnicity, age, physical or mental disability, economic disadvantage, or social status may be more adversely affected by the Project impacts than others and who may be limited in their ability to claim or take advantage of impact mitigation measures and Project related benefits.

To align with ADB's definition of vulnerability³⁴, vulnerable households are defined as meeting at least one of the following criteria:

- Poor households i.e., living under the national poverty line set by the Laos Government (LAK 9,364 (USD 0.83) per day per person);³⁵
- Households of elderly persons above the age of 65 with no economic support;
- Households with physically and/or mentally disabled members who need care from other family members;
- Female-headed households with dependents; and
- Households with no land/squatters.

Since the majority of households in the Project Area belong to ethnic groups, being Indigenous Peoples alone in this case does not apply as a criterion for vulnerability.

Approximately half of the affected households that were surveyed have been identified as vulnerable. This can be seen in **Table 4.14**.

³⁴ ADB SPS 2 (2009) Involuntary Resettlement Safeguard has defined vulnerable groups as "...Those below the poverty line, the landless, the elderly, women and children, and Indigenous Peoples, and those without legal title to land...".

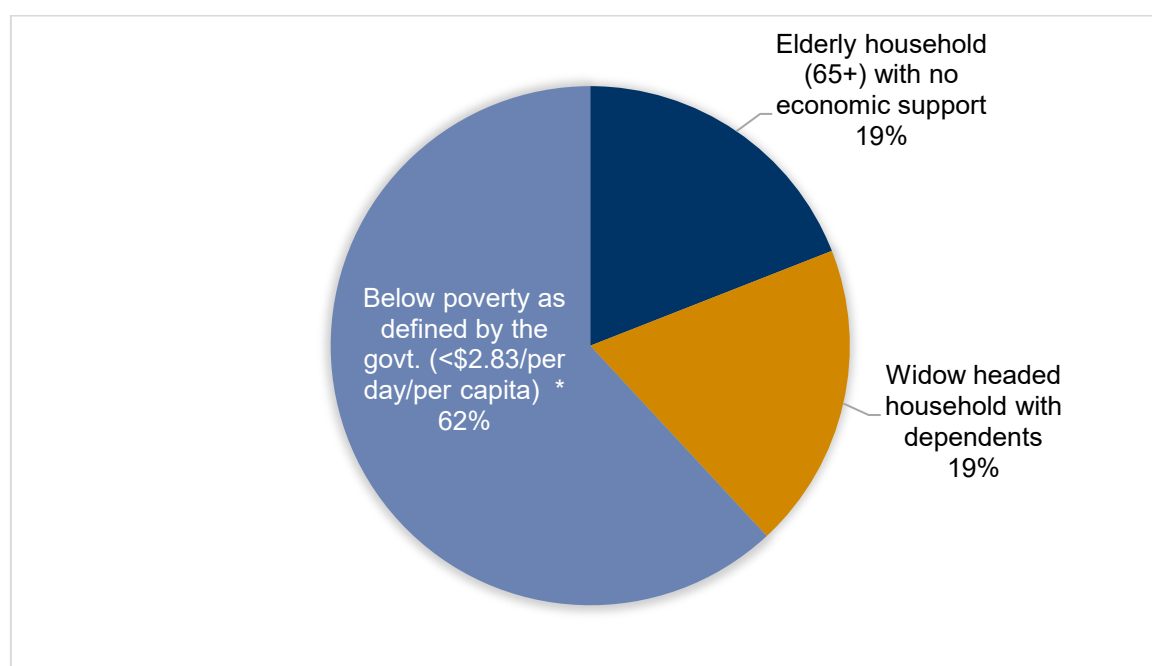
³⁵ The national poverty line is estimated at LAK 280,910 (USD 24.90) per month per person at 2019 prices or approximately LAK 9,364 (0.83 USD) per day per person.

Table 4.14: Number of Vulnerable Households

District	Dak Cheung District										Sanxay District			
Villages	Dakrun		Dak Terb		Dak Muan		Dakdor		Aekkalad		Dak Samor		Other villages	
	(n=10)		(n=10)		(n=16)		(n=5)		(n= 31)		(n=1)		(n=1)	
	N	%	N	%	N	%	N	%	N	%	N	%	N	%
Vulnerable households	5	50	9	90	4	25	5	100	12	39	1	100	1	100
Non-vulnerable households	5	50	1	10	12	75	0	0	19	61	0	0	0	0
All surveyed households	10	100	10	100	16	100	5	100	31	100	1	100	1	100

Source: Socio-economic household survey by ERM/Innogreen, November and December 2021

Of the 37 vulnerable households, the majority (70%) of the households are identified with poverty, followed by elderly households (65+) with no income support (22%), and widow-headed households with dependents (8%), respectively (**Figure 4-24**).

Figure 4-24: Characteristics of Vulnerable Households

Source: Socio-economic household survey by ERM/Innogreen, November and December 2021

Table 4.15 presents data analysis of the vulnerable households by category. Most of the households in this region are classified as poor households, living below the national poverty line set by the Laos Government of USD 0.83 per person per day and World Bank's poverty line of USD 1.90 per person per day.

Approximately 32% of the households in the Akkelad villages are identified with vulnerability of elderly households (65+) with no economic support. Dak Terb village in Dak Cheung District has the highest share (31%) of its households identified with poverty. Households identified with physically disabled people are considered relatively low across all villages surveyed in both Districts.

Table 4.15: Vulnerability Household by Category

District	Dak Cheung District										Sanxay District			
	Dakrun		Dak Terb		Dak Muan		Dakdor		Aekkalad		Dak Samor		Other villages	
	(n=8)		(n=9)		(n=4)		(n=5)		(n= 12)		(n=1)		(n=1)	
Villages	N	%	N	%	N	%	N	%	N	%	N	%	N	%
Elderly household (65+) with no economic support	1	13	1	11	0	0	0	0	5	41	1	100	0	0
Widow headed household with dependents	1	13	0	0	1	25	0	0	1	8	0	0	0	0
Below poverty as defined by the govt.	3	38	8	89	3	75	5	100	6	50	0	0	1	100
Total surveyed households	8	22	9	24	4	11	5	14	12	32	1	3	1	3

Source: Socio-economic household survey by ERM/Innogreen, November and December 2021

The average monthly household income of the surveyed vulnerable households is LAK 1,527,830 (USD 101.67)³⁶. The lowest and highest income per households and per capita among the vulnerable groups are those with income below the government poverty line and the elderly HH +65 with no economic support, respectively.

It must be noted with caution that in this region, income is not the sole indicator for vulnerability. Based on the site visit, the livelihoods of the people e.g., farming, animal husbandry, NTFPs collection, hunting, etc. are mainly for subsistence household consumption, and the people are still highly dependent on natural resources meaning that the people obtain resources for their fundamental needs e.g. food, water, housing, energy, etc. from natural resources. Therefore, their livelihoods are not targeted at generate high income but rather sufficient provision of food and fundamental needs for the households.

According to the Notice on Measures for Poverty Alleviation and Development Plan (No.348, dated 16 November 20117), the Government of Lao defines households that are above poverty as:

- Households that have access to sufficient food of 2,100 kcal per person per day;
- Households that have sufficient clothes;
- Households that have housing;
- Households that have sufficient labours, occupations or stable income sources;
- Households that have sufficient finance for medical emergencies; and
- Households that have access to basic public services such as clean water, power, transport, information, bank, markets and safety and security.

Table 4.16 presents disaggregated sources of income by vulnerability category. Land-based livelihoods, including agriculture and livestock, are the highest contribution to sources of income across vulnerability category, ranging from 7-70% of all surveyed vulnerable households. Wage-based is a significant of income contributor in households that are widow headed (2%). Enterprise-based livelihoods however were not identified as income sources for any of the vulnerable groups.

³⁶ Exchange rate as of 7July 2022..

Table 4.16: Sources of Income of Vulnerable Households

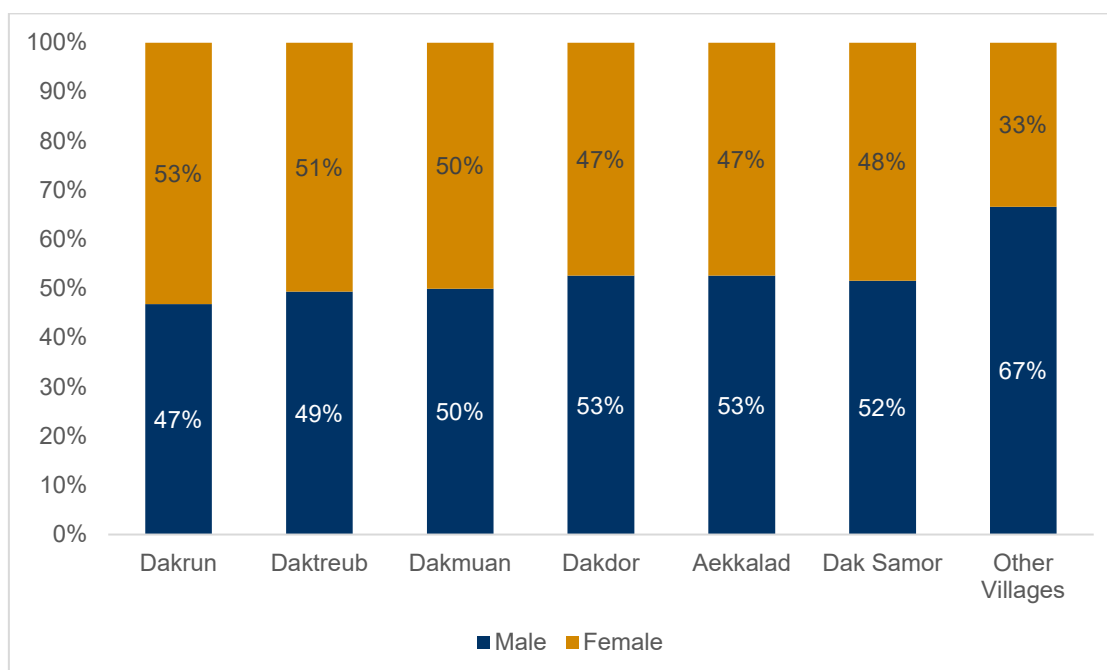
	Elderly HH +65 with no economic support (N=5)	Widow headed household with dependents (N=7)	Households with disabled persons (N=31)
Land-Based	7%	9%	70%
Wage-based	0	2%	0
Enterprise-based	0	0	0
Other sources	5%	5%	2%

Source: Socio-economic household survey by ERM/Innogreen, November and December 2021

4.8 Gender Disaggregated Socio-economic Profile

4.8.1 Demographic Profile of Affected Villages

Within the surveyed households in Dak Cheung District, the ratio between male and female is roughly 1:1 (338 males versus 339 female). The ratio is also roughly 1:1 between the male and female of the 44 surveyed households in Sanxay District (21 males versus 23 females), similarly to the country's which stood at 1:1 (female 49.8: 50.2 male) (The World Bank, n.d.c). The gender ratio is slightly different between the villages; however, the ratio remains roughly at 1:1 male to female. **(Figure 4-25).**

Figure 4-25: Gender Ratio

Source: Socio-economic household survey by ERM/Innogreen, November and December 2021

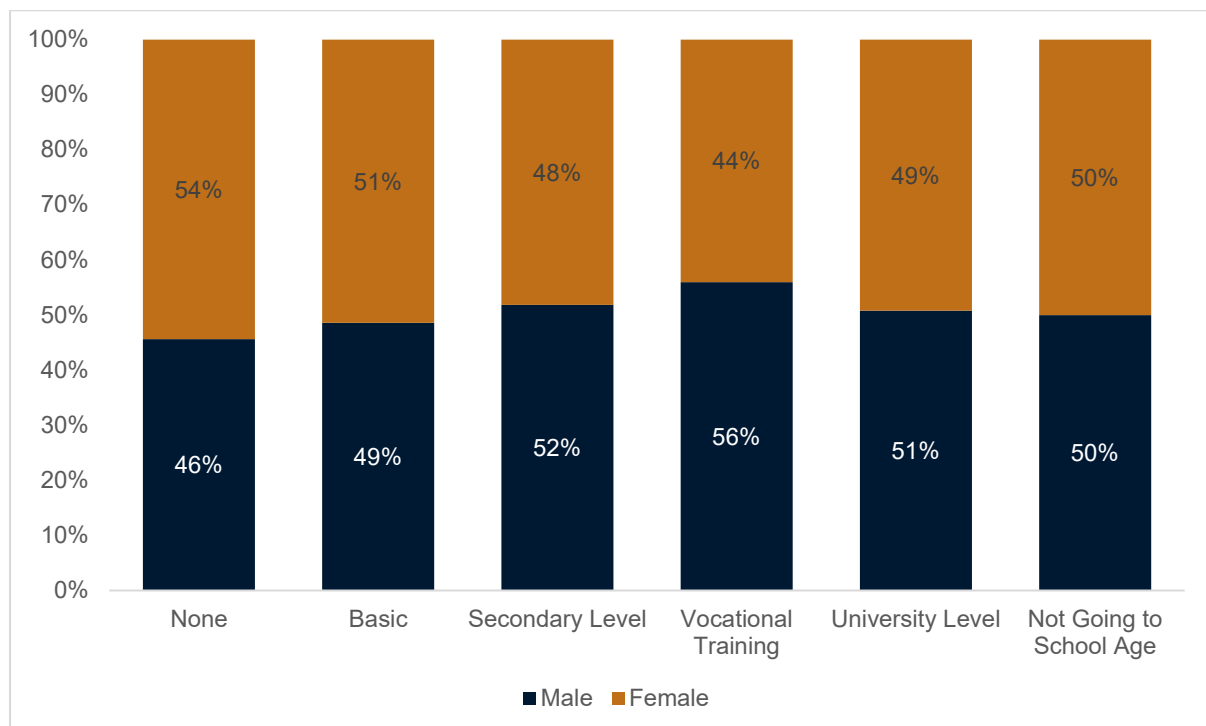
4.8.2 Education Attainment

In terms of education attainment, females have slightly lower average level of education than males (according to KIIs with village heads and youth groups). The average level of education for females is up to year 3-4 of secondary school, while for males it was identified that the average education is year 4-5 of secondary school.

Based on the socio-economic household survey (**Figure 4-26**), the data shows that females outnumber their male counterparts at not having received education (54% and 46% respectively). Males have a slightly higher share of receiving secondary education (52% and 48% respectively) as well as vocational education (56% and 44% respectively). Notably females have a marginally higher share of receiving basic education than males (51% and 49% respectively), while males have a marginally higher share of obtained university level education (51% to 49% respectively).

Based on the 362 surveyed female population, the main reasons for discontinuing study include work (17%), lack of economic resources (12%), marriage (9%), no educational establishment (4%), household chores (4%), no interests in studying (1%), no reason (21%), and other reasons (31%) including distance from school, illness and disabilities, age and failing (i.e., not making the necessary grades to continue attending).

Figure 4-26: Education Attainment by Gender



Source: Socio-economic household survey by ERM/Innogreen, November and December 2021

4.8.3 Maternal and Reproductive Health

Through the FGDs with the women group, the average age of women getting pregnant is 15-18 years old. Mostly, women give birth at home or at local healthcare centres. For those villages located closer to the district hospitals women would give birth in hospitals.

4.8.4 Gender-based Violence

Based on the FGDs and site visit observation, gender-based violence does not seem to be an issue in the villages within the Project Area of Influence. There have been no reports of gender-based violence to the village heads by women or village heads that participated in the FGDs and KILs.

It is, however, worth noting that this information should be viewed in the country context. In the Lao PDR, there are several traditional sayings describing the role of men and women in the family; for example, “Men are the net, women are the basket”, “The husband should lead, the wife should follow” and “The man is the boss and women are the labour”. These views, and many others, reinforce gender inequality and creates disparity between the sexes, allowing men to have culturally accepted control over women.

Many different types of violence, physical and non-physical have been reported during the survey conducted for the United Nations ³⁷ looking into gender-based violence in rural Laos. 45% of the women surveyed indicated that their spouses have been violent in some form towards them, revealing the high incidence of domestic violence in the areas surveyed (Bokeo, Luangprabang, Savannakhet, Salavan Provinces and Vientiane Province). These areas are however, located in the North of Laos and not close to the Project. No information is available for the Project area.

This survey suggests that even though gender-based violence is not prevalent in the Project affected villages, it does not necessarily mean that it does not exist. It could be under-reported or that women (and men) accept it as part of the cultural norms.

According to the survey above, the most common factors influencing violent behaviour, as described by women victims, were that the violator was drunk, money, and work-related problems. Gender inequality in family economics is a significant influencing factor in domestic violence. Education can also be a factor in domestic violence as less educated women are more likely to experience violence than women who have some or higher education.

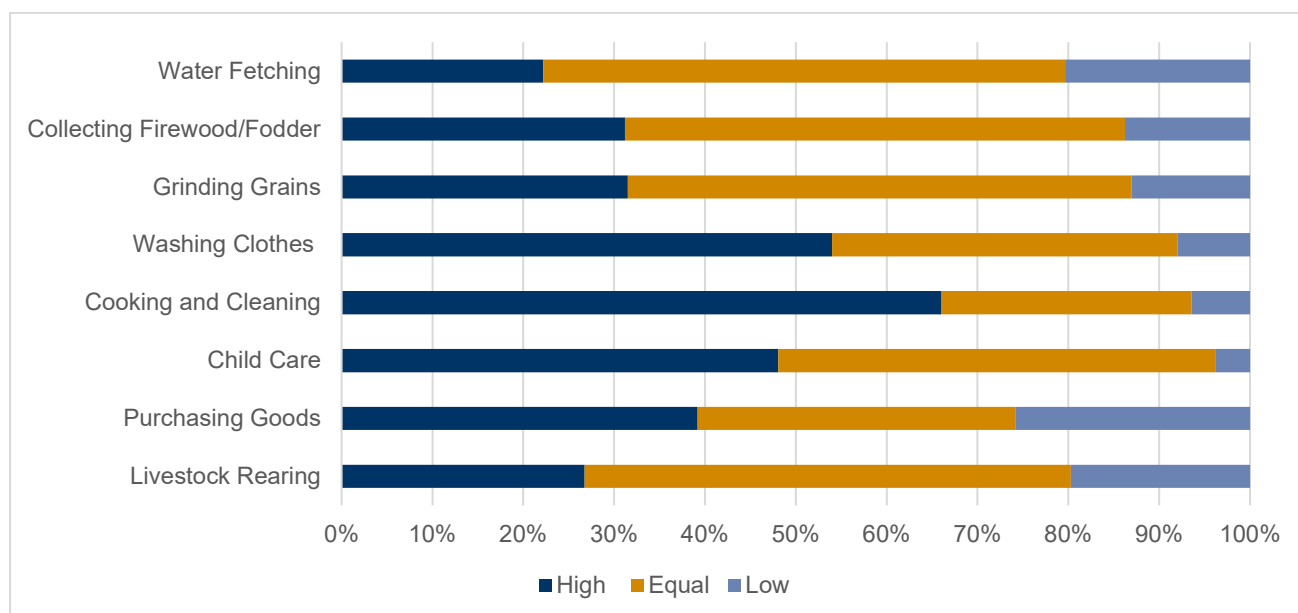
4.8.5 Livelihood and Division of Labor

In general, female-headed households and male-headed households have similar livelihoods, i.e., land-based livelihoods including rice, coffee and cassava cultivation, animal husbandry and NTFPs collection. However, it is noticeable that female-headed households, particularly if the rest of the family is composed of females, children, or elderly, have significantly fewer cultivation land areas and smaller animals holding size. Female-headed households may not have sufficient laborers for collecting large woods for construction and maintenance of houses and barns as this task is mostly undertaken by males. Additionally, these households may be more likely to experience food insecurity as they have lower agricultural productivity due to smaller farming size and lack male members to undertake hunting to provide alternative food sources for the family.

Based on the FGDs with women groups, the main tasks undertaken by women in the households include childcare and chores such as cooking, washing clothes, cleaning, etc. In addition, women collect NTFP products for cooking and food and conduct farm work. Notably, the women groups interviewed noted they were responsible for income and expenditure management. Women in the villages also engaged in handicrafts such as bamboo products and weaving, while men are engaged in blacksmithing.

Based on the socio-economic HH survey, tasks undertaken by men and women are mostly equally allocated including water fetching, collecting firewood/fodder, grinding grains, and livestock rearing. However, women have higher responsibility for cooking and cleaning and childcare. Interestingly, women identified to have different levels of participation in purchasing goods – 39% indicated to have high participation while 26% indicated to have low participation (**Figure 4-27**).

³⁷ CUSO/ GDG, n.d.

Figure 4-27: Participation of Female in Agriculture and Household Activities

Source: Socio-economic household survey by ERM/Innogreen, November and December 2021

4.8.6 Access to and Ownership of Land and Natural Resources

As discussed previously, most people do not have land titles, only land use rights (i.e. land use certificates) and booking land (no formal document). During the KIIs with women groups, it was identified that men and women usually have joint ownership of land. However, one women group asserted that despite joint land ownership, most of land use certificates are in the husband's names. Whether both husband's and wife's names can be included on the land use certificates is dependent on the practice of officials from the land use registration authorities, as in some villages the officials put both males and female names on the land use certificates.

Based on the socio-economic HH survey, the level of ownership between men and women are shown in **Table 4.17**. Approximately 50% of women and men have equal ownership of their land and house. However, over 34% of women were identified to have unequal ownership of land and house compared to their husbands. 72% of women do not have ownership of bank account deposit and 80% do not have ownership of ornaments such as jewellery, ceramics, glassware, and furniture, etc.

Table 4.17: Ownership over property between male and female

Ownership	High	Equal	Low	None
Land	12%	49%	38%	1%
House	13%	50%	34%	4%
Cash	48%	32%	16%	4%
Livestock	4%	41%	12%	44%
Bank deposit	5%	15%	9%	72%
Ornaments	9%	8%	3%	80%

Source: Socio-economic household survey by ERM/Innogreen, November and December 2021

4.8.7 Female Support Networks and Organizations

4.8.7.1 Lao Women's Union (LWU)

In 1995, the Lao Women's Union was established to protect the rights of all women in Lao PDR. The main objectives and responsibilities of the LWU include responding to women's development needs; promoting the status and roles of women; promoting unity amongst women of different ethnic groups and social strata throughout the country. (LWU, n.d.). Another important part of the Lao Women's Union's work is advocating for the protection of women's rights under the law, especially their right to live free from violence and eliminate gender-based violence against women from all sections of society (UNDP, 2021). The organizational system of LWU operates throughout the country at four levels, namely: central; provincial/ministerial, District/municipal and village ones with a total membership of 1,015,506 women (LWU, n.d.).

Through the FGDs with women groups, there are active village-level LWU in all villages. Women in the village regularly participate meetings of LWU and some women are responsible for the LWU at village levels with a representative in the villages participating in village and district level meetings. Village LWU collects data related to women, provides assistance to women and vulnerable groups, addresses gender-based issues, and promotes gender equality.

4.8.7.2 CARE International Laos in Dak Cheung District, Sekong Province

Care International is one of the main active NGOs in Dak Cheung District, particularly in the area of women empowerment. Based on the FGDs with women groups in Dak Cheung District, CARE International has implemented a framework of Gender Equality and Women's Empowerment by supporting coffee cultivation, providing training and workshop on coffee processing and forming of women's groups (**Box 4.1**). In addition, CARE also has been providing workshops on early marriage and childbirth and family health planning for those interested, in collaboration with District healthcare centres and office. CARE International also has programs targeting food security and providing assistance to vulnerable people.

Box 4.1: Boosting Coffee Product Project

CARE is a global NGO that supports women equal opportunities to earn an income, gain access to their fair share of resources, participate in decisions that affect their lives, and lead their communities through the increasing impacts of climate disasters and other crises.

CARE Australia has established a Boosting Coffee Production project, which seeks to support coffee farmers to increase their income. The Dak Cheung District is identified as a major location where this project is taking place.

The Boosting Coffee Production project involves:

- Increasing farming knowledge within rural communities.
- Establishing women-led coffee producer groups, enabling women to access technical training in coffee production, the establishment of crop nurseries and management of coffee gardens.
- Teaching women how to set up, use and maintain coffee processing centres and building their skills in coffee grading, quality control, basic business and financial literacy.
- Promoting gender equality by applying CARE's Social Analysis and Action and Engaging Men and Boys at household and community levels. Both approaches help to change gender norms and roles around doing housework and caring for children, elderly and people with a disability.

As this is an established program in the Dak Cheung District, the Project should liaise with CARE to determine if there is potential for collaboration.

Source: CARE Australia, n.d.

4.8.7.3 District Health Office

In addition to LWU and CARE International, the District Office also work in collaborations with these organizations to promote awareness about gender-based violence and sexual health.

4.8.8 Participation in Decision-making Process and Financial Linkage

The FGDs with women groups indicate that mostly women and men make decisions related to finance equally in the household; however, in most cases women do not have their own bank accounts. The key areas of decision-making in which women and men make together include household expenditure, saving, and education for children. Women are more dominant in making decisions related to household chores, e.g., cooking and daily expenditure, e.g., food consumption.

Table 4.18 presents disaggregated data of level of decisions by women by different topics based on the socio-economic HH survey. Women and men in general have equal decision-making power in topics related to pregnancy, number of children, agricultural activities, land/house and sale or purchase of agricultural products.

Women were identified to have low power in decision-making related to leaderships and politics in particular (46% of the surveyed households). However, women participate in the village and District meetings and in women's union activities. Women also identified to have increasing roles in village politics and administration (Dak Yen), responsible for women's union, or managing funds.

Table 4.18: Level of Decision by Women by Topic

Level	High	Equal	Low	None
Topic				
Agricultural Activities	15%	63%	17%	5%
Sale and Purchase of Agriculture Products	15%	60%	15%	10%
Land/house	11%	44%	12%	33%
Pregnancy	8%	82%	2%	8%
Number of Children	10%	81%	2%	7%
Leaderships/politics	18%	22%	46%	13%
Jobs/employment outside the home	9%	26%	26%	39%

Source: Socio-economic household survey by ERM/Innogreen, November and December 2021

4.8.9 Female's Needs and Challenges

The FGDs with women groups have identified their main needs are improved healthcare and support on livelihoods.

Women identified that they need support in improving livelihoods, including weaving and handicraft training, vocational training, and cassava and coffee processing, in order to increase added values to the agricultural products, and support different farming methods and seeds, which will have increased values. Moreover, women also need support on the linkage of agricultural activities to the market.

Women representatives also expressed their needs for:

- Improved health care centers as currently medicine and medical personnel are not sufficient in the existing healthcare; and
- Improved education for girls and boys and education facilities and supplies as currently these are lacking.

5 ELIGIBILITY AND ENTITLEMENT

5.1 Principles

The Project has developed key principles governing preparation and implementation of the RP as follows:

- Economic displacement of PAPs is carried out in compliance with Laos laws and Lenders' Safeguard Policies.
- Wherever possible, in-kind compensation (e.g. land for land) will be offered to AHs. Cash compensation will only be considered based on the preference of AHs. Where the land for land is not available, the lack of land will be demonstrated and documented by the Project
- AHs whose land or assets are impacted by the Project's land acquisition at the date of the DMS completion (the cut-off date) will be entitled to compensation according to the DMS and the entitlement matrix. They may also be entitled to rehabilitation assistance to assist them in improving, or at least maintaining their pre-project living standards and productive capacities as necessary.
- When involuntary resettlement impacts ensue, mitigation and rehabilitation measures will be put in place that will improve or at least restore living standards of affected persons to pre-project levels. All compensation will be based on the principle of full replacement cost (including any fees or taxes, registration or land transfer cost at the time of compensation).
- A household is considered severely affected if it loses 10% or more of its productive assets.
- The Project recognizes all displaced person including those (i) persons with formal legal rights to land lost in its entirety or in part; (ii) persons who lost the land they occupy in its entirety or in part who have no formal legal rights to such land, but who have claims to such lands they occupy in its entirety or in part who have neither formal legal rights nor recognized or recognizable claims to such land. The compensation and other assistance apply to all three types of displaced persons.
- Displaced persons without title or any recognizable legal rights to land are eligible for compensation for non-land assets at replacement cost and assistance including income restoration measures. In accordance with Lao Law, APs living in rural or remote areas, who do not have proof of land- use rights and who have no other land in other places, will be compensated for their lost rights to use land and for their assets at replacement costs and other additional assistance.
- AHs will be informed of Project information and meaningful consultation will be carried out with the AHs and concerned groups and ensure participation from planning up to implementation. The comments and suggestions of the AHs and communities have been taken into account in the development and implementation of this RP
- The key information in the RP such as measurement of losses, detailed asset valuation, compensation , detailed entitlements and special provisions, grievance procedures, timing of payments and schedule will be disclosed to AHs in a timely manner, and in understandable form and language to AHs.
- The affected households should receive compensation payments before site clearing or start of any construction activities.
- Resettlement identification, planning and management will ensure that gender concerns are incorporated, including gender -specific consultation and information disclosure. This includes special attention to guarantee women's assets, property and land-use rights, and to ensure the restoration of their income and living standards
- Existing cultural and religious practices will be respected and, to the maximum extent practical, preserved.

- Special measures will be incorporated in the resettlement plan to protect socially and economically vulnerable groups. Vulnerable AHs will be provided with additional assistance to ensure that they are equal beneficiaries of the project and are not further disadvantaged by the process of land acquisition.
- There will be effective grievance mechanism for receiving and resolving grievances during updating and implementation of the RP, without impeding access to the national judicial or administrative remedies. Grievance redress mechanisms will include representation from the AHs, especially women, minority ethnic groups, and other vulnerable groups.
- Institutional arrangements will be in place to timely and effectively design, plan, consult and implement the land acquisition and compensation plans.
- Adequate budgetary support will be fully committed and made available to cover the costs of land acquisition and resettlement within the agreed implementation period.
- Appropriate reporting, monitoring and evaluation mechanisms will be identified and set in place as part of the resettlement management system.

5.2 Eligibility

Eligibility will be determined with regards to the cut-off date, which is taken to be the final date of DMS survey (21 June 2022 in Dak Cheung District and 18 June 2022 in Sanxay District) is completed after the Compensation Committee informs the public about the location of the Project components. The PAPs will be duly informed of the cut-off date by the Provincial Compensation Committee. Any persons who encroach on the proposed Project area after the cut-off date will not be entitled to compensation and assistance under the Project, provided the cut-off date has been clearly established and made public.

Households under the followings are eligible for compensation from the Project:

- persons with formal legal rights to land lost in its entirety or in part;
- persons who lost the land they occupy in its entirety or in part who have no formal legal rights to such land, but who have claims to such lands that are recognized or recognizable under national laws; and
- persons who lost the land they occupy in its entirety or in part who have neither formal legal rights nor recognized or recognizable claims to such land. The involuntary resettlement requirements apply to all three types of displaced persons.

In accordance with the Lao Law, PAPs living in rural or remote areas, or PAPs in urban areas who do not have proof of land use rights and who have no other land in other places, will be compensated for their lost rights to used land and for their assets at replacement costs and other additional assistance.

If after the DMS and during construction when additional adverse social impacts are identified and/or additional PAPs are found, they are also entitled to receive Project entitlements as the other on condition that it can be ascertained that they have actually been in the Project corridor of impacts even before the cut-off date for eligibility. New APs that will emerge due to changes in the Project design or alignment prior to or even during construction works, they are likewise entitled to the same entitlements as those of the other APs

EPC contractor is responsible to report to the Project on any additional and/or unseen social impacts/land acquisition, including temporary land acquisition. EPC should follow the criteria for identifying appropriate locations for temporary facilities such as worker accommodation, batching plant, spoil disposal site. It is also responsible for compensation for the impacts identified during construction - if the impacts are unavoidable, based on the entitlement matrix. The Project Owner will ensure that the aforementioned responsibilities of EPC contractor are specified in the EPC contract.

5.3 Cut-Off Date

Eligibility is determined with regards to the “cut-off date”, which will be the final day of the detailed measurement survey (DMS) of the Project. The Project conducted DMS between 17 May to 21 June 2022 in Dak Cheung District and 14-18 June 2022 in Sanxay District. Therefore the cut-off date for Dak Cheung District is 21 June 2022 and 18 June 2022 for Sanxay District. All APs identified as of the cut-off date will be entitled to compensation for affected assets, and rehabilitation measures sufficient to assist them to improve or at least maintain their pre-project living standards, income-earning capacity and production levels. The information about cut-off date has been informed to PAPs orally during the DMS. According to IEAD, the official letter regarding cut-off date will be prepared by the Compensation Committee and disseminated through the project area. Those who encroach into the subproject area after the cut-off date will not be entitled to compensation or any other assistance unless there has been a change in subproject design.

5.4 Entitlement

Based on the eligibility criteria as defined in **Section 5.2**, entitlements are a range of measures comprising compensation, assistance, income and livelihood restoration, etc., depending on the type and severity of their losses. These measures are designed to improve or at least restore their living conditions to pre-project levels. The entitlements have been determined in conformance with Lenders’ requirements including ADB, DEG, JICA, FMO and AIIB on entitlements.

“The borrower/client will provide adequate and appropriate replacement land and structures or cash compensation at full replacement cost for lost land and structures, adequate compensation for partially damaged structures, and relocation assistance, if applicable, to those persons described in para. 7(i) and 7(ii) prior to their relocation. For those persons described in para. 7(iii), the borrower/client will compensate them for the loss of assets other than land, such as dwellings, and also for other improvements to the land, at full replacement cost. The entitlements of those under para. 7(iii) is given only if they occupied the land or structures in the project area prior to the cut-off date for eligibility for resettlement assistance.”

5.4.1 Entitlement Matrix

Entitlement matrix sets out the entitlements for different types of losses, rehabilitation measures and eligible AHs. The Project entitlements have been designed to provide compensation for lost assets and restore or enhance the livelihoods of all categories of affected people, including legal and non-legal land users/owners. For those households entitled to compensation and opting for cash rather than replacement land, payments will be made into a bank account in the joint name of husband and wife where it is joint property, even if the name on the title or land use certificate is in the name of the household head only. The Project will assist PAPs with setting up bank account in the case PAPs do not have a bank account and provide financial literacy regarding banking system to PAPs prior to compensation is deposited to their bank accounts. The matrix reflects:

- Type of Loss/ Impact
- Eligible Person/ Entity
- Types of entitlement
- Compensation
- Additional Allowances and Livelihood Restoration
- Implementation Considerations

Table 5.1: Eligibility and Entitlement Matrix

Item	Type of Loss	Entitled Persons/Entity	Compensation Policy	Additional Allowance and Livelihood Restoration	Implementation Considerations
1. Loss of Agricultural Land					
1.1	Permanent marginal loss of agricultural land (less than 10% of total productive assets)	<ul style="list-style-type: none"> ■ Owners with legal titles to the land; or ■ be eligible to have land legal titles allocated as regulated by the Land Law³⁸ or ■ have claims to such lands that are recognized or recognizable under national laws ■ or land use certificates 	<ul style="list-style-type: none"> ■ Cash compensation at replacement cost for the affected area or land for land compensation where feasible ■ For replacement land, land titles will be provided with all related costs borne by the Project 	Livelihood restoration programs described in Section 6	<ul style="list-style-type: none"> ■ PAPs with booking land ownership who pay taxes to the village authority and are able to provide such evidence such as land tax receipt etc. will be considered as having claim to such lands that are recognized under the national laws, and therefore are eligible for compensation for land. Whilst the booking land owners with no evidence of ownership to land (e.g. land tax receipt) will not be compensated for land, but will be compensated for non-land assets, as described in item 1.3 in this table. ■ Vulnerable households, including those headed by women identified by HH socio-economic survey ■ All transaction fees, taxes and other costs associated with allocation of replacement land with title or secure tenure will be paid by the Project. ■ If the head of household is married, the title or land

³⁸ Legal users include individuals, households or organizations with recognized land use rights evidenced by (i) documents such as a registered land title/certificate, survey certificates, land tax receipts, residency certificates and documents supporting customary land use rights; or, (ii) having applied for and awaiting receipt of recognized land use rights documents, e.g., registered Land Title. Legal users also include (iii) unregistered users that have written permission of village authorities to occupy and/or use land.

Item	Type of Loss	Entitled Persons/Entity	Compensation Policy	Additional Allowance and Livelihood Restoration	Implementation Considerations
					<p>certificate will be issued in the names of both spouses.</p> <ul style="list-style-type: none"> ■ If the land owners opt for cash compensation, the amount will be paid into a bank account in the name of both spouses where land is jointly claimed ■ It is recommended to have more meaningful consultations with PAPs to facilitate them to better understand that provision of cash compensation alone would not result in sustainable livelihood – they will need to also participate in financial literacy programs and LRP provided by the Project.
1.2	Permanent significant loss of agricultural land (loss of 10% or more of total productive assets)	<ul style="list-style-type: none"> ■ Owners with legal titles to the land; or ■ be eligible to have land legal titles allocated as regulated by the Land Law or ■ have claims to such lands that are recognized or recognizable under national laws; or ■ land use certificates 	<ul style="list-style-type: none"> ■ Cash compensation at replacement cost for the affected area or land for land compensation where feasible ■ For replacement land, land titles will be provided with all related costs borne by the Project ■ The remaining land will be acquired by the Project if it is no longer viable after acquisition 	Livelihood restoration programs described in Section 6	<ul style="list-style-type: none"> ■ PAPs with booking land ownership who pay taxes to the village authority and are able to provide such evidence such as land tax receipt etc. will be considered as having claim to such lands that are recognized under the national laws, and therefore are eligible for compensation for land. Whilst the booking land owners with no evidence of ownership to land (e.g. land tax receipt) will not be compensated for land, but will be compensated for non-land assets, as described in item 1.3 in this table ■ PAPs may request the Project to acquire the entire land

Item	Type of Loss	Entitled Persons/Entity	Compensation Policy	Additional Allowance and Livelihood Restoration	Implementation Considerations
					<p>holding if the remaining land is not viable.</p> <ul style="list-style-type: none"> ■ Vulnerable households, including those headed by women identified by HH socio-economic survey ■ All transaction fees, taxes and other costs associated with allocation of replacement land with title or secure tenure will be paid by the Project. ■ If the head of household is married, the title or land certificate will be issued in the names of both spouses. ■ If the land owner/s opt for cash compensation, the amount will be paid into a bank account in the names of both spouses ■ It is recommended to have more meaningful consultations with PAPs to facilitate them to better understand that provision of cash compensation alone would not result in sustainable livelihood – they will need to also participate in financial literacy programs and LRP provided by the Project.

Item	Type of Loss	Entitled Persons/Entity	Compensation Policy	Additional Allowance and Livelihood Restoration	Implementation Considerations
1.3	Permanent loss of agricultural land	Displaced persons without legal titles ³⁹	<ul style="list-style-type: none"> ■ Compensation at full replacement cost for non-land assets 	Livelihood restoration programs described in Section 6	<ul style="list-style-type: none"> ■ PAPs with booking land ownership who pay taxes to the village authority and are able to provide such evidence such as land tax receipt etc. will be considered as having claim to such lands that are recognized under the national laws, and therefore are eligible for compensation for land, as described in Item 1.1 and Item 1.2 . Whilst the booking land owners with no evidence of ownership to land (e.g. land tax receipt) will not be compensated for land. ■ Vulnerable households, including those headed by women identified by HH socio-economic survey
1.4	Temporary loss of agricultural land	<ul style="list-style-type: none"> ■ Owners with legal titles to the land ■ be eligible to have land legal titles allocated as regulated by the Land Law ■ have claims to such lands that are recognized or recognizable under national laws ■ Persons without legal titles 	<ul style="list-style-type: none"> ■ Provision of land rental value during the duration or temporary acquisition ■ Land used temporarily will be returned to users after being restored to the original condition or preferably after being rehabilitated to better conditions 	<ul style="list-style-type: none"> ■ If use of the productive land represents AH's primary source of income, leaseholder AHs will also be entitled to participation in the livelihood restoration program 	<ul style="list-style-type: none"> ■ If use of the productive land represents AH's primary source of income, leaseholder AHs will also be entitled to participation in the livelihood restoration program

³⁹ Non-legal users include individuals, households or organizations without legal, possessory or recognized or recognizable land use rights.

Item	Type of Loss	Entitled Persons/Entity	Compensation Policy	Additional Allowance and Livelihood Restoration	Implementation Considerations
1.5	Loss of agricultural land within the ROW of the transmission line	<ul style="list-style-type: none"> ■ Owners with legal titles to the land ■ be eligible to have land legal titles allocated as regulated by the Land Law ■ have claims to such lands that are recognized or recognizable under national laws 	<ul style="list-style-type: none"> ■ For private land holdings, temporary impacts will be compensated for each year of activity, i.e. if total construction time is assumed 3 years from then transfers will be made after the first compensation regularly during harvest season to parallel normal harvest incomes. ■ Land used temporarily will be returned to users after being restored to the original condition or preferably after being rehabilitated to better conditions 	NA	Temporary land acquisition is necessary for the full ROW during the first year to allow clearance of trees and other assets, in the years following tower installation and stringing, temporary impacts will be limited to construction land around towers and the access track.
1.6	Community forest within ROW of the mission line	<ul style="list-style-type: none"> ■ Villages, village authorities, mass organizations 	<ul style="list-style-type: none"> ■ For community forest land, the project will compensate land-for-land or easement fee will be paid on a kilometer basis to each village the transmission line passes through nearby forest. Community forests are usually zoned by the village into 3 types: (i) conservation forest, (ii) protection forest, and (iii) production forest. 	NA	NA
1.7	Forest land and land for perennial trees within ROW	<ul style="list-style-type: none"> ■ Owners with legal titles to the land ■ be eligible to have land legal titles allocated as regulated by the Land Law ■ have claims to such lands that are 	<ul style="list-style-type: none"> ■ Forest land and land for perennial trees within ROW are not compensated, but the affected land is entitled to assistance for restriction on land use, equal to 30% of compensation amount of the affected land. 	NA	It is expected that land will be temporarily affected for 3 years as per construction phase. After the TL is installed and for the duration of the design life of the TL, there are limitations of use for production and timber tree plantations therefore, an annual easement fee will be negotiated

Item	Type of Loss	Entitled Persons/Entity	Compensation Policy	Additional Allowance and Livelihood Restoration	Implementation Considerations
		recognized or recognizable under national laws			and compensated as a lump sum for community and privately owned land currently used for such plantations

2. Loss of Residential Land or Shelter (Not Applicable)

2.1	Loss of Residential Land With or Without Houses/Structures (Marginal Loss)	<ul style="list-style-type: none"> ■ Owners with legal titles to the land ■ be eligible to have land legal titles allocated as regulated by the Land Law ■ have claims to such lands that are recognized or recognizable under national laws 	<p>Marginal loss of land with remaining land sufficient to rebuild houses/structures will be compensated by:</p> <ul style="list-style-type: none"> ■ Cash compensation at full replacement cost (free from transaction costs e.g. taxes, administration fees). ■ Cash compensation for the works required to prepare land for construction. (e.g., land filling and levelling), to facilitate APs to rebuild on remaining land 	Assistance for issuance or renewal or amendment of land titles and/or upgrade of land use certificate to land titles for the affected land plot (in the names of both husband and wife)	Viability of remaining land is based on technical assessment by a third party with the endorsement of ADB. If remaining land is not viable to rebuild, APs may request the Project to acquire the entire land holding
2.2	Loss of Residential Land With or Without Houses/Structures (Significant Loss)	<ul style="list-style-type: none"> ■ Owners with legal titles to the land ■ be eligible to have land legal titles allocated as regulated by the Land Law ■ have claims to such lands that are recognized or recognizable under national laws 	<p>Remaining land is not sufficient to rebuild houses/structures will be compensated by:</p> <ul style="list-style-type: none"> ■ replacement land equivalent in area, type and category of the lost land, without charge for taxes, registration and land transfer fees, with security of tenure (i.e. land title or land documentation of equal or higher status than previously held). Land doc; or ■ Cash compensation at full replacement cost. 	Assistance to purchase and register replacement land	Viability of remaining land is based on technical assessment by a third party with the endorsement of ADB. If remaining land is not viable to rebuild, APs may request the Project to acquire the entire land holding

Item	Type of Loss	Entitled Persons/Entity	Compensation Policy	Additional Allowance and Livelihood Restoration	Implementation Considerations
2.3	Houses and Structures	<ul style="list-style-type: none"> Owner of houses/structures, regardless of tenure status 	<ul style="list-style-type: none"> Cash compensation for affected part of the structure at replacement cost 	<ul style="list-style-type: none"> Allowance to cover repair cost of the remaining structure (covering labour, materials, and other related costs) Allowance to cover the expense of relocation and loss of income during the transfer 	The PAPs will dismantle structures to be impacted by themselves with the ability to recover salvageable materials within time frame specified by the Project; if the PAP does not dismantle the structure within this time frame, the project will be responsible to dismantle such structures.
2.4	Houses and Structures	<ul style="list-style-type: none"> Tenant and lease holder 	First, the lease agreement will be reviewed to identify whether the lease agreement between the two parties cover this issue e.g. the house owner pay back the remaining value of the lease holder, in case of the lease agreement is terminated in advance due to acquisition. If not, then cash compensation will be provided by the Project	<ul style="list-style-type: none"> Assistance to find new place to rent Materials transport allowance to new location Allowance to cover the expense of relocation and loss of income during the transfer 	

3. Loss of Crops and Trees

3.1	Loss crops and trees	Persons/households whose crops (annual/perennial) and trees are affected by the Project	<ul style="list-style-type: none"> For affected trees, cash compensation at market rate in the local (Dakcheung district and Sanxay District) Cash compensation for perennial crops and fruit bearing trees based on annual net product market value multiplied by 5 years For timber trees, cash compensation at replacement cost equal to current market 	Livelihood restoration programs described in Section 6	NA
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Item	Type of Loss	Entitled Persons/Entity	Compensation Policy	Additional Allowance and Livelihood Restoration	Implementation Considerations
			<p>prices based on types, age and diameter at breast height of trees.</p> <ul style="list-style-type: none"> ■ Notice to harvest annual crops, if possible. ■ For annual crops that cannot be harvested, cash compensation equivalent to current market prices times the average yield/crop calculated over the past three (3) years. 		
4. Vulnerable Households					
4.1	Vulnerable households	Households that are categorised under the vulnerable criteria	<ul style="list-style-type: none"> ■ Land-for-land option will be a guaranteed option for vulnerable displaced persons. ■ Additional allowance for loss of land or structure 	<p>Livelihood restoration programs described in Section 6 should be assisted with a target to improve status to at least national minimum standards</p> <p>Vulnerable households will have priority in any employment required for the project.</p>	<p>Vulnerable households, including those headed by women identified by socio-economic HH survey</p> <ul style="list-style-type: none"> ■ Land title will go to both husband and wife.
5. Other Losses					
5.1	Temporary loss of access or Impacts during construction activities	<ul style="list-style-type: none"> ■ Owners with legal titles to the land ■ be eligible to have land legal titles allocated as regulated by the Land Law ■ have claims to such lands that are 	<ul style="list-style-type: none"> ■ The contractor will pay at full replacement cost for any impact on crops, structures or water supply systems resulting from movement of machineries and construction materials or pollution or soil erosion caused by construction activities 	NA	<p>During Project planning, the Project to consult with village heads to avoid restriction of access during Project construction and operation where possible</p>

Item	Type of Loss	Entitled Persons/Entity	Compensation Policy	Additional Allowance and Livelihood Restoration	Implementation Considerations
		<p>recognized or recognizable under national laws</p> <ul style="list-style-type: none"> ■ Persons without legal titles 	<ul style="list-style-type: none"> ■ Land used temporarily will be returned to users after being restored to the original condition or preferably after being rehabilitated to better conditions ■ Provision of temporary access where possible or land rental at market rate 		
5.2	Permanent Loss of Livelihood/source of income	Agricultural labourers, non-agricultural labourers and employees permanently affected by the Project, NTFP collectors	<ul style="list-style-type: none"> ■ Assistance for lost income based on 3 months' lost income or minimum wage rates. ■ Additional compensation for vulnerable households 	<p>Livelihood restoration programs described in Section 6. If poor and vulnerable, should be assisted with a target to improve status to at least national minimum standards</p> <ul style="list-style-type: none"> ■ Priority to participate in Project employment opportunities. 	Additional compensation for vulnerable households
5.3	Temporary Loss of Livelihood/Source of income	Agricultural labourers, non-agricultural labourers and employees temporarily affected by the Project, NTFP collectors	<ul style="list-style-type: none"> ■ For construction activities involving unavoidable livelihood disruption, compensation for lost income or a transitional allowance for the period of disruption, whichever greater ■ Restoration of affected land, structure, utilities, common property resources 	<p>Livelihood restoration programs described in Section 6</p> <ul style="list-style-type: none"> ■ Assistance to mobile vendors/hawkers to temporarily shift for continued economic activity. 	<ul style="list-style-type: none"> ■ Contractor's actions must ensure there is no income/access loss through provision of access etc.
5.4	Loss of common property resources (Affected buildings and structures, community resources such	Villages, village authorities, mass organizations	<ul style="list-style-type: none"> ■ Affected buildings and structures will be restored to original or better condition in the case of damage as a result of project activities. 	NA	EPC Contractor's Environmental and Social Manager will closely monitor ESMP implementation (restoration, replacement, rehabilitation), and details will be

Item	Type of Loss	Entitled Persons/Entity	Compensation Policy	Additional Allowance and Livelihood Restoration	Implementation Considerations
	as streams, grazing land, fishponds)		<ul style="list-style-type: none"> ■ Community resources such as streams, grazing land, fishponds would require replacement or rehabilitation in the event of damage 		reported through semi-annual safeguards monitoring reports
5.5	Unforeseen Impacts	To be confirmed	<ul style="list-style-type: none"> ■ In case of any additional impacts identified during implementation, appropriate entitlement measures would be included 	To be confirmed	To be confirmed

5.5 Compensation and Valuation Methodology

The following section present the methodology for determining compensation unit rates and approved compensation unit rates for Sekong and Attapeu.

5.5.1 Methodology for Determining Unit Rates for Different Types of Assets

Figure 5-1 presents the principle and methodologies for valuation and determining compensation unit rate. The compensation unit rates were based on the followings:

- Compensation unit rates approved for previous projects— No.74/Sakong Governor (2018) for Sekong Province and compensation unit rates for 500 kV transmission line of Nam Kong HPP (2019) for Attapeu.
- Based on actual market/local price at each village available and comparison to land prices data from land office, crops and trees prices data from Agriculture office, and structure/building prices are from Public Works and Transport office
- Feedback from the affected HHs on the compensation unit rates through consultation with village heads or representatives as detailed in **Section 7.6**. It was noted during the consultation with Provincial and District authorities on 18 July 2022 that this was the approach that the authorities used for market price survey and take into consideration of determining compensation unit rates
- As outlined in Principles in **Section 5.1** and Entitlement Matrix in **Section 5.4** that all compensation will be based on the principle of full replacement cost (including any fees or taxes, registration or land transfer cost at the time of compensation).
- This RP attempts to compare the Project's compensation rate with other projects ADB approved resettlement plans in Laos in recent years to validate if the unit rates for the Project are on par with other ADB approved projects.

Section 5.5.2 presents detailed compensation unit rate for this Project. Based on the discussion above, the Project has demonstrated that the compensation will be at replacement costs by taking into consideration market price valuation and principle of compensation at full replacement cost.

Table 5.2 presents comparison of the Project 's compensation unit rate to unit rates of other ADB's approved Project in Lao PDR in recent years. It is noted that these ADB approved projects also refer to compensation unit rates determined by the relevant authorities in that respective jurisdiction.

Table 5.2: Unit Rate Comparison

Item	Unit	Unit Rates of the Project (LAK)		Unit Rates of Other ADB Approved Project (LAK)			
		Sekong (No.32)	Attapeu(No.601)	Second Greater Mekong Subregion Tourism Infrastructure for Inclusive Growth Project (2020) ⁴⁰	Sustainable Rural Infrastructure and Watershed Management Project (2019) ⁴¹	Greater Mekong Subregion Tourism Infrastructure for Inclusive Growth Project (2017) ⁴²	Nam Ngiep 1 Hydropower Project (2015) ⁴³
Agricultural land	m ²	3,500 – 9,000	15,000 - 50,000	20,000	NA	NA	1,200 – 3,500
Paddy land	m ²	5,000 – 9,000	25,000 - 50,000	NA	6,000	NA	3,000 – 5,000
Garden land	m ²	3,500	15,000 - 20,000	25,000	2,250	NA	800-1,500
Trees	tree	5,000 – 170,000 ⁴⁴	25,000 – 900,000 ⁴⁵	NA	30,000	150,000 (mature)	25,000 – 100,000
Banana	tree	100,000 ⁴⁶	242,800	150,000	NA	NA	60,000 ⁴⁷
Land title renewal	title	NA	NA	5,000,000	NA	NA	NA
Rice	kg	3,500 ⁴⁸	3,500	NA	NA	NA	NA
Cassava	kg	720	800	NA	NA	NA	500
Coffee	tree	15,000 – 80,000	13,200 – 112,000	NA	NA	NA	NA

⁴⁰ https://www.adb.org/sites/default/files/project-documents/49387/49387-002-remdp-en_0.pdf

⁴¹ [50236-002-rp-en_1.pdf \(adb.org\)](https://www.adb.org/sites/default/files/project-documents/50236/50236-002-rp-en_1.pdf)

⁴² [46293-003-rp-en.pdf \(adb.org\)](https://www.adb.org/sites/default/files/project-documents/46293/46293-003-rp-en.pdf)

⁴³ <https://www.adb.org/sites/default/files/project-document/81147/41924-014-remdp-01.pdf>

⁴⁴ Industrial trees

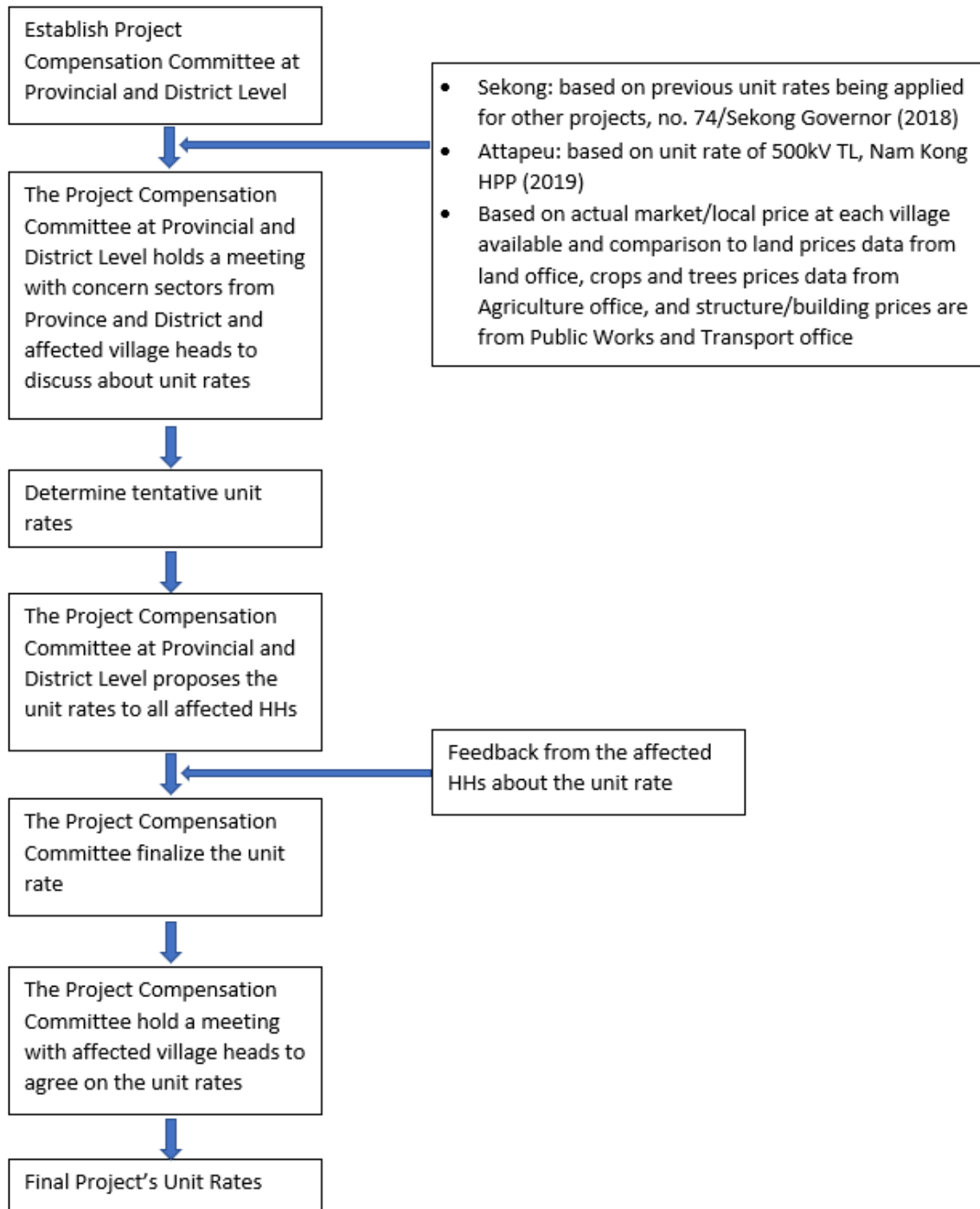
⁴⁵ Industrial trees

⁴⁶ 100,000 LAK for one groupd (which consists of more than 5 banana trees)

⁴⁷ 60,000 LAK per one group (which consists of three banana trees)

⁴⁸ 3,500 LAK per rice production per ha

Figure 5-1: Principles and Methodologies for Valuation and Determining Compensation Rates for Affected Land and Assets



5.5.2 Unit Rates

This Section presents approved compensation unit rates for common affected assets including land, crops and structures according to the DMS result and consultations undertaken in July-August 2022. For full translation of compensation unit rates refer to **Appendix D** for Sekong and **Appendix J** for Attapeu.

5.5.2.1 Sekong Province

Notification No. 32 Agreement on the Endorsement of Compensation Unit Rates for the 600 MW Monsoon Wind Farm Project Including 500kV Transmission Line in of Dak Cheung District, Sekong Province of Impact Energy Asia Development Co., Ltd has outlines the compensation unit rates as follows:

- 1) Compensation for cemetery and sacred area:** the compensation of cemetery, sacred forest or Ma He Sak Forest (Lao Name) will be compensated once-off for conducting customs/rituals as follows:

No.	Type	Unit	Compensation Price (LAK)	Remarks
1	Cemetery, Sacred Forest, Ma He Sak Forest (Lao Name)	Village	10,000,000	
2	Rituals Regarding Village Traditions	Times/Village	4,000,000	In the case where the project does not affect the cemetery but run through the village
3	Fixing Customs and Graves Outside the Cemetery of the Village	Grave	5,000,000	Grave located at dry season rice field head, lowland rice field tail are affected by the development.

2) Land Compensation

Type	Impact Type			
	Direct (Permanent)		Indirect (Temporary)	
	Unit (m ²)	Price/LAK	Unit (m ²)	Price/LAK
General Building Land	1 m ²	10,000	1 m ²	2,000
Lowland Rain Water Rice Field Land	1 m ²	9,000	1 m ²	1,000
Old Lowland Rice Field Land	1 m ²	5,000	1 m ²	1,000
Garden Land	1 m ²	3,500	1 m ²	1,000
Undeveloped Reserved Land Area	Will Not be Compensate			
Developed Reserved Land Area	1 m ²	1,000	1 m ²	800
Livestock Stable Land	1 m ²	3,000	1 m ²	1,000
Industrial Plants Land	1 m ²	3,000	1 m ²	1,000

3) Compensation for structures

No.	Type	Unit	Compensation Price (LAK)	Remarks
1	Grass Thatch Hut, Hear Wood (Lao Name) Wall (Small) 2x3 m	Hut	450,000	Labor costs for moving as the owner can reuse materials
2	Grass Thatch Hut, Hear Wood (Lao Name) Wall (Medium) 4x8 m	Hut	600,000	
3	Zinc Roof Thatch Hut, Aem Paen (Lao Name) (Large) 4x8 m	Hut	900,000	
4	Concrete Mill/Zinc Roof Thatch	m ²	20,000	
5	Fence (Barbed Wire)	Meter Long/3 Row	5,000	After payment, repairment is the owner responsibility.
6	Mai Kaen Lorn Wood (Lao Name) Wooden Fence Post	Post	10,000	
7	Cement Fence Post	Meter Long	35,000	
8	General Wooden Fence Post	Meter Long	5,000	

4) Compensation Unit price for Coffee Tree

SN	Crops Species	Unit	Does Not Give Product Newly Planted 2 Years or Less	Not Yet Harvested	Large Tree Harvested
1	Arabica/Robusta	Tree/LAK	15,000	35,000	60,000
2	Catimor	Tree/ LAK	15,000	40,000	80,000
3	Old Coffee	Tree/ LAK	15,000		30,000
4	Cardamom	m ²		5,000	15,000

5) Compensation Unit Price for Single Season Lowland Rice Field, Dual Season and Upland Rice Field

Crops Species		Direct Impact (Permanent)			Indirect Impact (Temporary)		
		Product Kg/Year/ha	Unit Rate (LAK/unit)	Compensation Year(s)	Product Kg/Year/ha	Unit Rate (LAK/unit)	Compensation Year(s)
Wet Season Rice	Sticky Rice	3,500	3,000	3	3,500	3,000	1
	Boiled Rice	3,500	3,500	3	3,500	3,500	1
Dual Season Rice	Sticky Rice	5,000	3,000	3	5,000	3,000	1
	Boiled Rice	5,000	3,500	3	5,000	3,500	1
Upland Rice	Sticky/Boiled	3,000	3,000	3	3,000	3,000	1

Remark: Calculation Method

- Calculation = [(Productivity x Rice Field Area) x Rice Price] x Compensation Year(s)]
- Example: Mr. A have 0.5 ha wet season rice field.
- Calculation = [(3 x 0.5) x 3,000,000] x 3]
- Result = 15,750,000 Kip

6) Compensation Unit Price on Carbohydrate Type Crops

Crops Species	Direct Impact (Permanent)			Indirect Impact (Temporary)		
	Product Kg/Year/ha	Compensation Value	Compensation Year(s)	Product Kg/Year/ha	Compensation Value	Compensation Year(s)
Raw Cassava		720	1		720	1
Corn		10,000	1		10,000	1
Sweet Potato		7,000	1		7,000	1
Taro		6,000	1		6,000	1
White Potato		5,000	1		5,000	1

For compensation unit rates for fruiting trees, industrial trees, annual crops, and other types of assets, refer to **Appendix D**.

5.5.2.2 Attapeu Province

Notification No. 601 Agreement on the Endorsement of the Compensation Unit Price for Land, Structures and Crops for the People who are Affected by the Construction of the 600 MW Monsoon Wind Farm Project, Sanxay District, Attapeu Province outlines the compensation unit rates as follows:

1) Compensation to Land (with land use rights certificate as per Laos Laws)

Type of Land	Location of Land	Permanent Impact (Tower Foundation Area and under the Transmission Corridor) (LAK)	Temporary Impact 3 Years Construction Phase (LAK)
Construction Land	District Municipal Area	60,000	2,000
	Suburban Area	50,000	1,500
	Rural Area	30,000	1,000
Agriculture	Productive Lowland Rice Field	50,000	1,000
	Old Lowland Rice Field	25,000	800
	Upland Field	25,000	800
	Garden adjacent to connecting road	20,000	800
	Garden adjacent to alleyway	15,000	800

2) Compensation Unit Price for Rice

Rice Type	Permanent Impact	Unit Price (LAK/kg)	Temporary Impact (LAK /kg)	Unit Price (LAK /kg)
Wet Season Rice	Compensate 10 Years	3,500	Compensate 03 Years	3,500
Dry Season Rice	Compensate 10 Years	3,500	Compensate 03 Years	3,500
Stable Upland Rice	Compensate 5 Years	3,500	Compensate 01 Years	3,500
Shifting Upland Rice	Compensate 3 Years	3,500	Compensate 01 Years	3,500

- **Remarks** (Wet Season, Dry Season Rice)
Productivity: 2.8/ha
 - **Permanent Impact Calculation** = Productivity x Affected Area x Unit Price x 10 Years
 - **Temporary Impact Calculation** = Productivity x Affected Area x Unit Price x 1 Year
 - **Remarks** (Shifting Upland Rice)
Productivity 2.8/ha
 - **Permanent Impact Calculation** = Productivity x Affected Area x Unit Price x 5 Years
 - **Temporary Impact Calculation** = Productivity x Affected Area x Unit Price x 1 Year
- In case of Temporary Impact:**
If not yet harvested, calculate 100% of that year product. If already harvested, there will be calculation to compensate.

3) Compensation for Cassava

Crop Type	Permanent Impact	Unit Price (LAK/kg)	Temporary Impact (LAK/kg)	Unit Price (LAK/kg)
Cassava	Compensate 10 Years	800	Compensate 01 Years	800

- **Remarks** (Cassava)
Productivity 30/ha
- **Permanent Impact Calculation** = Productivity x Affected Area x Unit Price x 10 Years (Market Price)

4) Compensation for Coffee

Coffee Type	Robusta (LAK/Tree)	Arabic (LAK/Tree)
Newly Planted (1 Year)	13,200	18,945
Sapling (2 nd Year)	98.800	128.670
Yield (3 rd Year)	112.000	147.615
Yield (4 th Year)	112.000	147.615
Yield (5 th Year)	112.000	147.615
Yield (6 th Year)	112.000	147.615
Old Coffee	Yield = 30,000	

5) Compensation Unit Price for Structures

Type	Material	Size	Price/LAK	Remarks
Pillar				
Round Pillar	Hardwood	20x400 cm	150,000	- Include material, labor and ready-made assemble costs
Round Pillar	Softwood	20x400 cm	100,000	
Pointed Edge Pillar	Hardwood	20x20x400 cm	500,000	
Pointed Edge Pillar	Softwood	20x20x400 cm	300,000	
Ready-Made Cement Pillar		20x20x400 cm	400,000	
Cement Pillar that was poured at site			400,000	

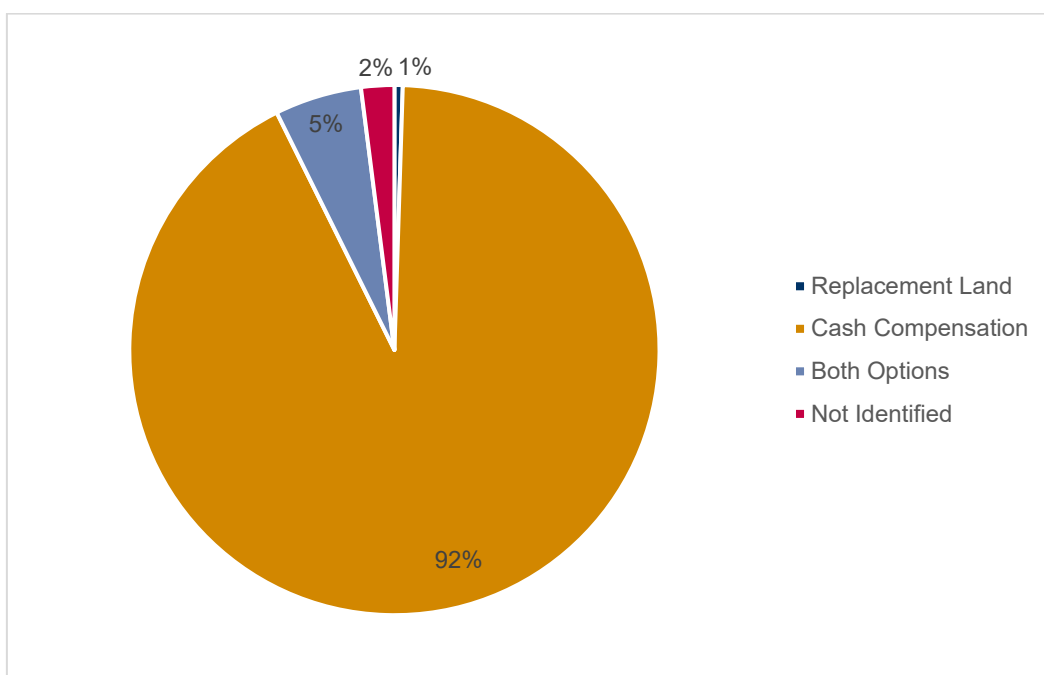
For compensation unit rates for fruiting trees, industrial trees, annual crops, and other types of assets, refer to **Appendix J**.

5.5.3 Replacement Land

DMS Survey conducted in May-June 2022 suggests that of 396 surveyed HHs, 92% (365 HHs) indicated cash compensation as their compensation preference while 1% (2 HHs) indicated replacement land as their preferred compensation options, 5% (21 HHs) indicated both replacement land and cash compensation are their preferential compensation and 2% (8 HHs) did not identified their preferred option (**Figure 5-2**).

Although 92% of PAPs preferred cash compensation, it should be noted that their livelihoods patterns are land-based prior to the displacement according to the socio-economic baseline survey; therefore, cash compensation may pose risks to the sustainability of their future livelihoods if not managed properly and supported by appropriate livelihood restoration programs. It is recommended to have more meaningful consultations with PAPs to facilitate them to better understand that provision of cash compensation alone would not result in sustainable livelihood – they will need to participate in financial literacy programs and LRP provided by the Project.

Figure 5-2: DMS Results on Compensation Preference



Land for Land is the preferred strategy of making arrangements for compensation with PAPs, as clearly expressed in GoL Decree No. 84/NA, ADB policy requirements as outlined in **Section 2** of this report. Land for land compensation is referred to when an impacted parcel of land is compensated by making available to the PAP a parcel of land of equivalent or better land. For instance, a parcel of paddy rice field impacted by the project can be compensated by making available a replacement parcel of paddy rice field land. Annex C of the CA outlines the principles of land for land compensation as follows:

- Every land plot provided by way of compensation shall be of equivalent or greater size or productive potential to land plots lost to the PAP as a result of the Project.
- In the event compensation in land is provided in respect of more than one land plot, the Company may, with the written agreement of the concerned PAP, cumulate the total land size of the lost plots to create one single plot of equivalent or greater area.
- The Company shall propose one or more land plots to each concerned PAP for their approval and shall provide the necessary facilities so that each such PAP, accompanied by a member of the relevant DWG can visit such plots.
- The consent of a PAP to accept a land plot provided by way of compensation shall be freely made in writing by that PAP and witnessed by: (a) a member of the DWG; and (b) an adult member of the PAP's family, preferably the spouse of head of household.
- In the event that an agricultural land plot provided by way of compensation is distant from the dwelling of the PAP, the Company shall provide financial or material compensation so as to facilitate access to the land plot by the concerned PAP for a period of 10 years.

In addition, as clearly stated in the Entitlement and Eligibility Matrix that for replacement land, land titles will be provided with all related costs borne by the Project.

In the case that replacement land is not feasible or viable, the Project will demonstrate the effort to identify and secure replacement land for PAPs by:

- Provide information of the potential replacement land that IEAD and relevant authority considered including 1) location (which should not be too far from PAP's residence), 2) quality of such land (should be equal or better productive potential than the land of PAP lost) of replacement land, and 3) Information of current ownership, current land use type (if possible)
- If the replacement land is owned by other communities (i.e., host community), documentation related to consultation with residents and leads of these host communities. Clarify whether the additional involuntary resettlement will be induced by provision of replacement land, if yes, provide related information as much as possible, e.g., impact type (economic and/or physical), number of PAPs, mitigation measures, etc.
- Thereafter, the Project will provide justifications as to why qualified replacement land does not exist or is unaffordable

5.6 Compensation in the form of materials

Compensation in the form of materials is referred to when an impacted structures or objects will be compensated with equivalent or of better quantity and quality to those being impacted. For instance, if a well is being impacted, an equivalent quality well will be compensated for. Annex C of the CA outlines the principles of land for land compensation in the forms of materials or in-kind compensation follows:

- Materials provided by way compensation shall be of equivalent or of better quantity and quality to those being compensated.

- The Company shall propose the materials to be provided by way of compensation to each concerned PAP for their approval and shall provide the necessary facilities so that each such PAP, accompanied by a member of the relevant DWG can inspect such materials
- The consent of a PAP to accept materials by way of compensation shall be freely made in writing by that PAP and witnessed by: (a) a member of the DWG; and (b) and adult member of the PAP's family, preferably the spouse of head of household.

5.7 Cash Compensation

Payment of cash compensation will be made to bank accounts of PAPs in both husband's and wife's names. If PAPs have no bank account available, the Project will provide assistance to PAPs to open a bank account; all costs of this procedure will be borne by the company. Training on banking system and financial management will be provided.

All immediate compensation measures (cash or in-kind compensation) will be concluded before the Project takes possession of such land and proceed with any ground activities.

5.7.1 Financial Literacy Program

As compensation payments will be deposited via bank accounts of the PAPs which required dual signature for withdraws of funds. Many PAPs, considering that they live in remote villages, do not have knowledge on banking system nor have previous experience with banking. Financial Literacy Program will aim at:

- Create better understanding of banking system such as saving account, transaction, deposit, interests, ATM card, etc.
- Create knowledge around financial decision, for example, when PAPs purchase large households items with a monthly payment contract, mobile phone plans, etc. This will facilitate the PAPs to make decision that are suitable to their financial situation
- Create knowledge around financial management. PAPs may receive cash compensation in large amount, therefore it is crucial to provide knowledge on how to plan their finance for the long term to ensure sustainable livelihood.

5.8 Key Considerations

5.8.1 Gender Considerations

Gender

The needs of women affected by involuntary resettlement are likely to be different from those of men, particularly in terms of social support, services, employment, and livelihood. For example, women might face greater difficulty than relocated men in reestablishing markets for home industry produce or small trade items if they are constrained by lack of mobility or illiteracy. Female heads of household are eligible for the same benefits as their male counterparts but they need special attention if, when compared with men, they lack resources, educational qualifications, skills, or work experience.

The underlying causes that have influenced gender dynamics are:

- Unequal property ownership with more likelihood to lesser female land ownership
- Females are more confined to household chores and domestic work
- Females have slightly lower average level of education than men. The average level of education for females is up to year 3-4 of secondary school, while for males it was identified that the average education is year 4-5 of secondary school

- Female-headed households have a significant fewer cultivation land areas and smaller animals holding size.
- The financial management and decisions with account holding rest with male more than female

5.8.1.1 Key Principles

- Ensure that gender responsive budgeting is considered in all aspects of project deliverables
- Ensure the inclusion and full participation of women in the planning, decision-making, compensation, and monitoring process as a part of any community-level mechanisms that are part of implementation.
- Ensure that all compensation entitlements are gender sensitive and inclusive.
- Ensure that any compensation payments and/or land replacement titles are made in the name of both husband and wife where joint ownership is confirmed
- Ensure the protection of women's rights with regards to ownership of land & property and other land tenure arrangements (de-jure and de-facto), even if their names are not included on land documents;
- Develop entitlement options that can derive improved practical benefits for women such as increased income, greater financial security, and more economic rights and opportunities or needs as emerging from consultations; and
- Develop mechanisms to ensure collection, analysis, and reporting, of gender-disaggregated data in the monitoring & evaluation process.

5.8.1.2 Gender Equity Procedures

The following procedures are recommended to ensure that the gender equity principles are integrated into the implementation process as presented in **Table 5.2**.

Table 5.3: Process of Gender Equity

Output and Activities	Gender Mainstreaming Initiatives	Responsibility
Informed Consultation and Participation	<ul style="list-style-type: none"> ■ Consult separately with women in decision making process ■ Develop targeted awareness materials on the discriminatory practices ■ Ensure that women are included and represented on all decision-making committees. Provide capacity building and support to enable fuller and equal contribution; ■ Time any major consultation activities and initiatives also taking into consideration the time-availability of women. 	IEAD
Land Ownership and Titles	<ul style="list-style-type: none"> ■ Ascertain ownership of affected assets in a manner that is cognizant of the rights of women, E.g, de-jure and de-facto claims where both spouses are joint owners and/or users; of the affected asset - both are eligible for compensation; 	IEAD and local government authorities
Community Development	<ul style="list-style-type: none"> ■ Ensure targeted financial literacy initiatives for women while opening bank accounts; ■ Include women members in the community committees; ■ Document any intangible community knowledge or skill within women groups as part of the associated initiatives; ■ Ensure women socio economic empowerment ■ Ensure the use of gender-sensitive indicators and gender analysis in baseline studies, monitoring, evaluation, and a project performance system. 	Implementation Team

Output and Activities	Gender Mainstreaming Initiatives	Responsibility
	<ul style="list-style-type: none"> ■ Ensure inclusion of GAP progress and achievements in the progress, mid-term, and annual reports 	
	<ul style="list-style-type: none"> ■ Ensure equal pay for equal work for women and men for all construction work (including with third party contractors, as far as possible); ■ Announce employment opportunities and recruitment notices widely, targeted at women as well as men. ■ Ensure that basic facilities (separate toilets, clean water) and safety are provided for female as well as male construction workers at construction sites. ■ If local labour is hired, assess the need for provision of adequate day-care for children of workers, based on need. ■ Ensure the childcare facilities for women at work ■ Ensure safeguards through insurance mechanism for women labours 	Contractors and other partners

5.8.2 Vulnerability

The main vulnerable groups applicable to the resettlement here include:

- Poor households i.e., living under the national poverty line set by the Laos Government (LAK 9,364 (USD 0.83) per day per person);⁴⁹
- Households of elderly persons above the age of 65 with no economic support;
- Households with physically and/or mentally disabled members who need care from other family members;
- Female-headed households with dependents; and
- Households with no land/squatters.

Since the majority of households in the Project Area belong to ethnic groups, being Indigenous Peoples alone in this case does not apply as a criterion for vulnerability.

⁴⁹ The national poverty line is estimated at LAK 280,910 (USD 24.90) per month per person at 2019 prices or approximately LAK 9,364 (0.83 USD) per day per person.

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Some communities, including Indigenous Peoples and ethnic minorities, may not have formal titles to land they own or use but have ancestral customary rights to regulate collective common property. They depend on open access to common grazing lands, fishing areas, or forest resources for subsistence and cash incomes. The survey and census work should take full account of these patterns of resource use, including the systems of land use and land transfer that operate under customary law and usufruct systems. An ADB-assisted project may provide an opportunity to regularize traditional land tenure and provide formal title. Resettlement planners should work in close consultation with all of the affected persons to ensure that this process continues to provide access to all traditional users, including women.

Informal dwellers or squatters in urban or rural areas and encroachers on forest or farmland may have arrived relatively recently on unused or under used public land. If they arrived before the entitlements cut-off date, the borrower/client is required to provide them with replacement structures or cash compensation at full replacement cost for any structures, crops, or land improvements that they will lose, as well as relocation assistance. The project could pay this as rehabilitation assistance if the laws of a given DMC do not allow compensation for those without a legal title. Good practice provides land with title to informal dwellers and encroachers who depend on agricultural production for their livelihood, and for those who do not depend on agriculture, planners can identify alternative income restoration options in close consultation with the squatters themselves. Informal dwellers occupying public safety zones can be provided with housing, land, or income-earning opportunities elsewhere.

Squatters are people for whom the housing markets fail to provide affordable housing and have, therefore, built houses illegally on public lands. Some squatter settlements have been tolerated for many years and enjoy customary tenure security that is not challenged. This is the case along railway and road rights-of-way throughout India and Bangladesh. Best practice is to recognize the validity of long-term practice, regularize the tenure rights of these squatters, and treat them as type 2 displaced persons

The poor are those who are below the given poverty line of a DMC or where there is no established poverty line, below the official national standards of living parameters. The challenge for the poor who experience involuntary resettlement may be to identify sustainable living and income-generating options that work for them and they can accept. For example, households that had very small landholdings to begin with may lose their ability to sustain themselves after land acquisition and thus require full income restoration. To fulfil the objectives of the involuntary resettlement safeguard of the SPS, especially in improving the standards of living of the displaced poor and other vulnerable groups, replacement housing should meet or exceed existing local standards. Similarly, wages for the poor should meet or better the minimums required in a DMC. In addition, the poor should be provided with targeted assistance, including jobs at the project site, skills training, etc.

5.8.2.1 Measures for Vulnerable Households

Vulnerable groups are addressed in **Table 5.1** whereby replacement land will be first compensation priority, additional allowance for loss of land or structure, priority in any employment required for the project and livelihood restoration program will be provided to impacted vulnerable households. In addition, the following measures will be implemented to address different kind of vulnerabilities:

- Needs of ethnic groups will be analysed and addressed through programs and initiatives as outlined in the Community and ethnic Group Development Plan (CEGDP)
- For PAPs without legal title to land, customary rights to land will be recognized within applicable laws, without request for legal titles for such land, for compensation. Additionally, for replacement land, secure of land tenure will be ensured by supporting the GoL in the provision of land titles for replacement land.

- Poor households will be addressed by livelihood restoration programs such as local employment, enhancement of agriculture production and animal husbandry as discussed in **Section 6**.
- If measures outlined above are not sufficient or viable to improve their status to at least national minimum standards, they may receive additional assistance, for example, additional allowance or provision of rice.
- Assistance will be provided to specific type of vulnerabilities. For example, households with health problems, health assistance will be provided by the Project; for households with insufficient labours, extended food support will be provided; for elderly farmers and disabled farmers, support for clearing replacement land will be provided. The Project will assess the needs and potential activities together each household and will coordinate with the education, health, and livelihood teams of the Project to provide sustainable and livelihood improving support.

6 LIVELIHOOD RESTORATION PROGRAMS

This section presents the Livelihoods Restoration Plan (LRP) for the Monsoon Windfarm project. The purpose of the LRP is to support households to restore their livelihoods to at least pre-project levels and to complement the delivery of compensation and rehabilitation assistance (as applicable) for those that may potentially sustain economic impacts due to the project's land and natural resource requirements.

6.1 Approach

This section provides an overview of the context and principles for livelihood restoration, scopes the strategy and components or measures that will be put in place by Monsoon Windfarm and outlines the implementation mechanisms to enable the LRP to adhere to ADB safeguards and standards. Presently, the LRP is indicative and should be viewed as a guidance document to put in place the process elements that are required to develop the strategy outlined into implementable actions plans.

All household members (from 18 to 60 years of age) of physically displaced and economically displaced households are eligible to access livelihood options under the LRP. Specific provisions (i.e. pension in lieu of LR) have been made available for vulnerable households that are not able to access livelihood options.

The approach to Livelihood Restoration is based on the following principles:

- **Self-determination:** Livelihood activities will be open to all members of eligible households and wherever possible, households will be provided with choices so that they can self-determine how their household will best benefit from the offered options.
- **Capacity building:** Livelihood restoration activities will incorporate a capacity building component which will acknowledge different needs, with a focus on gender, vulnerable social groups and intensity of impacts.
- **Partnerships for development:** The Project will ensure that there is sufficient technical and institutional capacity and expertise to plan and implement the livelihood restoration measures, which will necessitate engagement of subject-matter experts and technically qualified implementing agencies.
- **Outcome-oriented:** Each livelihood restoration measure will be conceptualised to deliver the following outcomes: (a) Restoring income levels of affected households to pre-Project levels or better; (b) Increased participation of women; (c) Reduced vulnerability; and (d) Promoting the sustainable use of available land and natural resources.
- **Mitigation:** Mitigation of economic displacement will be considered complete when affected households have received compensation and other assistance and are deemed to have been provided with adequate opportunity to re-establish their livelihoods to at minimum pre-Project levels
- **Governance & Delivery:** Any process should be simplified and transparent and ensure easily understood by the locals with appropriate synergy among various stakeholders.
- **Effective and efficient engagement:** Engagement shall be a continuous process and not mandated just to ensure the pre-requisites

6.2 Summary of Livelihood Impacts from the Economic Displacement

Agriculture is the main source of income generating activity in the 32 Villages. The Villagers reported limited agriculture production and market linkages to stimulate the existing agriculture activities.

Loss of Agriculture Land: 23 Villages are affected by the loss of agricultural land because of land acquisition for the project. It leads to economic displacement, since the land will be (permanently or

temporarily) removed from its agricultural use, and unable to generate income for landowners and users. In this sense, the loss of agricultural land will cause economic displacement and impact on livelihoods. Whilst some households will be temporarily impacted, it is highlighted that during this period, the impact on livelihoods will experience a similar impact to those households experiencing permanent acquisition

NFTP Collection: 25 Villages are Affected by the loss of NFTP. The villagers are dependent on the collection of NFTP to supplement their livelihoods (e.g. Dok lai, Ling zhi), and other household uses (e.g. firewood, wood for houses, sticks to make brooms), except Dak Lern village which was identified during the site visit in July 2022 to have NFTP collection as primary livelihood.

Table 6.1 presents on the summary of Impacted Entities

Table 6.1: Summary of Impacted Entities

Category	Numbers
Number of Surveyed Entities	378 HHs (340 in Sekong & 38 in Attapeu)
Landowners	329 HHs (PAPs with land titles and land tax payment)
Land users	49 HHs (PAPs with booking land)
Economic Displaced Persons (including economic displacement)	378 HHs

6.3 Eligibility

There are 378 households identified as directly affected by economic displacement. Total of 378 households will be the target beneficiaries to the Livelihood Restoration Programs.

Table 6.2 presented below suggest on the status of livelihood of PAPs

6.3.1 Analysis of Livelihood Restoration Needs

Table 6.2 Presents key livelihood Indicators

Table 6.2: Key Livelihood Indicators

Indicator	Economically Displaced HHs
Number of HHs	378
Main Occupation	Agriculture (46%)
Other Occupation	54%
Average Annual Income	LAK 19,356,584
Income from Agriculture	LAK 8,210,000
Income from Livestock	LAK 13,800,000

6.3.2 DMS Survey and Outcomes

The summary on the livelihood restoration preferences results obtained from DMS Survey suggest the following priorities from the project affected persons (PAPs):

Dak Cheung District, Sekong Province

- DMS survey suggest that 71% of PAPs have access to electricity in Dak Cheung District and 97% have access to electricity in Sanxay district.
- 45% Of PAPs prefer Agriculture & Husbandry Training
- 43%% Of PAPs prefer Education and Sponsorship Support
- 36% Of PAPs prefer Clean Water & Sanitation
- 33% Of PAPs prefer Women & Youth Empowerment Training
- 11% Of PAPs prefer Nutrition & Support
- 2% of PAPs prefer other interventions

Sanxay District, Attapeu Province

- All PAPs have access to the National Electricity System and connected to the National Grid
- 86% Of PAPs prefer Women & Youth Empowerment Training
- 81% Of PAPs prefer to receive Clean Water & Sanitation
- 54%% Of PAPs prefer Education and Sponsorship Support
- 46% Of PAPs prefer Agriculture & Husbandry Training
- 5% Of PAPs prefer receive Nutrition & Support

6.3.3 Key Concerns and Expectations (PAPs)

The following table presented suggest the PAPs key concerns and expectation, that is taken into account and shall be supplemented and complement by community development programme in addition to Livelihood restoration programs.

Table 6.3 presents on the Key Concerns and Expectations from PAPs

Table 6.3: Key Concerns and Expectations from PAPs

Sn.	Key Concerns	Key Expectations
Representatives from Dak Tiem, Dak Xeng and Xiengluang villages	<ul style="list-style-type: none"> ■ Impacts to agricultural land/rice paddies ■ Safety risks associated with transportation during construction ■ Wastewater and sedimentation from construction activities entering water sources of village ■ Impacts of WTGs during operation to productivity of agricultural land ■ Noise from WTGs ■ Dust from construction 	<ul style="list-style-type: none"> ■ Improvements on healthcare facility and medical supplies ■ Support plantation of fruit trees ■ Support education supplies and sport equipment ■ Ensure roads are not dangerous and that villagers can also use ■ Water supplies and irrigation system ■ Project to comply with Heet-Kong (Heet: long inherited traditions; Kong: social norm, custom or guidelines) ■ Support for poor facilities ■ Scholarship for higher education ■ Livelihood/Occupation/Vocational training ■ Project employment

Sn.	Key Concerns	Key Expectations
Representatives from Prao and Dak Kung villages	<ul style="list-style-type: none"> ■ Concerns about water source due to construction 	<ul style="list-style-type: none"> ■ Village head and villagers to be informed before construction to consider location of camp ■ Ritual to be performed before construction
Representatives from Dak Terb village	<ul style="list-style-type: none"> ■ Sedimentation entering streams which people depend on for drinking and domestic water sources 	<ul style="list-style-type: none"> ■ Support poor households who do not have income ■ Water supplies and irrigation system ■ Sufficient labour to work on land
Representatives from Dak Rant and Dak Dor villages	<ul style="list-style-type: none"> ■ Sedimentation entering streams which people depend on for drinking and domestic water sources ■ Agricultural land being affected from internal road ■ Noise and shadow flicker impact ■ Traffic safety ■ Influx of workers 	<ul style="list-style-type: none"> ■ Provide access roads to agricultural land for villagers ■ Vocational training for youth ■ Project employment ■ Livestock raising ■ Budget and training on improvement of vegetable gardens ■ Water supply and irrigation ■ Consultation with villagers before Project conducts any activities

6.4 Livelihood Restoration Program

This section attempts to develop the diverse range of livelihood options to restore PAPs livelihood to pre-project levels. LRP shall be complemented by the community development programme. LRP will have an emphasis on the income generating (livelihood related) initiatives specifically targeted to total of 378 HHs of PAPs.

6.4.1 Livelihood Restoration includes the following key components:

- Local Employment & Capacity Building
- Agriculture Production Enhancement & Animal Husbandry (Livestock based Initiative)
- Women led Home Business/Micro Business Support
- Education Scholarship Assistance

6.4.2 Local Employment & Capacity Building

6.4.2.1 Rationale

Local are extremely positive on the aspects of employment to generate income and have higher level of preferences for local employment and opportunities from the project. The locals have highlighted the potential employment opportunities during the project construction phase and have suggested long term employment sustainability via skill training opportunities that shall create an optimum skilled local for their future employment potentials.

The project shall encourage the local participation and encourage the provision of 70% -80% local participation to the employment purpose.

Based on the project impact assessment, following labour assessment is presented in **Table 6.4**.

Table 6.4: Labour Assessment

	Skilled Labour		Semi-Skilled		Unskilled		Total
	Required	Available	Required	Available	Required	Available	
	500	280 (Migrant worker) 220 (locals)	350	350 locals	150	150 locals	700
At Peak Season		560 (Migrant)					1400

6.4.2.2 Objectives

The main objective is to emphasize local employment potential, to which communities foresee this Project as providing income generating employment opportunities that would substantiate their livelihood improvement. The local employment opportunity has the potential to transform and upgrade the livelihoods, however it needs to be complemented by the supplementary saving & credit scheme, where local communities can connect their income to savings and credit funds.

Local employment provides substantial opportunity for the affected people to restore their income and to improve their socio-economic conditions. This income generating opportunity shall extend primarily to the local people from the affected communities with emphasis on social (ethnic minority groups) and economic (poor HH). A detailed labor assessments shall be undertaken during Project implementation to ensure that labor requirements will enable the local communities to access employment opportunities

To promote women and ethnic minority participation, particularly the youth, in alternative livelihoods, including working for local companies, via vocational training that meets market demands and local working population's strengths.

6.4.2.3 Eligibility

The local employment will target PAPs as the primary beneficiary eligible to employment and skill-based trainings. The local employment will have a coverage to all 32 villages with the emphasis on vulnerable groups such as women, ethnic minorities, and youth.

To avoid any community conflict or discrepancy eligibility and prioritization criteria will be developed to further local employment opportunity. The eligible and prioritized persons for the local employment will meet the following priority criteria based on the below mentioned category:

- Project Affected Persons (PAPs)
- Ethnic Minority Groups and Poor HHs from 32 Villages (60%)
- Women headed HHs and female participation (40%)
- Between 18 and 60 years of Age
- Be mentally and physically fit

6.4.2.4 Main Project Components and Activities

The PAPs will be able to participate to the short-term project employment for the period of three years during project construction and beyond. The direct employment potential from the project shall contribute to reducing the impacts on the loss of livelihoods from the land acquisition.

Some of the key components of the activities are the following:

- Community welfare will be taken into primary consideration

- Equal wages for equal work and timely payment of wages shall be implemented
- There shall be no discrimination, deception, and exploitation of any individuals
- Health and safety awareness induction shall be mandatory and to be taken into serious account
- Safe working procedures shall be practised all times
- First aid kits and trainings will be made available to all workers
- All workers shall be insured against accidents and health issues related to project works
- Gender mainstreaming shall be encouraged at all stages of project works
- Skill trainings shall be part of the labour employment if/when and where applicable to capacitate the local workforce and support any potential employment opportunities within or beyond project concession period.
- Women and Youth Empowerment
- Childcare facility services shall be provisioned where/when appropriate to encourage women participation in local employment opportunities.

6.4.2.4.1 Capacity Building-Skill Trainings

Various skill development trainings particularly in line with future employment potential shall be identified by the project. In addition to internal employment to project, it will extend to employment potential. This initiative is intended to increase the local capacities in developing the required skills and capacity to expand their employment potentials and increase their probability in obtaining the employment in different sectors.

The local capacity building will be based on various skill-based trainings that may be associated with government endorsed and certifications available at the local level to provide official certification to skill levels. The project will further explore on the capacity building trainings appropriate to the local context and relevant to future employment potentials within and beyond project employment opportunities.

The Capacity building trainings need to be context specific to ensure that it can directly be put to action upon completion of specific capacity building. For example, construction vehicle operators, drivers, welding, electronics, plumbing etc.

Some of the key components of the activities are the following:

- The project shall conduct a preliminary assessment to capacity building trainings applicable to internal employment and external potentials for future employment of locals
- Youth and women empowerment skill trainings (e.g. Wind power related technical requirement to skilled labor)
- DMS survey suggest 731 PAPs in Dak Cheung and 17 PAPs in Sanxay district are interested in obtaining the benefits from this program

6.4.3 Agriculture Production Enhancement & Animal Husbandry (Livestock based Initiative)

6.4.3.1 Rationale

Agriculture is the main source of livelihood income in the project area. The impact assessment suggest that the coffee production is the primary activity and the main source of income generation. Therefore coffee-based initiatives will be promoted in the livelihood restoration to upgrade and re-instate the loss of livelihoods.

The animal husbandry based on the livestock rearing will assist in building capacity to promote livestock rearing. Within the surveyed villages, people usually raised animals in large numbers, including both large animals such as buffalos, cows, goats and pigs, and small animals such as poultry. Large animals are released to graze outside the village and in the mountain areas where grass is available. Pigs and poultry are usually raised in the yards around the house. Animal husbandry is mainly for household consumption, performing ceremonies, and annual festivals (i.e., animal offerings) and for welcoming the visit of relatives and village official guests. Approximately ten households practise animal husbandry in large number for sale and is the main income source for the households. The capacity building in the rearing livestock will occur via a series of community trainings.

6.4.3.2 Objectives

The overall objective of the Agriculture Production Enhancement and Animal Husbandry program is to integrate land-based livelihoods and accelerate economic opportunities. The specific objectives of the Program include:

To improve the quality of agriculture based livelihoods through improved quality and soil improvement techniques with the supply of inputs to agriculture, agricultural production, the processing of outputs - of agriculture-based livelihoods and industrial products, its distribution, marketing, and retailing; and

6.4.3.3 Eligibility

All the PAPs directly and indirectly affected by the land acquisition will be eligible to this livelihood restoration program.

6.4.3.4 Main Project Components and Activities

The main components of this program shall include (i) Coffee production and strengthening the local capacities to generate revenue (ii) agriculture-based income generating (alternative and modern agriculture techniques) (iii) Livestock based income generating activities and (iv) local procurement of goods and services from PAPs

Some of aspects of this program include the following components:

- Provision of Seedling and fertilizers
- Livestock provision
- The project aims to improve the quality of the coffee production and pay a premium price for the coffee, find a sustainable market for it, and adopt a profit-sharing scheme with the farmers
- Coffee Plantation and Processing in collaboration with local authorities
- Make location and method of subsistence farming more effective.
- Provide seeds and livestock for long-term self-consumption.
- Employ local members for construction of facilities (i.e., water facilities, public bathroom, school renovations, etc.)
- Introduce terrace farming
- Support growing different types of plants that can be commercialized and which have a proven accessible market (e.g., avocados, macadamia nuts, etc.)
- Provide rice and coffee milling equipment
- Build a research/training center
- Enhance or create new skills related to agribusiness for villagers (e.g., coffee cultivation, livestock reproduction, alternative method to slash-and-burn, etc.)
- Enhance quality of products to export to global market

6.4.4 Women Led Home/Micro Business Support

6.4.4.1 Rationale

This initiative will capacitate women PAPs in empowering the income generating activities. In addition, the economic empowerment activities, it will explore relevant and contextual skill that could sustain her livelihood in the potential employment or income generating capacities.

6.4.4.2 Objectives

This program is intended to empower women PAPs to obtain multiple alternatives to income generating opportunities and engage in activities to escalate their income-based livelihood options.

6.4.4.3 Eligibility

All women PAPs will be eligible to participate in this livelihood restoration program and further invest in the women's capacity to generate income via various income-based trainings and modules to facilitate the home-based enterprises and income generating engagement.

6.4.4.4 Main Project Components and Activities

There shall be three major components to this initiative (i) Potential income generating activities such weaving, local material productions particularly related to cultural and ethnical preservation etc. and (ii) home-based income generating activities and (iii) Women Capacity Building.

Further exploration on the detail home-based enterprises (such as agriculture-based production via greenhouse establishment or kitchen garden approach can be adopted, food production of small home business enterprises) suitable to women's need and local requirement (supply/demand) to explored by the project during its first year of inception to establish and tailor income generating activities based on the demand-supply approach to accelerate the income generating opportunities for women.

Some of the key components of the activities are the following with the emphasis on the capacity building of women in achieving the economic empowerment are as follows:

- Formation of women groups or creating linkages of PAPs to the existing institutional set up in the region.

Women capacity building through various level of trainings in areas such as management, account keeping, leadership management, accountability and transparency, conflict management and business-related inductions (accounting, finance and administration, capital management) should include both improvement of business knowledge and facilitation of business setup.

- This program should include the capacity component and income generating finance component in combination to enable women PAPs to amplify and substantiate their income generating capacity at the local level.

6.4.5 Education Scholarship Assistance

6.4.5.1 Rationale

This initiative is intended to support the 444 HHs, relevant to the status on the school/college going children to promote education through means of scholarship assistance.

6.4.5.2 Objectives

The education scholarship assistance can provide a substantial economic transformation, particularly even more significant in terms of PAPs where this program can expedite the process of livelihood

improvement and provide a sustainable livelihood income in the long term on the basis of education status.

6.4.5.3 Eligibility

The direct PAPs from 395 HHs will be the primary beneficiary and the remaining PAPs.

6.4.5.4 Main Project Components and Activities

There will be three major components to this program (i) Annual scholarships to colleges (academic and athletic based scholarships) and (ii) Sponsorship based funding to the most vulnerable and indigent children from PAPs (Kindergarten to 12) and (iii) Disabled Support to the disabled and handicapped children and facilitate learning activities via funding assistance or material support and (iv) Empowering Girl child to obtain education services and opportunities as equal as boys with various incentive models to HHs (distributing food basket, medical basket or stationeries etc. to HHs that sends girls to school or promotes equity among girls and boys etc.)

6.4.6 Implementation Plan for Livelihood Restoration

The implementation Plan for Livelihood Restoration is guided by the CA 4.15 on the Compensation and Livelihood Restoration and thee Annex C (CA) that entails, “the project shall ensure the correct implementation of specific plan with respect to compensation and livelihood restoration arrangements, as applicable, and shall at all times remain responsible for the performance of its contractors in terms of its discharge its compensation and livelihood restoration obligations.”

The details implementation of Livelihood Restoration preparation as defined by CA suggest that it shall be in accordance with CA and shall initiate within the first year of project construction phase.

Table 6.5 further elaborates on the key components of the Livelihood Restoration.

The four specific livelihood activities shall be supported by the institutional component such as capacity building, trainings and awareness and formation of committees. The livelihood restoration is further supplemented by Community Development Programme and applicable to all PAPs. The subsequent table provides further details on the implementation for Livelihood Restoration.

Table 6.5 Implementation for Livelihood Restoration

Table 6.5: Implementation for Livelihood Restoration

Livelihood Restoration Programs	Implementation Approach	Potential Partners
Local Employment & Capacity Building	Collaboration of Committees from CDP and LRP with ESMO (Joint Committee and Compensation Committee)	<ul style="list-style-type: none"> ■ Village Heads, Managers of education institutions in the Project areas. ■ Commune PC, local companies. ■ contractors and subcontractors. ■ Subcontractors and suppliers; and ■ Other businesses.
Agriculture Production Enhancement and Animal Husbandry	Department of Agriculture and Forestry & ESMO	<ul style="list-style-type: none"> ■ Village Heads, local mass organizations, women’s unions existing local groups and committees ■ Agricultural Agencies (Government and Non-Government)

Livelihood Restoration Programs	Implementation Approach	Potential Partners
Women Led Home Business/Micro Business Support	Synergy with IFAD and internal women associations and committees	<ul style="list-style-type: none"> ■ Village support and husband support. ■ Local mass organizations, women's unions ■ existing local groups and clubs. ■ Consultants and/or NGOs with expertise in agriculture and rural development, microfinance, and business development. ■ local companies, contractors. ■ Government and Non-government microfinance institutions/organizations that eligible household may access funds in setting-up microbusinesses; and ■ Other businesses.
Education Scholarship Assistance	Joint Committee	<ul style="list-style-type: none"> ■ Village Heads, Managers of education institutions in the Project areas. ■ Representatives of health-nutrition office of commune/district. ■ local companies, contractors. ■ Association for Education Promotion or other education related social organizations; and ■ Other businesses.

6.4.6.1 Proposed Implementation Process

During the last quarter of every calendar year, the Project will undertake a participatory approach to prepare an annual implementation plan with budget and timelines which will be finalised and implemented at the beginning of the next calendar year.

Based on the approved annual implementation plan, implementation process should include general and project-specific steps. The implementation often starts with the following general steps:

Community and Social Relations team set up;

Refreshment training and capacity building on social performance standards for Project staff;

Recruit and provide trainings for Community Relation Liaisons, on needs basis, to support the Community and social Relations team in field;

Develop and get approval for detailed planning and budget for LRP implementation 1st year; and

Disclose the Annual LRP to relevant stakeholders.

Project-specific phase often consists of the planning, implementation and evaluation and monitoring steps throughout the project's lifecycle (see **Figure 6-1**). The implementation of a project should aim to achieve the outcomes and impacts specified in each project's theory of change model.

7 INFORMATION DISCLOSURE, CONSULTATION AND PARTICIPATION AND GRIEVANCE MECHANISM

7.1 Key Principles

Information disclosure, consultation and participation constitute an integrated process in the preparation and implementation of this RP, the key principles of this process include the following:

- Ensure that the information disclosure, consultation and disclosure process comply with national legislation, regulations, and Lenders' requirements including ADB SPS and IFC PS requirements.
- Ensure that the stakeholder engagement process commences early in the project cycle, i.e., as of scoping, and is tailored to the stage of land acquisition and to communities and households affected by displacement.
- Work with affected households and communities to explore ways to avoid minimize, where possible, physical displacement and economic displacement of households.
- Ensure that the stakeholder engagement process is culturally appropriate and inclusive of all stakeholders affected by displacement, and that it is free of interference, intimidation, or coercion.

The full process of meaningful consultation and participation and information disclosure are described in the Project's Stakeholder Engagement Plan.

7.2 Meaningful Consultations vs Informed Consultation and Participation (ICP)

Box 7.1: Meaningful Consultation vs Informed Consultation and Participation (ICP)

Meaningful Consultation (ADB Safeguard Policy 2009)

- Participatory: Communication is two-way, and views of stakeholders are incorporated into decision-making;
- Timely: Participants receive relevant and adequate information so that they are equipped to engage in decision-making processes such as project design, formulation of mitigation measures, sharing of development benefits, and implementation issues;
- Socially inclusive: Participation must be responsive to gender issues, needs and priorities of vulnerable and disadvantaged groups; and
- Open: Undertaken in an atmosphere free of intimidation and /or risk.

Informed Consultation and Participation (ICP)

- Projects are required to follow an ICP process, which involves organised and iterative consultation and in-depth exchange of views and information, leading to the inclusion of the views of the affected communities into the project's decision making related to proposed mitigation measures, sharing of development benefits and opportunities, and implementation issues. The process of ICP entails consultation that occurs freely and voluntarily, without any external manipulation, interference or coercion, and without intimidation. ICP builds upon the following steps:
 - begin early in the process of identification of environmental and social risks and impacts and continue on an ongoing basis as risks and impacts arise;
 - be based on the prior disclosure and dissemination of relevant, transparent, objective, meaningful and easily accessible information which is in a culturally appropriate local language(s) and format and is understandable to affected communities;
 - focus inclusive engagement on those directly affected as opposed to those not directly affected, such as men, women, the elderly, youth, displaced persons, and vulnerable and disadvantaged persons or groups;
 - be free of external manipulation, interference, coercion, or intimidation;
 - enable meaningful participation, where applicable; and
 - be documented. If the Project has already engaged in such a process, adequate documented evidence of such engagement should be provided.

The Project's engagement activities have been driven by the principles of meaningful consultation and ICP as outlined in **Box 7.1**. The Project started to engage affected IPs in 2014 when the Project was initiated. Affected IPs communities were also consulted during EIA public consultation process in 2020 which was conducted in compliance with the Laos regulatory requirements for public consultation. During the ESIA preparation in 2021, the engagement sought to update its understanding of project impacts, including perspectives of IPs and vulnerable group such as women and youth through focus group discussions. The consultations were two-ways communications undertaken in atmosphere without coercion/intimidation whereby views of affected peoples were included in the Project design, ESIA and management plans as evidenced in discussion in **Section 7.6**

- Future engagement and consultation with local communities of the Project are planned to be undertaken in compliance with the principles and steps of meaningful consultation and ICP. This engagement process includes stakeholder analysis and engagement planning, disclosure of information, consultation, and participation, in a culturally appropriate manner.
- Project's engagements will ensure inclusion of participants from diverse backgrounds and viewpoints;
- Project's engagements will be gender and vulnerable group inclusive and responsive, tailored to the needs of disadvantaged and vulnerable groups

- Involving representative bodies and organizations of the different ethnic groups (e.g., councils of elders or village councils, ensuring they represent the views of all villagers), as well as of project affected persons;
- Communication channels and consultation methods will be culturally appropriate and reach all relevant stakeholders, including vulnerable groups;
- Organizing the face-to-face interactions/meetings and using indigenous languages where appropriate and/or the translator; and
- Provide sufficient time to fully consider and address concerns of different ethnic groups and suggestions for their decision-making processes.

7.3 Objectives

The key objectives of stakeholder engagement with respect to resettlement are the following:

- Build relationships based on trust and transparency with communities affected by the economic displacement
- Build the capacity of affected communities to understand and participate in the land acquisition and compensation process, including understanding their rights and entitlements.
- Enable affected communities to provide meaningful input, that is, to meaningfully participate in and influence the design and implementation of the RP.
- Create an inclusive environment in which views, issues, and suggestions can be expressed by all members of the affected communities, including women, elderly, youth, and other potentially vulnerable, disadvantaged or marginalized groups.
- Ensure the stakeholder engagement process is gender-inclusive, tailored to needs of the disadvantaged and vulnerable.

7.4 Stakeholder Identification

ADB SPS and IFC PS defines 'stakeholders' as individuals or groups or institutions who can or are likely to (i) influence (promote, support, disrupt, or stop) the course of a program or project; and/or (ii) be affected (favourably or adversely) by the program or project.⁵⁰

Stakeholder identification for the Project was initiated during preparation of the EIA and was further developed and refined during the ESIA process.

To aid the identification of stakeholders, the Project Social Area of Influence (PSAol) was defined by taking into account areas of direct and indirect environmental and social impacts and risks. The following have been considered for the PSAol:

- 28 villages located within the Project footprint (**Figure 2.2**)
- Four villages located in the areas surrounding the Project footprint including:
 - Dak Dom, Dak Ta-ok Noi, and Dak Muan villages as they will be affected by the Project's 500 kv transmission line.
 - Dak Jom village because their NTFPs collection area will be affected by the Project components.

⁵⁰ Guidance Note on Stakeholder Communication Strategy for Projects in South Asia

Table 7.1: Preliminary Identification of Project Stakeholders

Stakeholder Group	Interest and Role in the Project	Description and Relevant Stakeholders
Project Affected Persons (PAPs)		
Affected Population	<p>Individuals, households and businesses that may be directly and indirectly impacted by construction and operation of the Project.</p> <p>The impacts may include:</p> <ul style="list-style-type: none"> ■ Economic displacement (loss of agriculture land) due to Project land acquisition; ■ Noise and dust from construction activities; ■ Noise and shadow flicker impacts from the wind turbines; ■ Community safety; ■ Loss of forest resources; ■ Restricted access to natural resources and agricultural land; ■ Increased traffic risks and congestion; and ■ Increased demand on local infrastructure and public services due to influx of Project construction labours. <p>Stakeholders may include, but are not limited to:</p> <ul style="list-style-type: none"> ■ The villages, households, and individuals directly affected by Project construction and operation; ■ Individuals and households (including non-title holders) that will be directly affected by the land acquisition process for the Project ■ People who make their livelihoods on land which will be directly affected by land acquisition of the Project; ■ Individuals and households that will have restricted access to natural resources due to the Project footprint. These stakeholders may include, for example, villagers who collect herbs, food, and firewood for livelihood; ■ People directly affected by the construction and operation of the ancillary facilities and workers' camps; ■ People directly affected by the construction and operation of the transmission line; ■ People directly affected by the construction and operation of the access road and internal roads; and ■ Those areas located within the Project's footprint or area of disturbance such as air or noise emission and shadow flicker. 	<p>Dak Cheung District, Sekong Province</p> <ul style="list-style-type: none"> ■ 19 villages will be impacted by wind turbine facilities, transmission line facilities and access and internal roads. A total of 360 households will be affected, of which 8 households will be permanently affected, 178 households will be temporarily affected, and 174 households will be permanently and temporarily affected. ■ 5 villages will be indirectly impacted (individuals and households whose livelihood may depend on affected lands and/or have restricted access to natural resources due to the Project footprint) <p>Sanxay District, Attapeu Province</p> <ul style="list-style-type: none"> ■ 4 villages will be impacted by wind turbine facilities, transmission line facilities and access roads. A total of 36 households will be affected, of which 3 households will be permanently affected, 2 households will be temporarily affected, and 31 households will be permanently and temporarily affected. ■ 4 villages will be indirectly impacted (individuals and households whose livelihood may depend on affected lands and/or have restricted access to natural resources due to the Project footprint) <p>Vulnerable groups in the affected villages as outlined in Section Error! Reference source not found.</p>
Cumulative Impacted Population	<ul style="list-style-type: none"> ■ Individuals or groups located within the PSAoI, who may not be included in the affected population discussed above, however they may experience, for example, increased noise emissions, increased costs of living, and/or decreased forest resources due to the cumulative impact of neighbouring wind farm projects. 	<p>Individuals and organisations located within the Cumulative Area of Influence which has been defined in Section 8.1.1 as follows:</p> <ul style="list-style-type: none"> ■ Several villages (23 villages in Dak Cheung district of Sekong province, and 8 villages in Sanxay district of Attapeu province) likely to be

Stakeholder Group	Interest and Role in the Project	Description and Relevant Stakeholders
		<p>affected by impacts to local livelihoods; and</p> <ul style="list-style-type: none"> The administrative boundaries of Dak Cheung and Sanxay districts, as representative of all areas that could be indirectly affected by changes in ecosystem goods and services.
Central, Provincial, District Government Agencies/Related Organisations		
Central and Provincial Government Agencies	<ul style="list-style-type: none"> Government agencies responsible for environmental approvals for the Project, and relevant Ministries responsible for making technical decisions/assessment and recommendations on the development of the Project, ensuring that all technical, social, financial and legal requirements are strictly met. Government agencies responsible for construction permits and licenses, land acquisition and resettlement, and other activities required for the Project development and operation. 	<p>Key relevant agencies:</p> <ul style="list-style-type: none"> Ministry of Natural Resources and Environment (MONRE). Provincial Department of Natural Resources and Environment (PONRE) Ministry of Energy and Mines Ministry of Planning and Investment Other relevant Ministries
District Administration Offices	<ul style="list-style-type: none"> Government agencies at the District level who are responsible for planning and implementation of the Resettlement Plan, construction licenses and permits. Traditional leadership at district and village levels who represent the interest of the PAPs throughout Directly, Indirectly and Cumulatively Affected Population. Have potential for the Project to utilise as an information disclosure channel. 	<p>Government offices at the District level such as:</p> <ul style="list-style-type: none"> Dak Cheung District Administration Office Sanxay District Administration Office District Land Department District Agriculture and Forestry Department District Office of Natural Resources and Environment Village leaders of the 31 directly affected villages (including 23 villages in Dak Cheung District and 8 in Sanxay District)
Aviation Operation/ Airport	<ul style="list-style-type: none"> The siting of the wind turbines will have to take into consideration of aviation/airport operation because of the physical size, in particular their height, wind farms can have an effect on aviation (e.g. interfere with aviation radar), especially when placed too close to aerodromes and flight routes.⁵¹ 	<p>Laos airport (Pakse International Airport located 140 km from the Project)</p> <p>Vietnam Airport (Da Nang International Airport and Phu Bai Airport locate 115 km and 105 from the Project)</p>
Other Interested Parties		
Electricity Off taker	<ul style="list-style-type: none"> The EVN will be the off taker of electricity produced by the Project 	Vietnam Electricity (EVN)
Operators of meteorological radar and communication system (radio, TV, mobile-	<ul style="list-style-type: none"> Wind turbines in line-of-sight of a weather radar can have a negative impact on the weather forecast radar's measurements and aviation radar.^{52 53} 	Operators of meteorological radar and communication system on line of sight of the wind turbines

⁵¹ Andrej NOVÁK (2009). Wind Farm and Aviation

⁵² Lars Norin (2017) Wind turbine impact on operational weather radar I/Q data: characterization and filtering

⁵³ M. Brenner et al. (2008) Wind Farm and Radar

Stakeholder Group	Interest and Role in the Project	Description and Relevant Stakeholders
telephone network sites and relay antennas)	<ul style="list-style-type: none"> ■ Presence of wind farm can potentially impact telecommunication systems⁵⁴ 	
Non-Governmental Organisations and Community Groups	<ul style="list-style-type: none"> ■ May have interest in the Project in the area of land acquisition and involuntary resettlement, environmental protection and human rights (such as cultural heritage, ethnic minorities/indigenous peoples, biodiversity management, forced labour, etc.). ■ May be interested in the Project mitigation plan and development opportunities such as potential partners in the livelihood restoration programs, community health and safety awareness programs, etc. ■ May have interest in protection and conservation of forests, wildlife and biodiversity in the regions that the Project located in. 	<ul style="list-style-type: none"> ■ Primarily community groups, but not limited to: ■ Youth Union ■ Lao Front for National Development ■ Lao Women Union ■ Lao Youths Revolutionary Union ■ Care International ■ Service Fraternel d'Entraide (SFE) ■ International Fund for Agricultural Development (IFAD) ■ World Food Program (WFP) ■ German-Lao Association for Development (GLAD) ■ Projahnmo Research Foundation (PRF) ■ World Wide Fund (WWF) ■ Human Rights Watch ■ BirdLife International ■ Wildlife Conservation Society (WCS) ■ International Union for Conservation of Nature (IUCN)
Nearby Developments	<ul style="list-style-type: none"> ■ Other developments in the Project's vicinity. 	<ul style="list-style-type: none"> ■ 115 kV Transmission line of Nam Emoon Hydropower project. ■ Transmission line of Xekamarn 3 Hydropower ■ National road running from Dak Cheung District to Sanxay District ■ Mining projects
Educational and Training Institutions (Academia)	<ul style="list-style-type: none"> ■ Those who may be interested in the Project mitigation plan and development opportunities, such as potential partners in the livelihood restoration programs, educational and training initiatives. ■ Have potential for the Project to utilise as an information disclosure channels. 	<ul style="list-style-type: none"> ■ Schools and training educations in in Sanxay District and Dak Cheung District ■ Ban Nam Ngon Neua
Health Institutions	<ul style="list-style-type: none"> ■ Those who may be interested in the Project mitigation plan and development opportunities, such as potential partners in the livelihood restoration programs, community health and safety awareness programs, etc. ■ Have potential for the Project to utilise as an information disclosure channels to disclose information of the Project. 	<ul style="list-style-type: none"> ■ Community Hospital of Dak Cheung District ■ Sanxay District Hospital ■ Xieng Luang Dispensary, Dak Dor Dispensary and Dak Run Dispensary in Dak Cheung District ■ Dak Samor Dispensary and Nam Ngon Neua Dispensary in Sanxay District
Local, District and Provincial Officials	<ul style="list-style-type: none"> ■ Interested in priority development project in their jurisdiction. ■ Represent the interest of the PAPs. 	<ul style="list-style-type: none"> ■ Village heads of all 32 affected villages

⁵⁴ I. Angulo et al. (2014) impact analysis of wind farms on telecommunication services

Stakeholder Group	Interest and Role in the Project	Description and Relevant Stakeholders
		<ul style="list-style-type: none"> ■ District heads of Dak Chueng and Sanxay Districts ■ Governor of Sekong and Attapeu Provinces
Local Services and Businesses	<ul style="list-style-type: none"> ■ May be interested in the Project mitigation plan and development opportunities such as Project procurement programs, business training opportunities (i.e. accommodation providers, service providers). 	<ul style="list-style-type: none"> ■ Retail shops ■ Industrial factories such as rice mill, automobile repair shops, drinking water factory, ice-making factory and furniture factory
Media	<ul style="list-style-type: none"> ■ May have an interest in the priority development projects in Lao PDR, particularly in the area of human rights risks and impacts 	<ul style="list-style-type: none"> ■ Local media ■ Social media such as Facebook
Financiers	<ul style="list-style-type: none"> ■ Provide funding for the costs associated with the technical advisory and program management of the Project. ■ Ensuring the Project manage environmental and social risks and impacts according to plans through a due diligence process. 	<ul style="list-style-type: none"> ■ Equator Principles Financial Institutions (EPFIs) ■ Asian Development Bank (ADB) ■ Japan International Cooperation Agency (JICA) ■ Deutsche Investitions- und Entwicklungsgesellschaft (DEG) ■ Nederlandse Financierings-Maatschappij voor Ontwikkelingslanden N.V. (FMO) ■ Asian Infrastructure Investment Bank (AIIB)
Foreign Government Multilateral Agencies	<ul style="list-style-type: none"> ■ May be interested in the priority development projects, particularly in the area of human rights risks and impacts. 	<ul style="list-style-type: none"> ■ Government of Vietnam ■ International Union for Conservation of Nature (IUCN) ■ World Health Organisation (WHO) ■ International Labour Organization (ILO) ■ The United Nations Educational, Scientific and Cultural Organization (UNESCO)
Internal Stakeholders	<ul style="list-style-type: none"> ■ Includes but not limited to: supervision consultants, suppliers, Construction Contractors and Contractor's workforce, sub-contractors, etc. who take part in the planning approval, construction, and operation of the Project, who are responsible for fulfilling the contractual obligations to ensure overall success of the Project. 	<ul style="list-style-type: none"> ■ IEAD ■ EPC Contractor ■ Consultants

7.5 Disclosure Strategies

A number of communication methods will be utilised to reach a wide range of stakeholders. These are summarised in **Table 7.2**.

Key disclosure and communication materials include:

- Project Information Document (PID): This document consists of a non-technical summary of the Project, development timeline and milestones, Project updates, consultation program and opportunities for the stakeholders to participate in development of the Project, timeline and venues for engagement activities, contact details for questions and queries. The PID will be

updated at each Project milestone to reflect the Project development and key activities at each stage.

- Resettlement Booklet: This document consists of a non-technical summary of the RP, including the impacts of land acquisition, entitlement of PAPs, livelihood restoration programs, and implementation timeline of RP.
- Project Factsheet: A one-pager explaining the Project information in simple, plain language accompanied with maps and pictures.
- FAQs which comprises key Project risks and issues, and the Project's plan to address them, as well as answers to key questions raised by the stakeholders during the previous consultation. The FAQs will be revised and updated regularly to reflect Project development and key issues that have come to light.
- GRM: Details on how to access the grievance mechanism will be provided. This will include information on how the grievance management process will work, including the timeframes for responses.

All disclosure and consultation materials are available in plain, easy-to-understand language, in English and Laos.

Table 7.2: Information Disclosure, Communication and Consultation Strategies

Method	Information Disclosure and Communication	Consultation Strategies	Targeted Stakeholder Groups
Face-to-face Meeting/workshop	<ul style="list-style-type: none"> ■ Provide Project updates and disclose relevant project information ■ Solicit their inputs/feedback for the Project 	<ul style="list-style-type: none"> ■ Government offices – all levels, elected officials, schools and health clinics, interest groups, NGOs, financiers, multilateral agencies, and other interested parties 	<ul style="list-style-type: none"> ■ PAPs ■ All Stakeholders
FGD	N/A	<ul style="list-style-type: none"> ■ Women and youth groups in the Directly and Indirectly Affected Population. 	<ul style="list-style-type: none"> ■ PAPs
Telephone or Virtual Meetings	<ul style="list-style-type: none"> ■ Information can be disseminated via telephone and virtual meetings if needed considering the COVID-19 pandemic 	<ul style="list-style-type: none"> ■ Directly and Indirectly PAPs, relevant governmental authorities considering the COVID-19 pandemic 	<ul style="list-style-type: none"> ■ PAPs
Project Information Sheets	<ul style="list-style-type: none"> ■ Booklet, PID, GRM, Brochures, Factsheets, media notices, Feedback Form ■ Distributed prior to meetings by Project Community Relations Team ■ Provide reference on impacts and mitigations section – may be included in booklet or provided verbally ■ Document to be available in English, Laos and other local languages 	<ul style="list-style-type: none"> ■ Directly and Indirectly PAPs 	<ul style="list-style-type: none"> ■ All Stakeholders
Notice Boards	<ul style="list-style-type: none"> ■ District Administration Offices ■ District schools and healthcare centres 	N/A	<ul style="list-style-type: none"> ■ All Stakeholders
Feedback Mailboxes	<ul style="list-style-type: none"> ■ To be placed in a public place at PAP village ■ Copies of feedback form are also available at mailboxes ■ Allows local community members to provide written feedback to the Project team 	<ul style="list-style-type: none"> ■ PAPs can raise questions or concerns ■ PAPs can provide suggestions to the Project 	<ul style="list-style-type: none"> ■ PAPs ■ Vulnerable Groups
FAQ List	<ul style="list-style-type: none"> ■ Prepare a list of FAQs and model answers to provide consistent messaging to all stakeholders ■ To be updated regularly throughout the Project lifecycle 	<ul style="list-style-type: none"> ■ FAQs to be prepared in consultation with PAPs 	<ul style="list-style-type: none"> ■ All Stakeholders

Method	Information Disclosure and Communication	Consultation Strategies	Targeted Stakeholder Groups
	<ul style="list-style-type: none"> ■ Ensure FAQ language is inclusive – simple, in appropriate languages (e.g. Laos and ethnic languages including Triang, Yae, Katu and Ha Luk) ■ Ensure detail in FAQ does not provide commitments where not confirmed 		
Social Media	<ul style="list-style-type: none"> ■ To announce Project meetings, events or key Project information ■ May be undertaken in one or more mediums, depending on the location of stakeholders and/or nature of content 	<ul style="list-style-type: none"> ■ All stakeholders can choose to follow the project on social media 	<ul style="list-style-type: none"> ■ All Stakeholders ■ Other Interested Parties
Project Information Centre (PIC)	<ul style="list-style-type: none"> ■ Proposed in the border between Dak Cheung and Sanxay Districts ■ Established as soon as possible during the pre-construction phase and ongoing throughout the construction phase ■ To welcome visitors from the local communities and the district to obtain Project information, ask questions, raise issues or log grievances ■ Facilitated by the CLOs and business hours will take into account convenient time for the PAPs, especially the vulnerable people to access the PIC ■ Facilitated by the CLOs and business hours will take into account convenient time for the PAPs, especially the vulnerable people to access the PIC 	<ul style="list-style-type: none"> ■ PAPs can request for Project information, ask questions about the Project ■ PAPs can raise their concerns and provide suggestions to the Project 	<ul style="list-style-type: none"> ■ PAPs ■ Vulnerable Groups ■ Other Interested Parties ■
Project Information Hotline Project Email	<ul style="list-style-type: none"> ■ Telephone number for stakeholders to provide feedback/enquire about the Project ■ Project email address available for all stakeholders to write to ask questions, raise issues/concerns 	<ul style="list-style-type: none"> ■ All stakeholders can email to request information, and raise issues/concerns 	<ul style="list-style-type: none"> ■ PAPs ■ Vulnerable Groups ■ Other Interested Parties
Project Website	<ul style="list-style-type: none"> ■ Project website to contain key Project information ■ Regularly updated with new Project updates such as job opportunities for local workforce ■ Allow the public to submit issues or grievances related to the Project development. 	N/A	<ul style="list-style-type: none"> ■ All Stakeholders
Newspaper, Television or Radio Advertisement	<ul style="list-style-type: none"> ■ To announce Project meetings, events or key Project information 	<ul style="list-style-type: none"> ■ Regional stakeholders and other interested parties who can call in to ask questions and raise issues/concerns while GOL 	<ul style="list-style-type: none"> ■ PAPs ■ Vulnerable Groups ■ Other Interested Parties

Method	Information Disclosure and Communication	Consultation Strategies	Targeted Stakeholder Groups
	<ul style="list-style-type: none"> ■ May be undertaken in one or more mediums, depending on the location of stakeholders 	representatives attend the radio interview (it is proposed that this occur during pre-construction)	
Films and Videos	<ul style="list-style-type: none"> ■ The Project will also explore films and videos of construction of wind farms and certain impacts such as shadow flicker to screen for the PAPs and other interested stakeholders ■ Videos of relevant progressive rehabilitation programs, resettlement and livelihood restoration programs and community health and safety training programs will also be sourced whenever possible for community screen time 	N/A	<ul style="list-style-type: none"> ■ PAPs ■ Vulnerable Groups
Participation in community events	<ul style="list-style-type: none"> ■ To disclose Project information as appropriate ■ To answer questions and gather feedback from stakeholders 	<ul style="list-style-type: none"> ■ Directly Affected Population 	<ul style="list-style-type: none"> ■ PAPs ■ Other Interested Parties
Perception Survey	N/A	<ul style="list-style-type: none"> ■ A perception survey examining PAPs' experience and feedback about the project will be carried out annually during construction, and at the completion of RP. 	<ul style="list-style-type: none"> ■ PAPs
Household visit	<ul style="list-style-type: none"> ■ To disclose Project information as appropriate 	<ul style="list-style-type: none"> ■ To answer questions and gather feedback from PAPs, particularly vulnerable households 	<ul style="list-style-type: none"> ■ Households in 'extreme poverty' (refer to Footnote 4), single women headed households, people with disability, elderly who have mobility issue, informal settlers and other vulnerable individuals. As many as possible in the Directly Affected Population.
School visit/group briefing	<ul style="list-style-type: none"> ■ To disclose Project information as appropriate 	<ul style="list-style-type: none"> ■ To answer questions and gather feedback from PAPs 	<ul style="list-style-type: none"> ■ School children in the Directly and Indirectly affected villages

7.5.1 Additional Strategies for Women and Vulnerable Groups

Vulnerable groups consist of people who may not be able to access Project information and articulate their concerns and priorities about potential Project impacts owing to certain barriers that disadvantage them. The barriers could be socio-political, related to ethnicity, language abilities, gender, religion, or poverty as people influenced by these factors are not expected to or do not feel that they can have a 'voice' in public. The Project will conduct most of engagement activities in Laos; however, translator and disclosure materials in local ethnic languages will be made available if needed. In addition, the disclosure materials will use as much of visuals and infographic as possible to facilitate illiterate people and minimize language barriers. These strategies are reflected in **Table 7.3 and Table 7.2**.

Taking these barriers into account, a number of measures will be used to remove obstacles to participation for vulnerable groups:

- **Focus groups for women**, led and facilitated by a woman in each village in the Directly Affected Population, to introduce the Project and discuss any issues and concerns that the women may have regarding the Project development.
- **Focus groups for youth**, led by a youth facilitator in each village in the Directly Affected Population.
- **School visits** to disseminate Project information and consult with school children and teachers about potential impacts and benefits. Information disclosure through school teachers and students is also an effective way to reach the broader community, especially women, as teachers are important influencers in the community and children often bring information collected from school to share with their families.
- **Household visits** will be undertaken on a case-by-case basis, particularly for people with disabilities, the elderly who have mobility issues, and other vulnerable people that are unable to attend community meetings, to ensure they are provided with the Project information and have opportunities to raise their questions and concerns freely without intimidation, discomfort or ridicule.
- **Most Ethnicity/IPs** in the Project affected areas speak Laos but some individuals may experience language issues especially around new concepts and processes. To overcome this, the Project team has established a group of local CLOs who will hold small group meetings in local ethnic languages including Triang, Yae, Katu and Ha Luk to explain printed disclosure materials for people who are not literate or problem in reading/understanding Laos. They will also assist IPs in how to provide comments, feedback and raise grievances.
- While reaching out to different groups particularly vulnerable groups such as women, elderly and disabled, the Project team will make sure time and location of consultation are appropriate to their needs.

7.6 Summary of Resettlement Planning Consultation

7.6.1 Local EIA Consultation

Stakeholder engagement activities were undertaken as part of the local EIA report preparation (as detailed in *Chapter 7— Public Consultation and Participation*). Stakeholder engagement activities aimed to inform and receive feedback on the Project, understand and explain the Project's potential social and environmental impacts, and provide updates on the progress.

PAPs and relevant participants such as governmental organizations and relevant Ministries were included in the stakeholder engagement activities. Such activities included consultation meetings at the village level (November 2014 and September 2020), district level (May 2016), and a meeting with technical personnel prior to endorsement of the EIA (July 2018).

Local EIA stakeholder engagement is summarised in **Table 7.3** and are detailed in **Appendix J**.

Key stakeholder issues and concerns raised and feedback received during the consultations included:

- The Project should provide funding and assistance to improve water supply system (e.g., gravity-fed) to the villages and irrigation systems for rice paddies.
- The Project should help to improve the access road to the village and within village and the access roads to production land e.g., rice, coffee, and cassava plantations.
- The Project should provide funding and assistance to establish and improve school facilities, supplies and personnel.
- The Project should provide funding and assistance to establish and improve dispensary and healthcare centres in the villages.
- The Project should provide funding assistance to establish a village administrative office.
- People in the potentially affected villages should be able to benefit (i.e., access to electricity generated by the Project).
- The Project should provide reasonable and fair compensation to those households affected by land acquisition.
- The Project should provide assistance to poor families in the affected villages. In addition, the Project should provide assistance for improvement of vocations in the villages and offer job opportunities for the village members to work on the Project.
- Request for the Project to provide financial support to the villages/village fund/monthly tax to the villages.

Table 7.3: Summary of Local EIA Stakeholder Engagement

Date	Objectives	Location	Location and Participants	Issues and Concerns	Considerations in the ESIA or Project Design
12-21 Nov 2014	Dissemination of information and consultation at village level	16 villages located in the Project area and nearby areas	Direct and indirect PAPs	<ul style="list-style-type: none"> ■ The Project should provide funding and assistance to improve water supply system (e.g., gravity-fed) to the villages and irrigation systems for rice paddies. 	<ul style="list-style-type: none"> ■ Information dissemination is be considered in the ESIA and SEP. A SEP will be prepared for the Project including future and on-going engagement required to ensure stakeholders are provided sufficient information on the potential impacts.
7-26 Sep 2020	Dissemination of information related to change of location and boundaries of wind turbine towers, benefits and potential impacts of the Project. In addition, conducted consultation at village level	18 village located in the Project area	Direct and indirect PAPs	<ul style="list-style-type: none"> ■ The Project should help to improve the access road to the village and within village and the access roads to production land e.g., rice, coffee, and cassava plantations. ■ The Project should provide funding and assistance to establish and improve school facilities, supplies and personnel. ■ The Project should provide funding and assistance to establish and improve dispensary and healthcare centres in the villages. ■ The Project should provide funding assistance to establish a village administrative office. ■ People in the potentially affected villages should be able to benefit (i.e., access to electricity generated by the Project). ■ The Project should provide reasonable and fair compensation to those households affected by land acquisition. ■ The Project should provide assistance to poor families in the affected villages. In addition, the Project should provide assistance for improvement of vocations in the villages and offer job opportunities 	<ul style="list-style-type: none"> ■ The impact assessment including information on mitigation measures for the social receptors is provided in Section 8.5 of the ESIA Report. ■ Impacts to livelihoods and land use, including rice paddies, is included in Section 8.5.3 of the ESIA Report. This includes proposed mitigation measures. ■ Land and economic displacement is assessed in Section 8.5.3 of the ESIA Report. This includes proposed mitigation measures. The Project will ensure all required processes for land acquisition are conducted in conjunction with relevant stakeholders. ■ Impacts and processes for land acquisition are provided in Section 8.5.3 of the ESIA Report. Note that this is based on preliminary land and asset registration undertaken by Innogreen in November and December 2021. ■ Village heads will be informed prior to construction, this commitment is

Date	Objectives	Location	Location and Participants	Issues and Concerns	Considerations in the ESIA or Project Design
				<p>for the village members to work on the Project.</p> <ul style="list-style-type: none"> ■ Request for the Project to provide financial support to the villages/village fund/monthly tax to the villages. 	<p>included in Section 9 (ESMP) of the ESIA Report.</p>
May 2016	Consultation at district level	District Administration Office of Dak Cheung District	Deputy Chief of Dak Cheung District and Sanxay District, Deputy Provincial of Department of Natural Resources and Environmental of Sekong Province and Attapeu provinces, and other participants, totaling to 70 persons	<ul style="list-style-type: none"> ■ The general opinions show agreement and consensus with the construction and development of the 600 MW Monsoon Wind Farm Project. ■ Request to have the Environmental Management and Monitoring Plan including the Socio-Economic Development Plan and budget of this investment project. ■ Request to conduct detailed study of data on impacts to the peoples and to closely coordinate with the locality to clearly determine compensation for production land of the peoples; and to ensure the coordination and consultation in each level to create awareness and understanding about this project development plan. Request to increase the technical information of the project to allow to know the risks and impacts and avoid the anxieties relating to the construction of the project. ■ Request to conduct the study on the impacts in each phase of the project and the activities of the project in a detailed and clear manner and make comparison of the data of the environmental standards values in the project area. 	<ul style="list-style-type: none"> ■ The impact assessment including information on mitigation measures for the social receptors is provided in Section 8.5 of the ESIA Report ■ Impacts to livelihoods and land use, including rice paddies, is included in Section 8.5.3 of the ESIA Report. This includes proposed mitigation measures. ■ Impacts to community health and safety are assessed in Section 8.5.4 of the ESIA Report. This includes proposed mitigation measures. ■ Information dissemination will be considered in the ESIA and SEP. A SEP will be prepared for the Project including future and on-going engagement required to ensure stakeholders are provided sufficient information on the potential impacts. ■ Land and economic displacement is assessed in Section 8.5.3 of the ESIA Report. This includes proposed mitigation measures. The Project will ensure all required processes for land acquisition are conducted in conjunction with relevant stakeholders

Date	Objectives	Location	Location and Participants	Issues and Concerns	Considerations in the ESIA or Project Design
				<ul style="list-style-type: none"> ■ Request to contribute to assist in the construction and improvement of infrastructure, improvement of livelihood of the people in the project area in order to ensure public participation in various activities of the project. ■ 	
July 2018	Consultation Meeting at Technical Level to endorse the EIA report (dated September 2020)	Meeting room of the Provincial DONRE if Sekong Province	General Director of DONRE Policy, Deputy Director of Provincial DONRE of Sekong and Attapeu Provinces, and participants from other agencies of central, provincial and district levels, totaling to 63 participants	<ul style="list-style-type: none"> ■ The opinions and proposals of the participants of this meeting may be summarized as follows: ■ The study on risk of impacts shall separate and analyze to allow to see clearly the direct impacts and indirect impacts, such as: <ul style="list-style-type: none"> ■ Impact on production land; ■ Impact on area which is overlapping with the area of other development project located nearby; ■ Plan of the access road to the tower foundations; ■ Budget for environmental management activities; ■ Plan of road use for transport of materials to the project; ■ Wastes and wastewater management; ■ Supervision of workers; ■ Plan of management and restoration of borrow pits; ■ Prohibitions of the project relating to the environmental management, such as: hunting, logging, fishing, etc.; ■ Impact on forests; and 	<ul style="list-style-type: none"> ■ Impacts to livelihoods and land use, including rice paddies, is included in Section 8.5.3 of the ESIA Report. This includes proposed mitigation measures ■ The impact assessment including information on mitigation measures for the social receptors is provided in Section 8.5 of the ESIA Report. ■ Impacts and processes for land acquisition are provided in Section 8.5.3 of the ESIA Report. Note that this is based on preliminary land and asset registration undertaken by Innogreen in November and December 2021. ■ Land and economic displacement is assessed in Section 8.5.3 of the ESIA Report. This includes proposed mitigation measures. The Project will ensure all required processes for land acquisition are conducted in conjunction with relevant stakeholders.

Date	Objectives	Location	Location and Participants	Issues and Concerns	Considerations in the ESIA or Project Design
				<ul style="list-style-type: none"> ■ Impact on birds. ■ In order to ensure clear analysis of the risk of impacts on each aspect, it is required to make more detailed and clearer analysis, such as: ■ The impact assessment shall be based on each activity of the project and shall be summarized in the table that show the impacts in full. ■ Data of the project development plan to allow to see the overall picture of the project development plan. 	
To be confirmed	Consultation meeting at Provincial/Central level	Information has not been included in the EIA	Information has not been included in the EIA	<ul style="list-style-type: none"> ■ The general opinions show agreement and consensus with the construction and development of the 600 MW Monsoon Wind Farm Project. ■ Request to have the Environmental Management and Monitoring Plan including the Socio-Economic Development Plan and budget of this investment project. ■ Request to conduct detailed study of data on impacts to the peoples and to closely coordinate with the locality to clearly determine compensation for production land of the peoples; and to ensure the coordination and consultation in each level to create awareness and understanding about this project development plan. Request to increase the technical information of the project to allow to know the risks and impacts and avoid the anxieties relating to the construction of the project. 	<ul style="list-style-type: none"> ■ The impact assessment including information on mitigation measures for the social receptors is provided in Section 8.5 of the ESIA Report ■ Impacts to livelihoods and land use, including rice paddies, is included in Section 8.5.3 of the ESIA Report. This includes proposed mitigation measures. ■ Impacts to community health and safety are assessed in Section 8.5.4 of the ESIA Report. This includes proposed mitigation measures. ■ Information dissemination will be considered in the ESIA and SEP. A SEP will be prepared for the Project including future and on-going engagement required to ensure stakeholders are provided sufficient information on the potential impacts. ■ Land and economic displacement is assessed in Section 8.5.3 of the ESIA Report. This includes proposed mitigation measures. The Project will ensure all required processes for land

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Resettlement Plan

Date	Objectives	Location	Location and Participants	Issues and Concerns	Considerations in the ESIA or Project Design
				<ul style="list-style-type: none"> <li data-bbox="1055 284 1494 475">■ Request to conduct the study on the impacts in each phase of the project and the activities of the project in a detailed and clear manner and make comparison of the data of the environmental standards values in the project area. <li data-bbox="1055 488 1494 673">■ Request to contribute to assist in the construction and improvement of infrastructure, improvement of livelihood of the people in the project area in order to ensure public participation in various activities of the project. 	<p data-bbox="1570 276 2002 328">acquisition are conducted in conjunction with relevant stakeholders</p>

Source: ESIA dated September 2020

7.6.2 ESIA Consultation

7.6.2.1 Consultations in November and December 2021

Consultation for the supplementary ESIA was conducted in November and December 2021 with focuses on:

- Disclosing updated Project information and development status to the three potentially directly and indirectly affected communities and other stakeholders including the supplementary ESIA studies, the risks, impacts, and opportunities for the Project.
- Providing the affected communities and stakeholders with opportunities to express their views on Project risks, impacts, and mitigation measures.
- Soliciting stakeholders' ideas, opinions, and recommendations on various alternatives.
- Assessing the level of stakeholder interest and support for the Project and enable stakeholder views to be taken into account in Project design and environmental and social mitigation measures as well as development of benefits and opportunities.
- Undertaking extensive stakeholder engagement for land acquisition and resettlement.

Consultation was planned to be carried out in a village meeting format that was appropriate to the cultural norms of the potentially affected communities. Consultation was also planned to be provided as part of focus group discussions (FGDs) with women, youth, and ethnic community members in the PAPs. However, due to the COVID-19 pandemic, government restrictions were imposed on the provinces where the Project is located, for the majority of the duration in the second half of 2021. As such, the Project was not able to undertake consultation and FGD activities. The local villagers were also hesitant to engage in group activities due to the risk of spreading COVID-19. The Project team was apprehensive of potential risks associated with the undertaking of the consultation plan, so a modification to the plan was implemented with an aim to fill the consultation gaps while respecting the needs to have a COVID-19-safe field operation during the pandemic.

Accordingly, modification of the consultation plan consisted of:

- Consultation with individuals during the household socio-economic surveys of the affected population;
- Consultation during key informant interviews with village leaders, teachers, healthcare workers, religious leaders, and others; and
- Consultation with representatives of women groups, youth groups, livelihood groups, ethnic minority groups.

A total of 345 people were consulted during the process through FGDs and Key Informant Interview (KIIs), in which 181 were women, 76 were ethnic group representatives and 75 were youth representatives. As prior engagements suggest that the majority of the local communities can communicate in Laos, the engagement was therefore conducted in Laos and translated to Triang language with the help of the Project's village coordinators or in some meetings by village heads..

Figure 7-1 illustrates how FGDs were carried out while ensuring COVID-19 safety measures i.e. face masks for all participants and social distancing while conducting FGDs.

Prior to conducting FGDs and KIIs, information related to the Project was provided to the participants to ensure that the following consultations will be meaningful and informed. In Dak Tiem village (the first village engaged), presentation about the Project was provided to group of participants as shown in **Figure 7-2**. This approach in particular was able to provide visual information which facilitated the participants' understanding of the Project impacts. COVID-19 safety measures ensured the safety for all participants with face masks handed out to all participants before joining the session. Due to Covid-19 outbreak situation, it was not possible to carry out this approach in the remaining villages. Alternatively, brief Project information was given in smaller groups to all FGD groups prior to the start of FGD and a banner providing Project information (

Figure 7-4) was displayed in the villages. The information on the banner included Project location, Project components, example visual of wind farm project and Project's number for the villagers to contact in case of any questions or concerns arise. This grievance channel was also informed to people during FGDs/KIIs.

Figure 7-1: FGDs Activities



FGD with women group in Dak Dom Village



FGD with women group in Dak Ta-ok Noi Village



FGD with Youth representative in Dak Yen Village



FGD with Youth representative in Dak Yang Village



FGD with livelihood group in Dak Xeum Village



FGD with livelihood group in Dak Nong Village

Figure 7-2: Project Information Disclosure



Project information disclosure at Dak Tiem Village on 8 November 2021

The Project information that was disclosed during the consultation include:

- Project Location, Project lifecycle, and Project Components (WTGs, Transmission Lines, Sub-stations, etc.)
- Project schedule and Project activities in each phase
- Potential Physical, Biological and Social Impacts of the Project
- Potential Project land acquisition impact (requirement of land for WTG construction, internal road, transmission line, etc.)
- Land acquisition and compensation process
- Visuals to demonstrate Project activities
- Potential Physical, Biological and Social Impacts of the Project
- Potential benefits and opportunities for the villagers
- The Project Owner's policy

Example of PowerPoint presentation used for Project information disclosure is provided in **Appendix K** *Error! Reference source not found.* and the full PowerPoint presentation to **Appendix L**.

Figure 7-3: Project Information Disclosure Material (Powerpoint)

ຜົນກະທົບທີ່ອາດເກີດ- ດ້ານເສດຖະກິດ-ສັງຄົມ

ດ້ານ	ຜົນກະທົບທີ່ອາດເກີດ
ການນໍາໃຊ້ທີ່ດິນ	• ດິນນໍາໃຊ້ຖືກກະທົບຈາກເຂດກໍ່ສ້າງ ແບບຊົ່ວຄາວ ແລະ ຖາວອນ
ຊັບສິນ	• ຜົນລະບຸກ, ດິນໄມ້ ຖືກບຸກເບີກອອກຈາກເຂດກໍ່ສ້າງ
ການຈະລາຈອນ ແລະ ຄວາມປອດໄພ	• ອຸປະຕິເຫດຈາກການຈະລາຈອນ • ບັນຫາ ຄຸນນະພາບສຽງນິ້ນ ແລະ ອາກາດ ໃນຊ່ວງກໍ່ສ້າງ • ອຸປະຕິເຫດຕໍ່ກໍາມະກອນ • ອຸປະຕິເຫດ ຕົກຕໍ່ຂົນທົນ ອຸມຊົນ ທີ່ສະໜາມກໍ່ສ້າງ
ຂີ້ເຫຍື້ອ/ສິ່ງເສດເຫຼືອ	• ການຖອກເສດດິນ ແລະ ເສດຂອງແຫຼວ ແບບຊະຊາຍ • ການຄຸ້ມຄອງບໍ່ດີ ຕໍ່ ເສດວັດຖຸອື່ນຕະລາຍ ທີ່ເຮັດໃຫ້ດິນມີມົດປົນເປື້ອນ, ກະທົບຕໍ່ ນໍ້າໃຕ້ດິນ ແລະ ຊະນິດພັນ • ການຄຸ້ມຄອງກໍາມະກອນ ບໍ່ໄດ້ເອົາໃຈໃສ່ໃຫ້ມີການຖິ້ມຂີ້ເຫຍື້ອ ຊະຊາຍ
ມໍລະດົກດ້ານວັດທະນະທໍາ	• ແລວເສັ້ນທາງ, ສາຍສົ່ງ ອາດຖືກເຂດປ່າຊໍ້າ ແລະ ສະຖານທີ່ທາງດ້ານວັດທະນະທໍາ.



Table 7.4: Summary of Supplemental ESIA Stakeholder Engagement

Date	Objectives	Participants	Location	Outcomes
06 Oct - 23 Nov 2021	<ul style="list-style-type: none"> ■ Dissemination of information ■ Consultation at village level (through FGDs and KIIs) ■ Social baseline data collection through socio-economic HH survey and FGDs and KIIs 	Direct and indirect PAPs	23 villages located in Dak Cheung District, Sekong Province	<ul style="list-style-type: none"> ■ Collect socio-economic data to update the social baseline ■ Consult with PAPs on the Project development and obtain opinions, suggestions and concerns of affected households and communities (Refer to Table 7.5).
	- KIIs with local authorities	23 village heads of the affected villages		
	- FGDs with livelihood groups	69 farmers, livestock, laborers, NTFPs collection		
	- FGD with women groups	82 women		
	- FGD with ethnic groups	56 ethnic group representatives (41 Triang; 4 Katu; 9 Yae; and 2 Lao)		
	- FGD with youth groups	57 youth		
	- KIIs with healthcare personnel	9 healthcare personnel		
	- NGO (CARE)	1 CARE representative ⁵⁵	Dak Cheung District	<ul style="list-style-type: none"> ■ Consult with NGO representative on community needs, active NGOs and their programs in local communities (Refer to Table 7.5).
06 - 10 Dec 2021	<ul style="list-style-type: none"> ■ Dissemination of information ■ Consultation at village level (through FGDs and KIIs) 	Direct and indirect PAPs	8 villages located in Sanxay District, Attapeu Province	<ul style="list-style-type: none"> ■ Collect socio-economic data to update the social baseline

⁵⁵ Representative of CARE, an NGO active on gender, food security, livelihood and assistance to vulnerable groups was present in Dak Cheung District for the KII. The programs that they have been implemented in Dak Cheung District include:

- In department of health CARE and partnership run Reproductive Maternal New-Born Child and Adolescent Health (RMNCH) in all health centre and hospital;
- CARE have farmer work of Gender Equality and Women's Empowerment by support the coffee cultivation and make women's group;
- Another that CARE Foods security program and assistance to valuable people.

Other existing NGOs in Dak Cheung District include Promotion of Family Health Association (PFHA) focus on health promotion, Service Fraternel d'Entraide (SFE) and World Food Program.

However, it was noted that these NGOs do not have working unit/representative stationed in Dak Cheung District, they would come to the District to implement/monitor their programs annually, once in two years or once in 3-4 years.

IEAD SUPPLEMENTARY E&S STUDY
Resettlement Plan

Date	Objectives	Participants	Location	Outcomes
	<ul style="list-style-type: none"> ■ Social baseline data collection through socio-economic HH survey and FGDs and KIIs 			<ul style="list-style-type: none"> ■ Consult with PAPs on the Project development and obtain opinions, suggestions and concerns of affected households and communities (Refer to Table 7.5).
	<ul style="list-style-type: none"> - KIIs with local authorities 	8 villages heads of the affected villages		
	<ul style="list-style-type: none"> - FGDs with livelihood groups 	20 farmers, livestock, laborers and NTFPs collection		
	<ul style="list-style-type: none"> - FGD with women groups 	17 women		
	<ul style="list-style-type: none"> - FGD with ethnic groups 	20 ethnic group representatives (17 Triang and 3 Ar Luk)		
	<ul style="list-style-type: none"> - FGD with youth groups 	18 youth		
	<ul style="list-style-type: none"> - KIIs with healthcare personnel 	3 healthcare personnel		

Source: FGDs and KIIs undertaken by Innogreen in November and December 2021

Table 7.5: Key Stakeholder Concerns and Relevance for the Supplemental ESIA and various management plans

Stakeholder Concerns/ Expectations	Relevant ESIA Considerations	Actions Taken
The Project should minimise impacts to sensitive receptors and houses and paddy field as much as possible.	The impact assessment including information on mitigation measures for the social receptors is provided in Section 8.5 of the ESIA Report.	Impacts were minimized by reducing the number of WTGs under a new design and avoiding houses and paddy fields.
The Project development will impact the cultivation land, particularly rice paddy field as suitable land for rice cultivation is highly limited due to mountainous terrain of the region.	Impacts to livelihoods and land use, including rice paddies, is included in Section 8.5.3 of the ESIA Report. This includes proposed mitigation measures.	Impacts were minimized by reducing the number of WTGs under a new design and avoiding houses and paddy field
The Project should ensure that there will be no encroachment into villagers' land containing houses/dwellings.	Land and economic displacement is assessed in Section 8.5.3 of the ESIA Report. This includes proposed mitigation measures. The Project will ensure all required processes for land acquisition are conducted in conjunction with relevant stakeholders.	Impacts were minimized by reducing the number of WTGs under a new design and avoiding houses and paddy field
Concern about nuisance from noise from wind turbines during operation.	Noise impacts (including from turbines) are assessed in Section 8.3.7 and Section 8.5.7 of the ESIA Report. This includes proposed mitigation measures.	WTGs have been relocate to ensure distance from villages. The nearest WTG to village is located more than 500 m from the village
Concern about nuisance from shadow flicker and negative impacts on agricultural productivity.	Shadow flicker impacts are assessed in Section 8.3.10 and Section 8.5.7 of the ESIA Report. This includes proposed mitigation measures.	WTGs have been relocate to ensure distance from villages. The nearest WTG to village is located more than 500 m from the village
Concern that the Project development may impact cemeteries of the village.	Impacts to cemeteries and other cultural heritage are assessed in Section 8.5.9 of the ESIA Report. This includes proposed mitigation measures.	Impacts were minimized by re-routing of transmission line and access road to avoid all cemeteries
Some people expressed that they cannot articulate their concerns as they do not have sufficient information about the Project and its potential impacts	Information dissemination will be considered in the ESIA and SEP. A SEP (this document) has been prepared for the Project including future and on-going engagement required to ensure stakeholders are provided sufficient information on the potential impacts.	Project Information disclosure and consultations were conducted in July 2022. Refer to Section 7.6.2.2 for more details.
Concerns about unfair compensation for those impacted by land acquisition of the Project, and there will be no replacement land for cultivation and animal husbandry and therefore people will lose their main source of livelihood.	Impacts and processes for land acquisition are provided in Section 8.5.3 of the ESIA Report. Note that this is based on preliminary land and asset registration undertaken in November and December 2021. RP and livelihood restoration programs have been developed.	NA
Concerns around safety of life and property and livestock of households nearby the wind towers and safety of	Impacts to community health and safety are assessed in Section 8.5.4 of the ESIA Report. This includes proposed mitigation measures.	NA

Stakeholder Concerns/ Expectations	Relevant ESIA Considerations	Actions Taken
those that conduct agricultural activities under the transmission line.		
Prior to commencement of the Project construction, the village heads should be informed.	Village heads will be informed prior to construction, this commitment is included in Section 9 (ESMP) of the ESIA Report.	NA
The people in the affected villages were not sure if they can use electricity generated by the Project.	Household solar power systems will be provided to the affected villages. Priority will be given to the households affected by the Project's land acquisition, then poor households within the Project's affected communities, and finally the entire the affected villages if possible. Refer to Section 8.5.2 and Community Development Plan (CDP) for more details.	NA
During construction and operation of the Project, there will be influx of workers and people from outside to the villages. There are concerns that these people may bring transactional sex to villagers, disrupt community dynamics, increase gender-based violence, and/or negatively impact on public infrastructure and resources.	Impacts from worker influx are assessed in Section 8.5.6 of the ESIA Report. This includes proposed mitigation measures.	NA
Concerns about the Project's impact on landslides	Impacts from unplanned events (including those impacts as a consequence of natural hazards) are assessed in Section 8.6.3 of the ESIA Report. This includes proposed mitigation measures.	NA
Concerns about the Project's impacts to forest resources as people are highly dependent on NTFP collection from the forests.	Impacts on communities' livelihoods associated with NTFPs are assessed in Section 8.5.3 of the ESIA Report. This includes proposed mitigation measures. Livelihood restoration programs and CEGDP have been prepared.	NA

The general opinions of stakeholders engaged in FGDs and KIIs show that the majority of the people agree with the development of the Project. This is because they perceive various benefits associated with the Project, particularly economic opportunities. They believe that the Project will create more job opportunities for the people in the villages, new developments will come with Project development such as improved road connections and other facilities, the opportunity to increase tourism due to the wind farm being a new tourist attraction.

In addition to the main concerns provided in **Table 7.5**, the stakeholders engaged in FGDs and KIIs also provided suggestions to the Project which reflect communities' needs:

- The Project should provide support and assistance to improve agriculture and livestock to increase productivity.
- The Project to provide support to improve and enhance coffee plantations and coffee market linkage, coffee initiatives to promote coffee product development, factory and store for coffee products.

- The Project should provide electricity for those households that currently do not have access to the grid and public infrastructure (e.g., schools, healthcare centres) should also have access to electricity from the Project.
- The Project should help improve marketing and trading of agricultural products such as coffee, rice and fruits and create road connection to the markets.
- The Project should provide assistance to improve health facilities.
- The Project should provide assistance to improve education such as building school facilities and providing school supplies.
- The Project should provide assistance to poor households.
- The Project should have programs to improve nutrition and food sufficiency of the villages.
- The Project should improve infrastructure in the villages including water supply system, irrigation and telephone signal.
- The Project should create new employment opportunities for the villages such as recruitment of local labours to work in the Project development.
- The Project should provide training opportunities for youth in the affected villages as this will help them in accessing job opportunities.

7.6.2.2 Consultation and Project Information Disclosure in July-August 2022

Following the completion of ESIA study and development of management plans (MPs), information related to ESIA findings, proposed mitigation measures and MPs are disclosed to PAPs. The participants of the consultation include village heads and PAPs – women were ensured to participate in the sessions in all villages, Project developer (IEAD) and its local E&S consultant (Innogreen) and international E&S consultant (ERM), lenders' E&S representatives including ADB (and Artelia as its lender E&S advisor), DEG (also representing FMO), AIIB and JICA. The participants were asked to sign registration form as evidence of participation in the information disclosure activity (**Appendix P**).

The Project information was disclosed through presentation of ESIA study findings and proposed mitigation measures and management plans in basic Laos given by Innogreen. During the presentation, the affected people were given the opportunity to ask questions related to topics discussed, particularly women. The presenter would ask questions to the participants from time to time to ensure their understanding of topic discussed. Village coordinator and village head assisted with translating to Triang language (where needed) to facilitate the discussions and understanding of the affected people. **Figure 7-5** presents photos of information disclosure and consultation activities and **Figure 7-6** presents disclosure materials utilized and disseminated during the activities.

The presentation covers key findings of the ESIA study and proposed mitigation measures and management plan (**Appendix O**), including:

- Key Project components (with photos to give clear illustration to the participants). Information on land requirements for WTGs and TLs, area of restriction within ROW including activities allowed and not allowed within the ROW.
- Project activities including construction and installation of WTGs and transmission line and transportation activities
- Key environmental impacts include topography and landscape impact, shadow flicker impact and impact to water quality during construction activities
- Key biological impacts include reduction in forest area and increased hunting and poaching associated with improved access roads
- Social impacts include land acquisition impacts, impacts to cultural heritage resources, community health and safety impact and, impacts associated with influx

- Resettlement Plan
 - Village specific land requirement impacts
 - Cut-off date and eligibility and entitlement
 - Proposed livelihood restoration programs
 - Seek to understand process and involvement of the affected people in resettlement process e.g. consultation by compensation committee on compensation unit rate
 - Compensation unit rates
 - Next steps to be undertaken for resettlement and compensation process
- CEGDP
 - Understand community needs for CEGDP
 - Proposed community and ethnic group development initiatives and programs
 - Feedback from the affected people on community needs and proposed initiatives and programs
- CHMP
 - Proposed mitigation measures
 - Feedback from communities on village requirements for the Project to comply with villages' culture, traditions, customs,
- Grievance Mechanism with contact details

In addition to the presentation, disclosure booklet/handbook in Laos language (**Appendix Q**) was also distributed during the consultation. The booklet covers similar key contents as the presentation but with more details for PAPs to refer to.

After the presentation, the participants were also given opportunities to ask questions, share their concerns or needs to the Project developer or the E&S consultants. The participants were consulted after the information disclosure activity with focuses on:

- Better understand the villagers' beliefs towards the Phou Koungking Mountain and the spirit that inhabits the mountain and Dak Bong cemetery.
- Solicit villagers' concerns regarding Project development in Phou Koungking area and Dak Bong cemetery.
- Understand conditions and requirements from the villagers in order for the Project to enter and develop Project components within Phou Koungking area and Dak Bong cemetery, such as particular ceremonies or rituals that should be undertaken by the Project according to the villagers' beliefs.
- To obtain and document a collective expression of BCS from affected IP communities for Project activities within the Phou Koungking and Dak Bong cemetery area.
- Understand resettlement and compensation process undertaken to date and participation of affected people in determination of compensation unit rates

Figure 7-5: Information Disclosure and Consultation Activities



Meetings with District and Provincial Authorities, 18 July 2022



Dak Tiem Village, 19 July 2022



Prao Village, 19 July 2022



Dak Terb, 19 July 2022



Dak Lern Village, 19 July 2022



Dak Rant Village, 19 July 2022



KII in Dak Lern, 20 July 2022



KII in Dak Lern, 20 July 2022 (Project's village coordinator (on the right) was present to facilitate translation to Tirang)

Figure 7-6: Disclosure Materials



PowerPoint Presentation and a large map



Project Information Booklet (in Laos language)

Table 7.6: Summary of Consultation in July 2022

Date	Time	Action/Location/Participants	Key Findings/Summary	How issues or concern was addressed in Project Design or E&S Documents
Monday 18 July 2022	13:00-17:00	<p>Meetings with District and Provincial Authorities</p> <p>Location: Department of Natural Resources and Environment of Sekong Province</p> <p>Participants: Sekong governor Attapeu governor Dak Cheung district officers Sanxay district district officers</p>	<ul style="list-style-type: none"> ■ The provincial and district authorities are in favour of the Project and indicated to have been working with the Project developer since 2014 ■ Innogreen will provide the provincial authorities with the final DMS data by 29 July 2022 or early August, thereafter the provincial authority will proceed with issuance of official cut-off date. It was agreed that the last day of DMS survey will be used as cut-off date i.e. 21 June 2022 for Dak Cheung District and 18 June 2022 for Sanxay District. ■ Compensation Committee consisting provincial and district authorities and Project developer was established. The Committee consulted affected people on compensation unit rates in the process of determining compensation unit rates ■ The authority is working with IEAD to identify replacement land. However, replacement land for particularly paddy field is limited in this area. Livelihood restoration plans such as livestock raising, or improved agriculture will need to be in place to ensure the livelihood and quality of life of affected people are equal or better than pre-land acquisition impact. ■ For transmission line, area within ROW (e.g. 70 m for 500 kV) annual crops and livestock are allowed. Structures and tall trees (trees should not be taller than 3 m) are not allowed. 100% compensation for structure, rice paddy compensation for 10 years of affected productivity. Compensation for trees that have to be cut. ■ It is noted that most people in this area do not have legal title to land because it is a remote area and land titling activity has not covered this area yet. For Attapeu province, land will be compensate for between 25,000 – 50,000 LAK/m2 depending on the type of land. ■ The resettlement and compensation process involves the following committees: <ol style="list-style-type: none"> 1. Compensation committee 2. Livelihood restoration committee 3. Monitoring Committee 	Information has been included in RP and CEGDP

Date	Time	Action/Location/Participants	Key Findings/Summary	How issues or concern was addressed in Project Design or E&S Documents
			<ul style="list-style-type: none"> ■ With regards to grievance mechanism, if PAPs are not satisfied with compensation costs, the relevant authorities will work with PAPs on how to make them satisfy. This may involve create of new occupations or sustainable livelihood restoration programs such as planting other commercial crops, cattle, tourism, etc. 	
Tuesday, 19 July 2022	09:00- 12:00	<p>Consultation with Dak Tiem, Dak Xeng and Xiengluang villages</p> <p>Location: Dak Tiem School</p> <p>Participants: Village heads and villagers of Dak Tiem, Dak Xeng and Xiengluang villages (total X people)</p>	<p>Main concerns include:</p> <ul style="list-style-type: none"> ■ Impacts to agricultural land ■ Safety risks associated with transportation of Project components during construction. ■ Wastewater and sedimentation from project construction activities will enter the water sources of the village ■ Impacts of WTGs during operation to productivity of agricultural land. ■ Noise from WTGs ■ Dust from construction activities <p>CDP Needs and priority (Dak Tiem Village)</p> <ul style="list-style-type: none"> ■ Healthcare facility improvement and medical supplies + transportation to healthcare facility ■ Support plantation of fruit trees such as pomelo, rambutan, etc. ■ Support on education supplies and sport equipment ■ The Project to ensure that the roads are not too dangerous where villagers can also use it ■ Water supply and Irrigation system as the village experiences water shortage during dry season ■ Village office with computers ■ The Project to comply with village's Heet-Kong (Heet (long inherited traditions) and Kong (social norm, custom or guidelines)) ■ Scholarship for higher education ■ Livelihood/Occupation/Vocational training <p>CDP Needs and priority (Xieng Luang Village)</p> <ul style="list-style-type: none"> ■ Irrigation system for rice paddies ■ The Project to comply with village's Heet-Kong ■ Request for machinery for agriculture 	<p>Impacts to livelihoods and land use, including rice paddies, is included in Section 8.5.3 of the ESIA Report. This includes proposed mitigation measures.</p> <p>Land and economic displacement is assessed in Section 8.5.3 of the ESIA Report. This includes proposed mitigation measures. The Project will ensure all required processes for land acquisition are conducted in conjunction with relevant stakeholders.</p> <p>Noise impacts (including from turbines) are assessed in Section 8.3.7 and Section 8.5.7 of the ESIA Report. This includes proposed mitigation measures.</p> <p>Impacts associated with shadow flickers are assessed in Section 8.3.8 of the ESIA report. This includes proposed mitigation measures.</p> <p>WTGs have been relocate to ensure distance from villages. The nearest WTG to the village is located more than 500 m from the village</p> <p>Impacts on surface water quality is assessed in Section 8.3.6 of the ESIA report. This includes proposed mitigation measures.</p>

Date	Time	Action/Location/Participants	Key Findings/Summary	How issues or concern was addressed in Project Design or E&S Documents
			<p>CDP Needs and priority (Dak Xeng Village)</p> <ul style="list-style-type: none"> ■ Concerns about impact of Project land acquisition on rice paddies as currently they hardly have sufficient rice for consumption ■ Support on coffee plantation ■ The Project to comply with village's Heet-Kong ■ Support for education and scholarships for students ■ Water supply and irrigation system <p>CDP Needs and priority (Women)</p> <ul style="list-style-type: none"> ■ Healthcare facility improvement and medical supplies ■ Educational supplies ■ Support for poor facilities ■ Water supply e.g. dug well for dry season <p>CDP Needs and priority (Youth)</p> <ul style="list-style-type: none"> ■ Improve education facilities and supplies ■ Sport facilities and equipment ■ Scholarship for higher education ■ Livelihood/Vocational training ■ Project employment <p>Overall, the villagers are happy to support the Project is the Project provide satisfactory compensation and cater to the village needs. The Project should ensure compensation at market rates and compensation for booking land. In addition, the Project must consult and inform the villages prior to any activities.</p>	<p>Impacts on air quality are assessed in Section 8.3.4 of the ESIA report. This includes proposed mitigation measures.</p> <p>Shadow flicker impacts are assessed in Section 8.3.10 and Section 8.5.7 of the ESIA Report. This includes proposed mitigation measures.</p> <p>Community Health and safety impacts are assessed in Section 8.5.4 of the ESIA report. This includes proposed mitigation measures.</p> <p>RP and Livelihood restoration plan have been prepared to minimize impacts to affected people from Project land acquisition.</p> <p>CEGDP have been prepared to reflect community needs.</p> <p>Village heads will be informed prior to construction, this commitment is included in Section 9 (ESMP) of the ESIA Report</p>
	09:00-12:00	<p>Consultation with Prao Village</p> <p>Location: Prao Village</p> <p>Participants: Village heads and villagers of Prao, Xieng A and Dak Kung Villages</p>	<ul style="list-style-type: none"> ■ The project has to inform the village head and villagers before construction to consider the location of camp in relation to cemetery location. ■ The ritual should be performed before the construction and objects to be prepared for the ritual are e.g. rice, water, sweets, etc. ■ Entry to the high elevation of Phou Koungking is not prohibited but it is difficult to access the top of Phou Koungking. Some villagers can access different elevations of Phou Koungking for NTFP. ■ Phou Koungking is the main area for NTFPs for Prao and Dak Kung villages 	<p>Impacts on surface water quality is assessed in Section 8.3.6 of the ESIA report. This includes proposed mitigation measures.</p> <p>Village heads will be informed prior to construction, this commitment is included in Section 9 (ESMP) of the ESIA Report</p> <p>Impacts to livelihoods and land use, including rice paddies, is included in Section 8.5.3 of the ESIA Report. This includes proposed mitigation measures.</p>

Date	Time	Action/Location/Participants	Key Findings/Summary	How issues or concern was addressed in Project Design or E&S Documents
			<p>Main concerns include:</p> <ul style="list-style-type: none"> ■ Some people expressed their concerns about the water source due to road construction. ■ The Project must compensate for any impacts on land according to the laws ■ The villagers would like know about the compensation unit rate and understand how the rates were determined <p>CDP Needs and priority The Project to provide support on village office The Project to support on water supply (<i>Nam Lin</i>) The Project to provide material support for village office Request for 10 solar panels The Project to build 1 school building for middle school The Project to conduct detailed survey of Project impacts prior to construction</p> <p>CDP Needs and priority (Dak Kung) The Project to support on water supply (<i>Nam Lin</i>) The Project to develop access road to the village The Project to provide assistance with land tax payment The Project to consider compensation for at least 3 years for impacted land The Project to assist family without toilet</p> <p>CDP Needs and priority (Xieng A) The Project to support on water supply (<i>Nam Lin</i>) The Project to support on irrigation system The Project to build/improve access road from Dak Learn and Xieng A The Project to provide scholarships to students and assist with employment opportunities</p>	<p>Land and economic displacement is assessed in Section 8.5.3 of the ESIA Report. This includes proposed mitigation measures. The Project will ensure all required processes for land acquisition are conducted in conjunction with relevant stakeholders.</p> <p>Impacts on surface water quality is assessed in Section 8.3.6 of the ESIA report. This includes proposed mitigation measures.</p> <p>RP and Livelihood restoration plan have been prepared to minimize impacts to affected people from Project land acquisition.</p> <p>CEGDP has been prepared to reflect community needs.</p> <p>CHMP has been prepared in order to minimize impacts to cultural heritage resources</p> <p>Village heads will be informed prior to construction, this commitment is included in Section 9 (ESMP) of the ESIA Report</p>
	13:00-17:00	Consultation with Dak Terb Village	<ul style="list-style-type: none"> ■ The villagers have experienced land acquisition for existing TL; therefore, they are well aware of activities allowed and not allowed within TL ROW. 	<p>Impacts on surface water quality is assessed in Section 8.3.6 of the ESIA</p>

Date	Time	Action/Location/Participants	Key Findings/Summary	How issues or concern was addressed in Project Design or E&S Documents
		<p>Location: House of Dak Terb's village head</p> <p>Participants: Village heads and villagers of Dak Terb Village</p>	<ul style="list-style-type: none"> ■ The villagers indicated that they have never been to Phou Koungking as it is located far away from the village. They usually collect NTFP in forest nearby the village. ■ One representative from the village participated in compensation unit rate consultation with the compensation committee. Some outstanding items of unit rate compensation include Agarwood and bamboo. <p>Main concerns include:</p> <ul style="list-style-type: none"> ■ Sedimentation may enter streams which the people are dependent on for drinking and domestic water sources as a result of Project activities. (Innogreen clarified that the Project will avoid construction activities during rainy season to minimize sedimentation and erosion impact to the water courses) <p>CDP Needs and priority:</p> <ul style="list-style-type: none"> ■ Households are quite poor, they do not have sufficient income ■ Water supply and irrigation system e.g. Nam Rin ■ Some families have sufficient land but not sufficient labors to work on the land e.g. this young mother has a young child that she has to tend to and therefore is not able to work on the land. The Project may provide support on livestock e.g. chickens and pigs to enable the mother to have additional income while taking care of the child at home 	<p>report. This includes proposed mitigation measures.</p> <p>CEGDP has been prepared to reflect community needs.</p>
	13:00-17:00	<p>Dak Lern Survey and KIIs (Key Informant Interviews)</p> <p>Location: Dak Learn Village</p> <p>Participants: Village head/ assistant village heads</p>	<ul style="list-style-type: none"> ■ Most households in Dak Lern use timber and non- timber forest products (NTFPs) (mushroom, bamboo shoot, honey, ginseng, orchid, rattan, tiger grass etc.) from the hill of Phou Koung King. ■ Bamboo and tiger grass can be sold as a raw material or processed as an added value product ■ Timber and non- timber forest products (NTFPs) collection is main income of household due to villagers collect and sell to merchant from Vietnam. 	<p>The information has been included in the RP as baseline of livelihood and income</p>
Wednesday, 20 July 2022	09:00-12:00	<p>Consultation with Dak Rant Village</p> <p>Location:</p>	<ul style="list-style-type: none"> ■ The majority of attendees have been fully vaccinated (mostly 3 doses) 	<p>Impacts on surface water quality is assessed in Section 8.3.6 of the ESIA report. This includes proposed mitigation measures</p>

Date	Time	Action/Location/Participants	Key Findings/Summary	How issues or concern was addressed in Project Design or E&S Documents
		Dak Rant School Participants Village heads and villagers of Dak Rant and Dak Dor villages	<ul style="list-style-type: none"> ■ Land acquisition for substation will affect active rice paddy and inactive rice paddy of 13 owners from Dak Bong and Dak Cheung villages. ■ Most people have rice paddy between 1.5 – 2 ha and average production is approximately 3 tons. ■ The villagers indicated that they have never been to Phou Koungking as it is located far away from the village. They usually collect NTFPs in forest nearby the village. To get permission to enter or construction activities in Phou Koungking, the Project must consult with Dak Lern and Prao villages. ■ In general, the villagers indicated they have no belief around Phou Koungking (refer that we need to ask Prao and Dak Lern villages). One elderly believed that Phou Koungking is the place of origin of Dak Cheung people. However, such belief was not shared by other village members. ■ Representative from Dak Rant village participated in compensation unit rate consultation with compensation committee, while Dak Dor representative didn't. The consultation discussed compensation unit rate for coffee and cassava. Outstanding items include communal land. ■ The villagers have not seen compensation unit rates prior to this consultation ■ Dak Dor village identified streams near WA131 and WA132 (Huay Nong and Huay Yerng) ■ Dak Rant village identified streams near WA048 and WA049 (Huay Rong) <p>Main concerns include:</p> <ul style="list-style-type: none"> ■ Sedimentation may enter streams which the people are dependent on for drinking and domestic water sources as a result of Project activities. (Innogreen: construction activities will be avoided during rainy season) ■ Concerns about agricultural land being affected from internal road e.g. materials or waste from Project vehicles fall on their crops ■ Noise and shadow flicker impact (Innogreen: clarified that impacts will be minor) 	<p>Impacts to livelihoods and land use, including rice paddies, is included in Section 8.5.3 of the ESIA Report. This includes proposed mitigation measures.</p> <p>Land and economic displacement is assessed in Section 8.5.3 of the ESIA Report. This includes proposed mitigation measures. The Project will ensure all required processes for land acquisition are conducted in conjunction with relevant stakeholders.</p> <p>Noise impacts (including from turbines) are assessed in Section 8.3.7 and Section 8.5.7 of the ESIA Report. This includes proposed mitigation measures.</p> <p>Impacts associated with shadow flickers are assessed in Section 8.3.8 of the ESIA report. This includes proposed mitigation measures.</p> <p>WTGs have been relocate to ensure distance from villages. The nearest WTG to village is 500 m from the village</p> <p>Community Health and safety impacts are assessed in Section 8.5.4 of the ESIA report. This includes proposed mitigation measures.</p> <p>Labour Management Plan have been prepared to include HR management that reflect local traditions of local employees</p> <p>RP and Livelihood restoration plan have been prepared to minimize impacts to</p>

Date	Time	Action/Location/Participants	Key Findings/Summary	How issues or concern was addressed in Project Design or E&S Documents
			<ul style="list-style-type: none"> ■ Traffic safety: the Project should inform the villages on number and timing of vehicles, notify the villages in advance of transportation activities, install traffic safety signs, speed limit, avoid transportation activities during peak hours where children are travelling to and from school, training/workshop for villagers on traffic safety. ■ Concerns related to influx: inform the village of number of workers, who and where they are from, where will their accommodation be. The village will develop Village Code of Conduct outlining what activities are allowed and what not in the village area. Moreover, the village also ask to review Project's worker Code of Conduct. The Project to install signs indicating no entry to sacred area in the village such as cemetery. ■ During funeral or ceremonies, the villagers do not work and help each other preparing for such ceremony. The Project should ensure that local hired workforce is able to take a day off (with normal pay) for village ceremony and ensure that there will be no sanction for missing workdays due to village ceremonies. <p>CDP Needs and priority</p> <ul style="list-style-type: none"> ■ The Project should also provide access roads to agricultural land for the villagers ■ Vocational training for youth ■ Project employment (it was noted that there are youth in the village who graduated from college and engineering from university that will have the capacity to work for the Project) ■ Livestock raising e.g. pigs and chickens ■ Vegetable gardens (need budget and training on how to improve productivity and quality of vegetable garden) ■ Computer and desks for village office ■ Water supply and irrigation ■ Before the Project conduct any activities, it must consult with the villages <p>The Lenders recommended that CEGDP should include programs for implementation during Construction Phase which may include</p>	<p>affected people from Project land acquisition.</p> <p>CEGDP have been prepared to reflect community needs.</p> <p>Village heads will be informed prior to construction, this commitment is included in Section 9 (ESMP) of the ESIA Report</p> <p>Code of Conduct will be developed by the Project and provide opportunity for the village to review</p>

Date	Time	Action/Location/Participants	Key Findings/Summary	How issues or concern was addressed in Project Design or E&S Documents
			<p>broader development projects e.g. improvement of water supply and health center. Currently the CEGDP is proposed to be implemented during Operation Phase which will start after 3 years. The communities will end up disappointed for not receiving any assistance within the 3 years of construction activities.</p>	
	09:00-12:00	Meeting with District Agriculture and Forestry Office (DAFO)/Provincial Agriculture and Forestry Office (PAFO)	<ul style="list-style-type: none"> ■ Biodiversity in Laos-Vietnam boarder region was discussed. There is a project funded by ADB to protect biodiversity, namely Greater Mekong Subregion Biodiversity Conservation Corridors- Vietnam Component (BCC Project) ■ BCC Project will terminated in May 2022. They will be continued as DAFO/PAFO have already appointed officers; however, they were not certain regarding funding for BCC implementation. Potentially, DAFO/PAFO may ask funding support from the Project. ■ Phou Koungking Mountain is a conservation area, cutting trees and hunting activities are prohibited. However, local people still hunt and collect NTFPs in the mount. There will be no issue with the construction of the Project within Phou Koungking Mountain if the Project complies with the tradition. The PAFO/DAFO representative sees that with improved access, this will facilitate the officers in conduct monitoring of wrongdoers. However, he also concerns that people from the city will go hunt in the areas and increase hunting activities. ■ The DAFO/PAFO confirmed that though the project might cause decrease of existing NTFP areas, it will also open up new NTFP areas which the villagers can access. Though each village has assigned NTFP areas, villages can share NTFP/Production areas and work together on the management of the area. ■ The transmission line can be constructed with approval from the Ministry of National Defence because the border area is in their jurisdiction. ■ The DAFO/PAFO currently have no plan to prohibit people from encroaching the protected forest ■ In the case that PAPs are not satisfied with the compensation, the survey will be re-conducted to verify the information. However, if the information is valid but there is yet ongoing 	<ul style="list-style-type: none"> ■ The Biodiversity Action Plan (BAP) will include mitigation measures ■ Such issues can be mitigated by, for instance, locked gate for roads leading to turbine infrastructure with access given to only local villagers (as part of local employment and security arrangement).

Date	Time	Action/Location/Participants	Key Findings/Summary	How issues or concern was addressed in Project Design or E&S Documents
			<p>grievances, the PAPs will be provided with support such as rice</p> <ul style="list-style-type: none"> ■ Based on the interview with DAFO/PAFO, boundaries for each type of forest for every village has been identified including NTFP areas. The government has agreement with the villages on the management on the different types of forest and NTFP areas. 	
	13:00-17:00	FGD (Focus Group Discussions) in Dak Lern Village Vice deputy, belief leader, other village representatives	<p>Access to Phou Koungking Mountain</p> <ul style="list-style-type: none"> ■ Phou Koungking Mountain, both high and low elevation areas, are not prohibited from access. The higher elevation portion of Phou Koungking Mountain is difficult to access because of difficult route and not many people know the way to access higher elevation portion of the mountain ■ Usually, people from Dak Lern village do not access the high elevation because they are afraid of poisonous animals such as snakes. ■ Only the Belief Leader (“Tao Kae Naew Home” which is translatable as an elderly who can/have the power to gather/assemble all villagers together or spiritual center of all villagers) identified to frequently access to the top of the mountain, where village boarder between Prao and Dak Lern lies, in order to monitor NTFP collection. <p>Belief Around Phou Koungking Mountain</p> <ul style="list-style-type: none"> ■ The FGD suggested that people are not afraid of entering high elevation area because of spirits, but rather poisonous animals such as snake ■ The FGD indicated that the belief in spirit such as ‘Phi Bang Bot’ is not strong nor common within villagers as it has been a long time since someone encountered spirits and there have been no evidence to prove. ■ The people, however, belief that when entering the forest/mountain, Heet (long inherited traditions) and Kong (social norm, custom or guidelines) must be followed¹. For instance, an offering must be performed prior to entering the forest for NTFP Collection. <p>Sacredness of Phou Koungking Mountain It is noted that Phou Koungking Mountain is not regarded as a ‘sacred’ place (considered holy and deserving respect/worship).</p>	The information related to Phou Koungking and local belief have been included in the CHMP.

Date	Time	Action/Location/Participants	Key Findings/Summary	How issues or concern was addressed in Project Design or E&S Documents
			<p>Cemetery, on the other hand, is considered a highly sacred place by the villagers. If the Project impacts cemetery, a higher level of ritual is required. Such ritual involves sacrificing of a puppy and use its blood to spread across affected cemetery area.</p> <p>Permission to Access Phou Koungking Mountain</p> <ul style="list-style-type: none"> ■ Different rituals are required prior to entering the mountain based on the purposes. The Project must consult with Prao and Dak Lern Villages and comply with the village requirements. ■ For NTFP Collection, 6 grain of rice, tobacco and incense are required to be offered under a large tree in the forest ■ For Project construction activities, the project is required to provide budget for the village to perform ritual. The ritual involves a pig, a jar of rice whisky and a copper bracelet. A pig will be sacrificed while the blood is flowing over the copper bracelet, it is highlighted that everyone in the village must touch the blood of the pig. Thereafter, the pig will be cooked and all villagers must eat the pork and drink rice whisky from the jar. The copper bracelet will be left there where the ritual is performed. The ritual is usually performed in the village in the evening. It is noted that by performing this ritual, it covers asking for permission from all spirits that the people believe in including village spirit, forest spirit, mountain spirits, etc. ■ The people believe that if the rituals are not carried out correctly, it will result in illness and depression of people in the village. However, such mistake in the rituals can be repaired/amended by correcting the exact step that went wrong. <p>Belief Leader</p> <ul style="list-style-type: none"> ■ Belief leader in the village is known as “Tao Kae Naew Home” which means an elderly who can/have the power to gather/assemble all villagers together. Tao Kae Naew Home is usually someone who are well educated of Heet-Kong and have extensive experience in performing rituals that can guide the villagers. <p>Cemetery/Spirit/Sacred in Triang’s Belief (Kil with village coordinator from Dak Tiem Village)</p>	

Date	Time	Action/Location/Participants	Key Findings/Summary	How issues or concern was addressed in Project Design or E&S Documents
			<ul style="list-style-type: none"> <li data-bbox="835 276 1552 440">■ Environmental spirits are spirit that are naturally there e.g. forest spirit, river spirit and mountain spirit, etc. This is different from spirits in the cemeteries which are spirits of the dead. Belief in environmental spirits are subjective and differs per village and individuals—usually people would follow the belief leaders of their villages. <li data-bbox="835 448 1552 639">■ The interviewee regarded cemetery as a highly sacred place as their parent who passed away are resting there. Activities to disturb resting of their ancestors such as chopping of wood or loud noise are prohibited—he feared if his parent are woken up from their resting by disturbing activities, the parent spirits will be angry at him for not protecting them and let them rest in peace after death. <li data-bbox="835 647 1552 807">■ Phi Bang Bot (literal translation as cover the eyes) is a ghost that has the power to blind people. There have been no evidence of people encounter such spirit/ghost, but rather when someone got lost in a forest, they'd likely blame it on Phi Bang Bot for blinding their eyes and causing them to get lost in the forest. 	

7.6.3 Consultation and Disclosure Activities by the Compensation Committee

Consultation and Disclosure Activities by the Compensation Committee have been undertaken as follows:

Dak Cheung District, Sekong Province

On 17 February 2022, Dak Cheung District Governor and Department of Natural Resources and Environmental and other relevant authorities, the Project developer and its local E&S consultant, and village heads from 23 villages in Dak Cheung District. Total participants of 61 people (of which 12 were women)

- The meeting agreed on the affected area from the Project land acquisition—total affected area of 748.88 ha (136.7 ha permanently affected and 612.18 ha temporarily affected). Of this affected land 139.31 ha are agricultural land (6.45 permanently affected and 132.86 temporarily affected). The impact is from land acquisition for 128 WTGs, access roads, 4 substations, 115 kV and 35 kV transmission line and one 500 kV substation.
- The meeting agreed that the Project land acquisition impacts 23 villages in Dak Cheung Province. A total of 242 households are to be affected (138 households will be impacted from WTGs and 104 households will be impacted from 500 kV transmission line)
- The meeting agreed for the Provincial Compensation Committee undertaken determination compensation unit rates
- It was recommended for the Project developer to participate with the Provincial, District and Village authorities to undertake DMS which should be approved by affected households and village heads. Thereafter, compensation costs should be calculated for affected households.
- After compensation costs are calculated, the authorities suggested that the Project developer organize a meeting to inform affected households of compensation amount in all villages, including calculation methods. Following this, the Provincial and District Committee, together with the Project developer will notify the affected households of final compensation costs and obtain their signature prior to compensation payment.

Minute of meeting of this meeting is attached in **Appendix B**.

On 31 March 2022, the Vice Governor of Sekong and the relevant provincial and district, and Project developer and its E&S consultant (total 28 participants, of which 3 are female) to approve compensation unit rates for 600 MW wind farm project and 500 kV transmission line.

The Provincial Compensation Committee presented draft compensation unit rates to the meeting, the meeting consulted regarding the compensation unit rates and agreed on the followings:

- The meeting agreed and approved the draft compensation unit rates
- The Compensation Committee should include comments and recommendations from this meeting to revise the compensation unit rates. The determination of compensation unit rates should be based on the standard price outlined by relevant department (e.g. land department and forestry and agriculture department) and to confirm the price with these respective departments. In addition, the compensation units should also reflect the current market price.
- The Compensation Committee to complete unit compensation rates revision by 18 April 2022 to be presented to the District Governor.

Minute of meeting of this meeting is attached in **Appendix C**.

Sanxay District, Attapeu Province

The Committee conducts a market price survey of affect assets and consults with project affected villages unit compensation rates for various types of assets on 30 March 2022 with five villages including Dak Nong, Dak Padou, Dak Samor, Dak Xeum, and Dak Yok with the District Committee. It was observed that at the end of each minute of meetings, presented a list of compensation unit rates discussed with the village representatives. Minute of meeting of this meeting is attached in

Appendix G.

On 12 May 2022, the meeting was held to report on the consultation on compensation unit rates with village head and affected households conducted in March-April 2022 and approve compensation unit rates.

During 30-31 March and 1-2 April 2022, Provincial, District and Village Compensation Committee agreed on compensation unit rates. A total of 8 villages within Sanxay District will be impacted, summary of impact and compensation unit rates are as follows:

- Dak Nong Village: impacts from 115 kV transmission line and 2 WTGs. Communal land and agricultural land are impacted. The Compensation Committee presented the compensation unit rates to land and crops to the village head and one affected household. The meeting agreed on the followings with the village head and affected households:
 - Coffee (less than 2 years old) 15,000 LAK and improved the price for coffee plants older than 2 years
 - Small coffee 90,000 LAK and large coffee 100,000 LAK
 - Cost for Heet-Kong ((in Triang language Heet-Kong means inherited traditions or ritual practices and Kong meaning social norms, customs or guidelines) of the village 3,3400,000 LAK

Additionally, the village requested the Project to provide scholarship for students, water supply, vehicles for the village, village office (e.g. computer) and toilets.

- Dak Samor Village: 3 WTGs impacts productive and communal forests and access road impacts 6 households and 7 land parcels. The Committee presented compensation unit rates for land and crops to affected households and village heads and the units were agreed by the village head and affected households. In addition, the Project should provide 1,670,000 LAK for Heet-Kong of the village. The village also requested the Project to support on water supply (Nam Lin) and provide electricity for new houses.
- Dak Yok Village: Communal land will be impacted by 2 WTGs. The Committee presented compensation unit rates for land and crops to village head and the units was agreed by the village head. In addition, the Project should provide 1,060,000 LAK for Heet-Kong of the village. The village also requested the Project to help with water supply (dug well) and village office.
- Dak Xuem Village: Communal land is impacted by 6 WTGs. The Committee presented compensation unit rates for land and crops to village head and the units was agreed by the village head. In addition, the Project should provide 4,500,000 LAK for Heet-Kong of the village.
- Dak Padou Village: land will be impacted by 9 WTGs, the meeting agreed on the compensation unit rates for land and crops as follows:

Recommendations from village head and affected households:

Coffee (less than 2 years) 150,000 LAK/tree

Coffee (3-5 years, no productivity) 350,000 LAK/tree

Coffee (3-5 years, productivity) 400,000 LAK/tree

Coffee (productivity) 450,000 LAK/tree

Coffee (Noi) large size in productivity 525.000 LAK/tree

The village suggested the Project to perform ritual according to villages' Heet-Kong which costs approximately 2,134,000 LAK.

- Dak Xied, Dak Dor and Namgnonnueta villages are not impacted by Project land acquisition. The Provincial Compensation Committee, Project developer and village heads agreed to perform ritual according to villages' Heet-Kong.

Minute of meeting of this meeting is attached in **Appendix H**.

7.7 Proposed Engagement Activities

A primary engagement process running concurrently with the overall Project consultation and disclosure is engagement related to resettlement planning. Consultations with government departments and offices, together with displaced families, are undertaken for the development of the Resettlement Plan (RP).

Proposed key engagement activities for RP are outlined in **Table 7.7**.

Table 7.7: Proposed Key RP Stakeholder Engagement Activities

Stage	Engagement Activities for RP
Scoping (completed)	<ul style="list-style-type: none"> ■ Disclosure of potential Project footprint, to be managed with utmost caution to mitigate the speculation risks ■ Gathering initial PAP issues and concerns regarding land acquisition and resettlement ■ Preparation of stakeholder engagement (SE) road map for further stages
Resettlement Planning	<ul style="list-style-type: none"> ■ Disclose the resettlement planning process and explain its key steps to stakeholders, including detailed explanations on cut-off date, resettlement committee(s), timeframes, feedback, and GRM ■ Establish the resettlement committee/s ■ Announcement of cut-off-date and legal progress backing the declaration of cut-off ■ Consult to plan to mitigate and compensate impacts of physical and/or economic displacements ■ Conduct socio-economic and asset inventory surveys ■ Negotiations to agree on compensation entitlements for loss of household and community assets ■ Involve displaced persons in developing livelihood restoration strategies ■ Involve representatives of affected persons in grievance procedures ■ Disclose the RP and related plans ■ More meaningful consultations with PAPs to facilitate them to better understand that provision of cash compensation alone would not result in sustainable livelihood – they will need to also participate in financial literacy program and LRP provided by the Project.
Detailed Livelihood Restoration Planning	<ul style="list-style-type: none"> ■ Consult with PAPs to understand their needs to develop LRP which is tailored to their needs ■ Propose LRP initiatives to PAPs and receive feedback ■ Involve PAPs in planning for LRP and implementation in consultation with PAPs ■ Involve PAPs in monitoring and evaluation of LRP
Implementation, Monitoring and Evaluation	<ul style="list-style-type: none"> ■ Organize participation of displaced persons in RP implementation, as appropriate ■ Involve affected persons in monitoring and evaluation ■ Ensure the grievance mechanism is functional

7.8 Grievance Redress Mechanism

An effective stakeholder engagement process can help to prevent grievances. However, projects with high potential for environmental and social impacts to occur, often result in grievances from stakeholders.

This section provides a framework for the GRM that will be used to identify, track, and manage grievances raised by external Project stakeholders. The GRM applies to land acquisition and resettlement related grievances. A separate grievance mechanism will be developed to address internal grievances relating to employment matters.

The GRM will be refined during SEP development and be maintained throughout the Project lifecycle, to ensure that grievances are promptly heard, analysed and, to the extent possible, resolved.

7.8.1 Objectives

The main objective of the grievance mechanism is:

- To address grievances promptly and effectively, in a transparent manner resulting in fair, effective and lasting outcomes.
- To provide a grievance management process that is culturally appropriate and readily accessible to all Project affected parties.
- To build trust as an integral component of the Project community relations activities.
- To enable a systematic identification of emerging issues facilitating correcting actions and pre-emptive engagement.

7.8.2 General Requirements

The objective of this system is to ensure there is a robust and transparent process available for addressing complaints. This system comprises a sequential process of three (4) levels of resolution. The next level of resolution is triggered if the complaint cannot be resolved at a lower level (see **Box 7.2**).

The grievance committees will be set up as soon as possible. They will be constituted as below:

- The Grievance Committees (GCs) at each level will be established prior to construction phase and consist of (as relevant to each level): the Community Relations Manager, Construction Contractor representatives, Village head representatives, the District/Provincial Administration representatives, and community representatives (especially women and youth). The GC focus is on facilitating environmental and social grievances that are mainly construction related.
- For resettlement related grievances, a Joint Committee for Resettlement (JCR) will be established to facilitate resolution at Level 2 and Level 3, as soon as possible during the Pre-Construction phase and consist of (as relevant to each level): the Community Relations Manager, Village Heads, Land Department representative, the District/Provincial Administration representatives relevant to land acquisition and resettlement, and a local NGO representative who has extensive knowledge on land dispute facilitation.
- As per the Compensation and Resettlement Decree No. 84/GOL, dated 5 April 2016 (Article 68 - 73) and the Decree on Environmental Impact Assessment No. 21/GOL, dated 31 January 2019 (Article 23-25), if the grievance cannot be resolved at level 3, the PAPs can appeal to the court according to the judicial process (level 4). It is noted that although the Project recognises and accepts that complainants may go directly to this level of resolution.

Box 7.2: The Project Grievance Resolution System

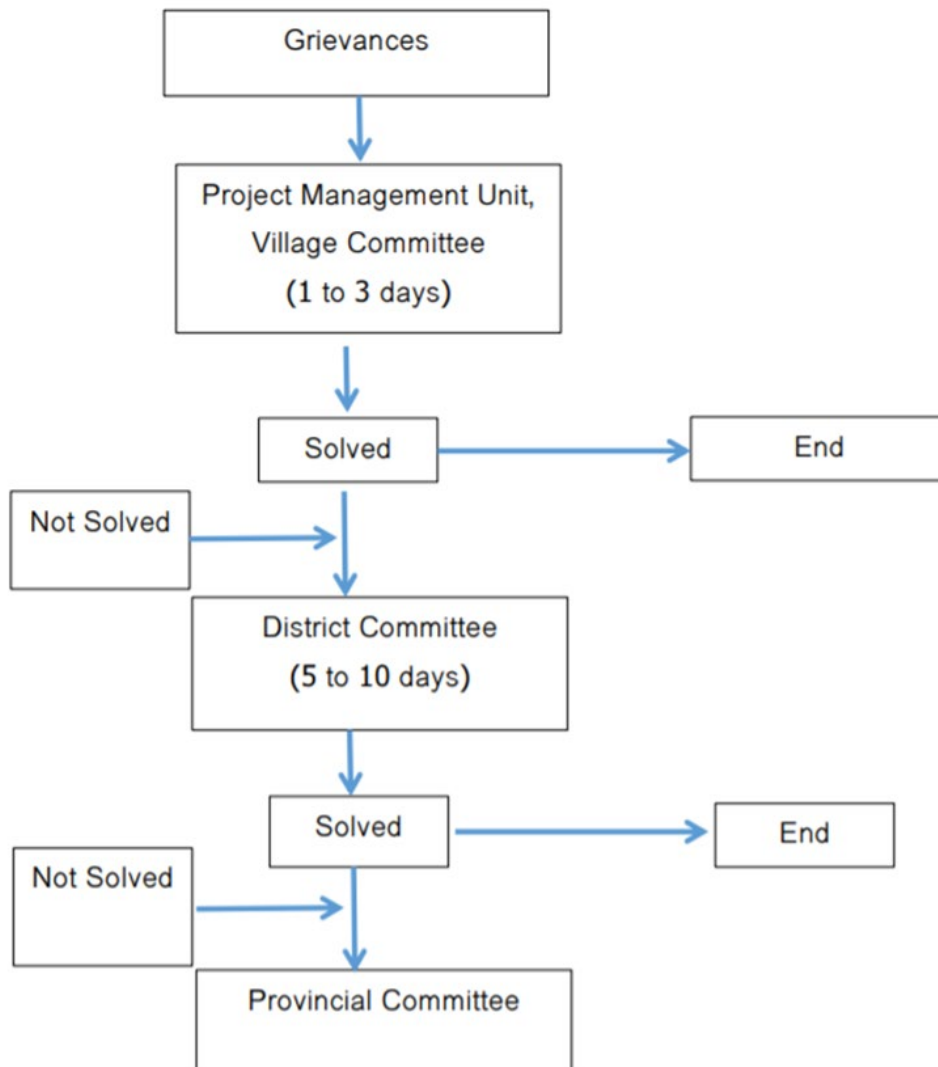
Level 1: This level of resolution is for complaints that can be resolved by the Project Management Unit (PMU) and Village Committee directly. This level of complaint can be dealt with through immediate corrective action.

Level 2: If the complainants are not satisfied with the resolution proposed by the PMU and the Village Committee, they can appeal to the District Committee.

Level 3: If the complainants do not accept the resolution or decision at level 2, they can appeal to the Provincial Committee.

Level 4: If the complainants do not accept the resolution or decision at level 3 then they can take legal action at the District Court. The Project does not control this level of resolution but acknowledges this process is available to stakeholders. It also acknowledges that some complainants may choose to proceed directly to this level of resolution.

Figure 7-7 Project Grievance Resolution System



Source: EIA, September 2020

7.8.8 Step 5: Implement the Solution

For relatively simple, short-term actions that can resolve the grievance, the objective will be for the solution to be **implemented within 20 days** after assessing the grievance.

For solutions that take longer to address, or for which the complainants are not satisfied or additional corrective actions are required, the Project Community Relations Team will inform the complainants of the progress on a regular basis until the solution is completely implemented.

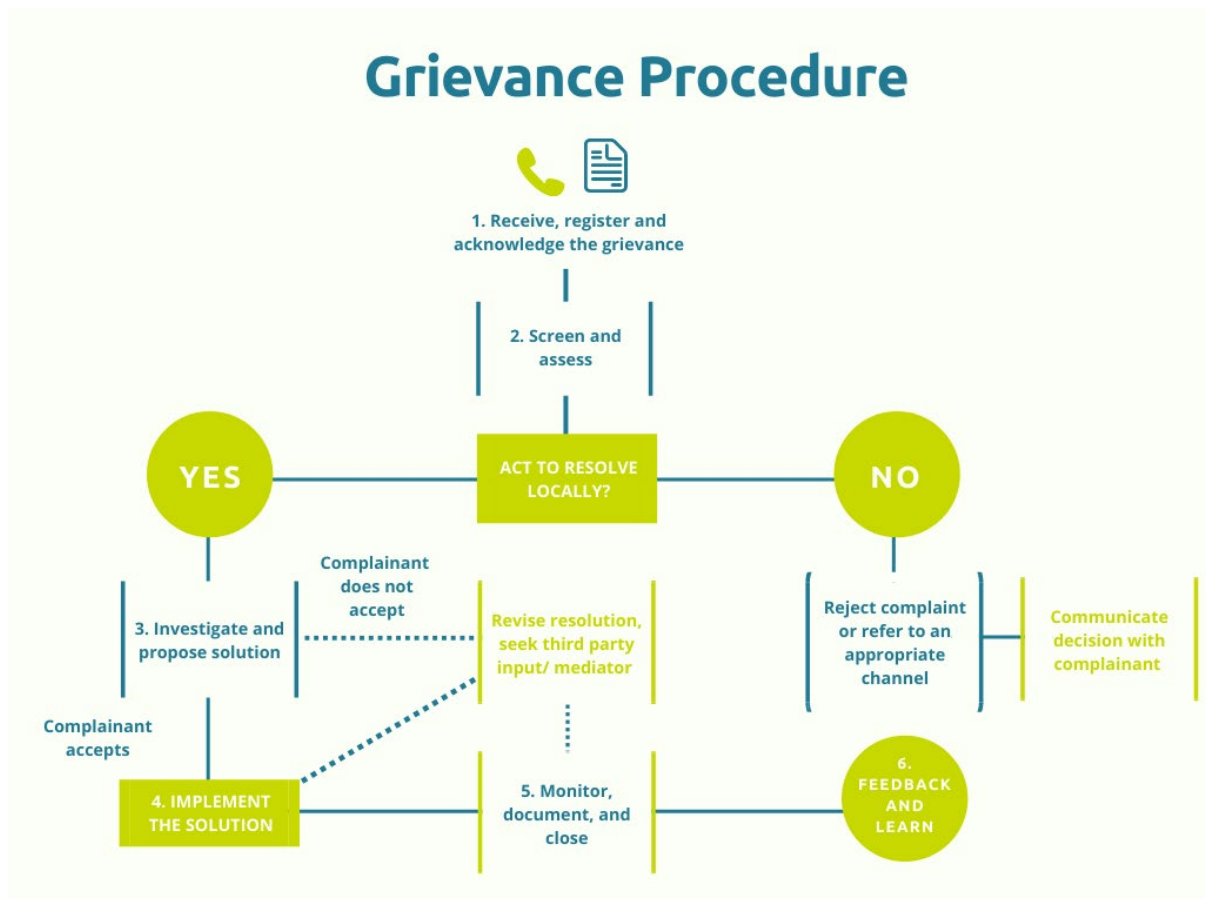
Inform the complainant once the resolution is implemented. The responsible team member will inform the complainant that the corrective actions have been implemented and confirm that the complainant is satisfied with the resolution.

7.8.9 Step 6: Grievance Close Out and Documentation

Monitor, document the grievance resolution process and close the grievance with sign-off from the Manager with the Project Director approval to close out Level 3 grievances. If further attention is required the responsible team member should return to Step 2 to re-assess the grievance.

All correspondence related to the grievance must be documented in the Stakeholder Engagement Management System for monitoring, reporting and learning. This will help drive continual improvement.

Figure 7-8: Steps in Grievance Mechanism



8 INSTITUTIONAL ARRANGEMENT

The planning and implementation of land acquisition and compensation for the Project involves designated roles and responsibilities of organizations at the national, provincial, and local levels. This section presents institutional arrangement and roles and responsibilities of different organizations involved in planning and implementation of RP.

8.1 GOL Institutional Arrangements

8.1.1 At National Level

The GOL, as party to the Concession Agreement, exercises its rights and obligations relating to this through the ministries and state bodies described in the concession agreement.

The Ministry of Energy and Mines (“MEM”) is the implementing agency (“Implementing Agency”) and has full power and authority to: (i) implement this Agreement, exercising the GOL’s rights and performing the GOL’s obligations hereunder; (ii) make decisions of the GOL relating to this Agreement; (iii) coordinate with other GOL agencies, departments and offices and to facilitate their performance in connection with this Agreement; (iv) resolve any issues relating to this Agreement and negotiate and finalize any amendment; and (v) exercise all other powers of the GOL with respect to this Agreement., acting through its Department of Energy Business (DEB), is the Implementing Agency for the exercise of the GOL’s rights and the performance of its obligations pursuant to the Concession Agreement (CA) including screening and checking the completeness of all related documents and bears overall responsibility for ensuring compliance with the provisions of Annex C of the CA.

The Implementing Agency shall act through the Department of Energy Business (“DEB”) from and after the Effective Date (and other than in respect of matters on which DPC is acting) with regard to: (a) implementing this Agreement, exercising the GOL’s rights and the performing the GOL’s obligations hereunder; (b) making decisions of the GOL relating to this Agreement; and (c) coordinating with other GOL agencies, departments and offices and facilitating their performance in connection with this Agreement; (d) invoicing and collecting budget amounts / funds; (e) carrying out routine Project monitoring, inspection and evaluation, utilizing relevant budgets therefor; (f) responding to any request by the Company to commence any Project-related work prior to the achievement of the Effective Date, determining and imposing any conditions or requirements to be satisfied by the Company for such early work and monitoring the Company’s performance of such early work and compliance with any applicable conditions or requirements; and (g) clarifying and resolving with the Company any issues arising under this Agreement and any Annexes (including consultation with all relevant departments of MEM which have been involved in the preparation, negotiation and finalization of this Agreement and its Annexes).

The Ministry of Natural Resources and Environment (MONRE), acting through its Department of Environment (DOE) and Natural Resources and Environment Inspection Office (NEIO), is the Technical Environmental Oversight Body regarding the exercise of the GOL’s rights and the performance of its duties pertaining to environmental and social issues as described in Annex C of the CA.

The Ministry of Labour & Social Welfare is the Health and Safety Oversight Body regarding the exercise of the GOL’s rights and the performance of its duties pertaining to health and safety issues as contemplated in Annex C of the CA.

To the extent that GOL undertakes any Project-related activities in accordance with Annex C of the CA, such activities shall be:

- i. in the nature of the performance of governmental responsibilities (e.g., monitoring, inspections, providing information, grant of Permits, imposition and collection of Penalties, advice and consultation with PAPs and relevant GOL Authorities, or
- ii. in the nature of the performance of any Measures and Compensation as specified under the Assessments and Plans to be GOL responsibilities.

The Company's responsibilities shall not be reduced or avoided by any incomplete performance by GOL hereunder.

GOL shall have the right to modify Measures and Compensation through mutual agreement with the developer at any time if GOL determines that:

the Measures and Compensation are insufficient or inadequate to mitigate the actual or likely Adverse Impacts of the Project, or to enable the Company to satisfy Additional Project Obligations,

- i. new information becomes known about how harmful the Adverse Impacts are or are likely to be or become,
- ii. the Project has Adverse Impacts which could not be foreseen at the time the Assessments and Plans were approved, or the Permits were issued,
- iii. the Adverse Impacts are greater than those anticipated impacts that formed the basis for the preparation of the Assessment and Plans and the issuance of the Permits, or
- iv. the size or boundary of the Project changes, or a subsequent extension of the Project development is proposed, changing the basis for the initial measures proposed and approved in the Assessments and Plans,
- v. Additional Standards or new, updated or revised Best Available Techniques and/or Best Practice are available, or
- vi. the Measures and Compensation are unnecessary or ineffective in mitigating the Adverse Impacts.

8.1.2 Planning and Implementation of RP

- The Project Management Committee (Compensation Committee), established by Prime Minister's Decree No.84, is responsible for ensuring the implementation of the GOL's obligations pursuant to Annex C of the CA.
- The Compensation Management Unit (CMU), which was established pursuant to give compensation policy on land and resource use impacts is responsible for the implementation of Annex C of the CA.
- A District Working Group (DWG) shall be a district level body established to coordinate and facilitate the implementation of Annex C of the CA.

8.1.2.1 Compensation Committee

The Compensation Committee is the GOL body with the overall responsibility for supervising and ensuring the correct and timely implementation of the Company's obligations under Clause 4.15 of the CA. The Compensation Committee shall:

- supervise, guide and manage the planning and implementation of the Compensation Plan by the Company and the Compensation Management Unit (CMU);
- consider and promptly respond to requests relating to compensations activities;
- approve and periodically review the implementation plan for the Compensation Plan;
- periodically report to the GOL through its implementing body and technical advisory body on the implementation of the Compensation Plan;
- issue decisions or notices as references for the implementation of the Compensation Plan;
- provide information to PAPs and other stakeholders concerning the development project, benefits and impacts, progress in the implementation of the Compensation Plan throughout the implementation of the Project;

- The Company shall continue to fund the CMO for as long as the Compensation Committee and its dependent bodies remain in place.

8.1.2.4.1 Staffing of the CMO

The CMO shall be staffed with suitably qualified temporary and permanent personnel including:

- a compensation manager who shall be responsible for high level negotiation and consultation with PAPs, facilitating meetings and managing and monitoring the overall performance of the CMU;
- technical support staff with suitable qualifications to provide technical expertise and supervision for health, water supply and sanitation, education, civil works, agricultural extension and other services provided by the Company or its contractors to PAPs and host communities;
- a team of compensation officers who shall provide explanation of compensation policy, monitor grievances and alert management to practical problems; and
- other support staff and drivers.

The Company shall ensure that the CMO is at all times adequately resourced and shall ensure that the staff of the CMO have necessary Lao and local language skills to enable communication with PAPs and local authorities, whilst also considering issues of gender and ethnicity.

The Company shall monitor performance of the CMO in terms of its ability to fulfil its obligations relating to compensation matters.

8.2 Company Arrangements

- The Company shall implement its obligations pursuant to Annex C relating to environmental and social issues through its Environmental and Social Management Office (ESMO)
- The Company shall implement its obligations pursuant to Annex C relating to Health and Safety issues through its Occupational Health and Safety Office

8.2.1 Environmental and Social Management Office

The Company shall within 90 days of the Effective Date establish the ESMO to act as the focal point for the implementation by the Company of its environmental and social obligations throughout the Construction Period and the Operation Period.

The ESMO shall:

- ensure compliance with the provisions of this Annex and any related licences or permits to which the Company is obliged;
- ensure that the environmental and social obligations of the Company are properly reflected in contracts and subcontracts;
- review and clear reports, plans and other documents submitted by the EPC Contractor and other Contractors related to this Annex;
- perform site inspections and undertake monitoring of environmental and social performance relating to this Annex and prepare and circulate relevant reports to internal and external stakeholders;
- liaise and cooperate with relevant GOL authorities and participate in joint inspections;
- document the implementation of all activities undertaken pursuant to this Annex to ensure compliance with its provisions;
- create and implement programs for all the budgeting and financial reporting for the operation of the ESMO; and

- undertake or arrange such internal and external audits as may be necessary to comply with the related requirements of the Concession Agreement, Annex C, and the relevant procedures of the Company.

8.2.1.1 Structure and resource requirements of the ESMO

The Company shall ensure that the ESMO is at all times adequately resourced and staffed so as to enable the Company to comply with its environmental and social obligations and to that end shall ensure that the staff of the ESMO have necessary language skills and capacity so as to enable routine communication and reporting in the Lao language.

During the Construction Period, the staff employed by the ESMO shall have, at a minimum, skill sets necessary to address the following subject areas:

- the environmental impacts of construction activities including protection against soil erosion;
 - management of wastewater, solid waste, hazardous waste and hazardous materials;
 - sampling and monitoring (e.g. for noise, dust, water quality);
 - biodiversity conservation (if applicable); and
 - communication, outreach, community liaison and grievance redress mechanisms.
- During the Operation Period, the ESMO staff shall have, at a minimum, skill sets necessary to address the following subject areas:
- water quality management and monitoring;
 - forest and wildlife management;
 - biodiversity management and monitoring;
 - communication, outreach, community liaison and grievance redress mechanisms.
 - The office locations, final structure and resources of the ESMO shall be identified in the ESMMP-CP and ESMMP – OP and therefore subject to approval by GOL.

8.3 Environmental Oversight Body

The Technical Environmental Oversight Body shall undertake its functions relating to this Annex through the Department of Environment (DOE)/ Natural Resources and Environment Inspection Office (NEIO) at national level as well as through the natural resource and environment offices at Province and Department level: Department of Natural Resources and Environment of Sekong and Attapeu Province.

- The Technical Environmental Oversight Body shall monitor the Company's compliance with its environmental and social obligations as set out in this Annex and other relevant assessments and plans and provide necessary technical support and coordination with other GOL entities including National Assembly representatives at the local levels on issues related to environmental and social obligations.
- The Technical Environmental Oversight Body shall coordinate as appropriate with relevant departments of GOL for the performance of such monitoring activities.
- The Company shall provide training, capacity building and financial support to the Technical Environmental Oversight Body, including the provision of training on the environmental and social obligations stipulated in the Annex and other relevant documents through DOE, vehicles and necessary technical equipment, to the offices at Province and District levels specified in paragraph a. above in order to enable them to fully discharge their functions pursuant to this Annex in accordance with the budget specified in Appendix Six of this Annex. To that end, within [90] days after the Effective Date the Company shall submit a draft Capacity Building and Support

Plan to the Technical Environmental Oversight Body for implementation until the commencement of the Operation Period .

- On receipt of a draft Capacity Building and Support Plan, the Technical Environmental Oversight Body may either accept the draft or request further information which the Company shall provide within [30] days or propose changes to the draft document and the parties shall negotiate in good faith to agree such changes.
- The Technical Environmental Oversight Body may contract one or more private professional firms for the provision of monitoring services, technical advisory services, including the provision of legal advice, and training and capacity building services in accordance with the budget specified in Appendix Six of Annex C and the Company shall bear.

8.4 Roles and Responsibilities

Figure 8-1 presents Project's organizational chart during construction phase and **Figure 8-2** presents Project's organizational chart during operational phase.

Key roles and responsibilities for implementing the Resettlement Plan are outlined in **Table 8.1**.

Table 8.1: Roles and Responsibilities

Role	Responsibilities
IEAD MD	<ul style="list-style-type: none"> ■ Has overall accountability for ensuring the implementation of this plan, and Project compliance with the commitments set out within it. ■ Provide sign-off and regular revision sign-off. ■ Allocate financial and human resources required for plan implementation. ■ Budget and decision-making support for the implementation of this plan. ■ Lead annual reviews and revisions/updates of the management plans. ■ Report on progress in implementing this management plan.
International Advisors (ESHS Advisors)	<ul style="list-style-type: none"> ■ To provide guidance to the team, and especially the ESHS Manager, on pro-actively managing the Project's environmental and social risks during construction and initial operations
ESHS Manager	<ul style="list-style-type: none"> ■ Has responsibility for day-to-day implementation. ■ Coordinate with various operational departments, implementing organisations, and other stakeholders. ■ Monitor and regularly review and update the plan. ■ Train applicable IEAD staff and contractors on the requirements of the plan. ■ Ensure Project compliance with the Project standards and other requirements set out in this Plan. ■ Ensure Plan is available to all IEAD employees and Principal Contractors. ■ Undertake regular audits to verify implementation of the Plan; report non compliances identified, define corrective actions, and follow-up through closure.
IEAD HR Manager	<ul style="list-style-type: none"> ■ Has responsibility for local employment and liaison with EPC Contractor to implement the local employment plan in this CEGDP
Senior Environmental, Health & Safety (H&S)	<ul style="list-style-type: none"> ■ Has responsibility for emergency planning. ■ Has responsibility for conducting Community H&S training, where applicable (e.g., emergency response planning) and ensuring that these training programs are culturally appropriate and ethnically sensitive. ■ Support Project compliance with the Project standards and other requirements set out in this Plan.

Role	Responsibilities
Social Specialist	<ul style="list-style-type: none"> ■ Ensures the CEGDP implementation in close coordination with the Joint Committee ■ Minimise the impact of their activities on local communities in the Social Aol/social context wherever practical and reasonable. ■ Comply with IEAD company policies and procedures and with the requirements of this plan. ■ Report actual and potential community health and safety and other impacts, from affected ethnic groups to the IEAD Executive and Lenders. ■ Refer any issues, concerns potential grievances to the IEAD Grievance Management Team.
Database, Information Management Specialists	<ul style="list-style-type: none"> ■ To management the database on project affected people including inventory of assets, detailed recording of compensation payments, tracking of benefits provided to affected people, maps and generating all necessary data for monitoring and reporting.
IEAD Grievance Management Team	<ul style="list-style-type: none"> ■ The Project Management Unit (PMU) will be responsible for tracking, investigating the issues, concerns and grievances from the affected communities ■ Liaise with village, district and provincial government officials and relevant line agencies in resolving grievances
Facility Operators (EHS/SHS Staff)/ Social Safeguard Officers (SSO)	<ul style="list-style-type: none"> ■ Coordinate and ensure synergy between project and communities ■ Facilitate public hearing and Public Audits for transparency and good governance.
All IEAD Contractors	<ul style="list-style-type: none"> ■ Ensure their operations comply with IEAD's policies and procedures, including requirements for managing community health and safety aspects as set out in this Plan. ■ Report actual and potential community health and safety impacts to relevant supervisor. ■ Report any known grievances to the Monsoon Grievance Management Team.

Aol = Area of Influence; CSR = Corporate Social Responsibility; E&S = Environmental and Social; H&S = Health and Safety

Figure 8-1: The Project Organization Chart for the Construction Phase

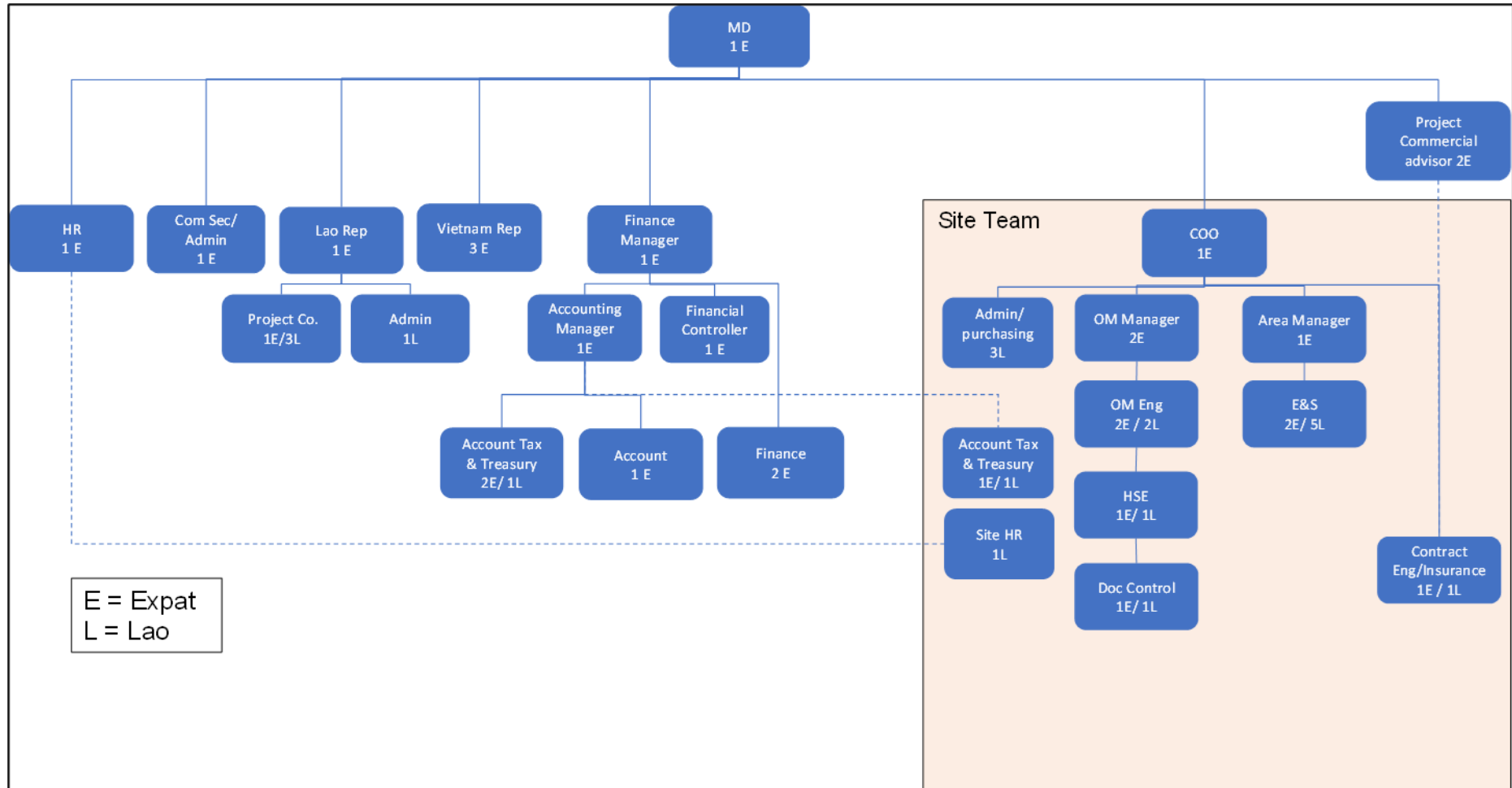


Figure 8-2: The Organization Chart for Operation Phase

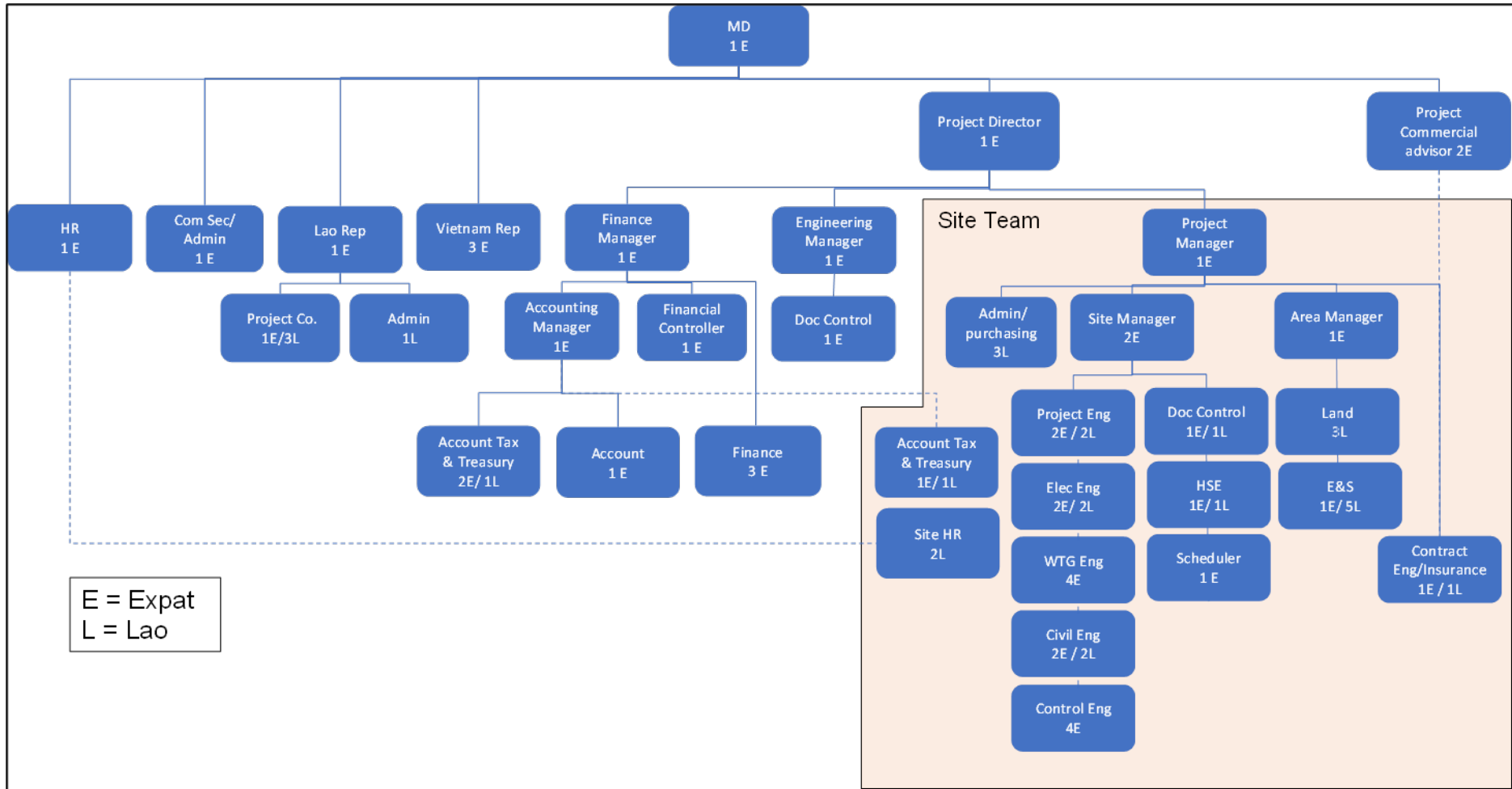


Table 9.1: Implementation Schedule

No.	Activity	2020				2021				2022				2023			
		Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4
1	Established compensation committee for Dak Cheung District, Sekong Province				26 Nov												
2	Established compensation committee for Sanxay District, Attapeu Province									7 Mar							
3	Agreement of unit rates for compensation for 600 MW wind farm project and 500 kV transmission line to be developed by IEAD in Dak Cheung District, Sekong Province										16 May						
4	Socio-economic household survey was conducted for ESIA preparation Preparation of RP								Nov- Dec Dec								
5	The Committee conducts a market survey of affect assets and consults with project affected villages regarding unit compensation rates for various types of assets.									30 Mar	12 May						
6	Detailed measurement survey 17 May to 21 June 2022 in Dak Cheung District 14-18 June 2022 in Sanxay District July 2022– revise access route to avoid permanent buildings, cemeteries, and main substation										May- July	May- July					
7	Revised EIA (2022) Approval											28 July					
8	Draft RP											Aug					
9	Formal issuance of cut-off date											End Aug					
10	Disclosure of the compensation policy and final unit compensation rates by the relevant government authority with support from the Project Developer											Mid Sep					
11	Final RP											16 Sep					
12	Carry out consultation with the PAPs on the compensation agreement form with all PAPs to inform them on the affected											Mid Sep	Mid Nov				

IEAD SUPPLEMENTARY E&S STUDY
Resettlement Plan

No.	Activity	2020				2021				2022				2023			
		Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4
	asset and compensation cost. After agreement, the PAPs will sign the compensation form and certified by village heads and the Committee																
13	Land for land compensation											Mid	Mid				
14	Cash compensation payment											Sep	Oct				
15	Report to the relevant committees on the completion of compensation											Mid	Mid				
16	Implementation of livelihood restoration programs*											Sep	Dec				
17	Monitoring and reporting**												Dec				
18	Construction																
19	Signing of the CA with GOL																
20	Set up of grievance committee																
21	COD****																

*Implementation of livelihood will continue throughout the Project life

**Monitoring and reporting will be conducted quarterly during construction, semi-annually during the first two years of operation, thereafter annually.

***Activities in October will be site preparation, design, etc. However, IEAD will ensure compensation disbursement prior to any land-related construction activities.

****COD is expected End December 2025

Table 9.2: Budget for Compensation Costs

Description	Unit	Year 1	Year 2	Year 3	..	Total
1) Financial compensation	USD					
2) Compensation provided in land						
Land acquisition costs						
Land management costs						
Land titling issuance & transfer costs						
Miscellaneous costs						
3) Compensation provided by way of materials						
4) Contingencies						
Total						

Table 9.3: Budget for Livelihood Restoration Activities

Description	Unit	Year 1	Year 2	Year 3	..	Total
1) Equipment and Materials						
Local Employment and Capacity Building						
Agriculture Production Enhancement and Animal Husbandry						
Women Led Home/Micro Business Support						
Education Scholarship Assistance						
2) Training and support						
Local Employment and Capacity Building						
Agriculture Production Enhancement and Animal Husbandry						
Women Led Home/Micro Business Support						
Education Scholarship Assistance						
3) Contingencies						
Total						

10 MONITORING AND EVALUATION FRAMEWORK

Monitoring and evaluation of the Resettlement Plan (RP) allows the project owner to ensure a smooth progress of the implementation of the Resettlement Plan. By reviewing the information on the progress of implementing the RP activities through monitoring, the project owner is able to understand the necessary feedback and possible impacts of previous steps and tasks ahead to better inform the risks underlying in the RP and make corresponding adjustments. Data is to be collected, analysed, evaluated, and maintained to demonstrate compliance with social and environmental obligations, as well as the requirements of the Laos legislation. Importantly, monitoring must also address the degree to which the RP activities have achieved their outcomes.

The RP monitoring and evaluation is embedded in the overall monitoring and evaluation approach of the Project. The monitoring distinguishes between internal and external components. The technical strategy of the RP monitoring should be partly based on a participative approach involving different stakeholders in a transparent and comprehensible manner, such as affected people, village representatives, vulnerable groups, local authorities, and ADB. Minority language will be considered if the Lao language and English language is not understandable during consultation

10.1 Key Performance Indicators and Monitoring

To measure the degree of achievements of the RP activity objectives, the internal and external monitoring will use a set of key monitoring indicators. Monitoring indicators are primarily sourced from baseline data to address the specific contents of the activities and entitlement matrix. These indicators (**Table 10.1**) are not exhaustive and could be revised as required during the implementation of the RP monitoring.

The monitoring indicators are planned to inform:

- RP implementation progress,
- compensation progress,
- replacement land development and status,
- budget and expenditures,
- quality of the compensation process, including issues relating to consultations, gender, ethnic minority, vulnerable groups, grievance, transparency and disclosure, and
- outcomes of the implementation of the approved RP.

Table 10.1 Indicative Monitoring Indicators

Performance Objective	Key Performance Indicator (KPI)
Disbursement of compensation payment	Compensation payments disbursed according to number and category of losses set out in the entitlement matrix (women, men, and vulnerable groups)
	Compensation payment disbursed against timeline
	Provision of replacement land plots
	Quality of new plots and issue of land titles
	Identification of the displaced persons losing land temporarily during construction, e.g. soil disposal, borrow pits, contractors' camps (women, men, and vulnerable groups)
Restoration of livelihoods	Number and percentage of displaced persons in the livelihood restoration program (women, men, and vulnerable groups)
	Number of displaced persons hired through project preferential employment (women, men, and vulnerable groups)

Performance Objective	Key Performance Indicator (KPI)
	<p>Number of displaced persons who receive vocational training (women, men, and vulnerable groups)</p> <p>Types of training and number of participants in each (women, men)</p> <p>Degree of satisfaction with livelihood programs (women, men)</p> <p>Percentage of displaced persons who improved their income (women, men, and vulnerable groups)</p> <p>Number of displaced persons with replacement agriculture land (women, men, and vulnerable groups)</p> <p>Quantity of land owned/contracted by displaced persons (women, men and vulnerable groups)</p> <p>Number of households with agricultural equipment (women, men)</p> <p>Number of households with large/medium/small livestock (women, men)</p> <p>Number of displaced persons who have restored their income and livelihood patterns (women, men, vulnerable groups)</p> <p>Number of displaced persons who improved their standard of living (women, men, and vulnerable groups)</p> <p>Percentage of successful enterprises breaking even (women, men, vulnerable groups)</p>
Meaningful consultation and Grievances	<p>Number of affected persons who know their entitlements</p> <p>Consultations organized as scheduled including meetings, groups, and community activities</p> <p>Level of participation in meetings of women, men, and vulnerable groups (specify if high, medium, low)</p> <p>Number of general meetings (for both men and women)</p> <p>Number of meetings exclusively with women</p> <p>Percentage of women out of total participants</p> <p>Have any displaced persons used the GRM? What were the outcomes? (women, men)</p> <p>Number of grievances solved</p> <p>Number of grievances unsolved</p> <p>What are the subjects of the grievances? (men, women)</p> <p>Level of information communicated – adequate or inadequate?</p> <p>Information disclosure to the displaced persons</p> <p>Percentage of translation of information disclosure in the local languages</p>
Budget and Time Frame	<p>Achieving resettlement implementation activities against the agreed implementation plan</p> <p>Funds allocation for resettlement to responsible implementation agencies on time.</p> <p>Funds disbursement according to the resettlement plan.</p> <p>Land acquisition and occupation in time for implementation.</p>
Institutional arrangement	<p>Percentage of land acquisition and resettlement staff been appointed and mobilized on schedule for the field and office work (women, men)</p>

Performance Objective	Key Performance Indicator (KPI)
	Percentage of capacity building and training activities completed on schedule (women, men)
	Has the external monitor contracted and mobilized?
Benefit monitoring	Changes in patterns of occupation, production, and resource use compared to pre-project situation (women, men)
	Changes in income and expenditure patterns compared to pre project situation (women, men)
	Changes in cost of living compared to pre-project situation (women, men)
	Changes occurred for vulnerable groups
	Have displaced persons' incomes kept pace with these changes? (women, men)
Employment and capability building	Employment priority for severely significant impacted people and vulnerable groups
	Number of recruitments of unskilled workers (women and men)
	Recruitments of semi-skilled workers
	Percentage of skilled workers are women and/or vulnerable peoples
Education	Number of students receive scholarship
	Number of children receive sponsorship based findings. Preferential beneficiary will be vulnerable and indigenous children
	Number of disabled and handicapped children receive support on learning activities
	Number of girls obtain education and opportunities against boys
Women Led Home/Micro Business Support	Number of women undertake alternative/additional income generating activities such as weaving
	Number of women undertake home-based income generating activities
	Number of women undertake capacity building
	Number of women generate improved income and livelihood

10.2 Monitoring process

10.2.1 Internal monitoring process

The objective of internal monitoring is to (i) measure and report on the progress in the preparation and implementation of the approved RP; (ii) identify problems and risks, if any, and the measures to mitigate them; and (iii) assess if the compensation and other assistance provided are in accordance with provisions of the approved RP.

IEAD will monitor and measure the progress of implementation of the RP in collaboration with the Compensation Management Office (CMO), District Working Group (DWG), Compensation Management Unit (CMU), and other relevant local authority to ensure full compliance with its obligations pursuant and will notify any breaches or non-compliance. IEAD is responsible for conducting the internal monitoring of RP implementation and submit quarterly progress report during construction and semi-annual progress report during operation for the first two years, thereafter annually to ADB and other Lenders including AIIB, DEG, FMO and JICA for supervision and disclosure. The progress reports will describe the progress of the implementation of the RP activities and any compliance issues and corrective actions. The semi-annual report should closely follow the resettlement monitoring indicators. In addition, it shall be ensured that any results of self-monitoring

prescribes MONRE's responsibility as "*The Technical Environmental Oversight Body shall coordinate as appropriate with relevant departments of GOL for the performance of such monitoring activities.*"

Monitoring by Other External Bodies

Further external monitoring will be undertaken by organizations not directly integrated into the Project's organization structure e.g., national and international NGOs and media or other interested parties. The Project will disclose information and monitoring report in an open and transparent way in compliance with national and international standards. Other monitoring bodies may request to visit the Project site, the Project will assist these monitoring bodies on site visit with Company's health and safety policy, procedure and practice apply at the immediate construction site.

10.3 Reporting

During the period from the first day of the month immediately after the commencement of the Construction period through and including the end of the Concession period, IEAD is required to prepare, quarterly monitoring and reporting during construction and semi-annual monitoring and reporting during operation for the first two years, thereafter annually. These monitoring reports are readily disclosed on ADB website that include the progress in compensation payments, as well as other resettlement and livelihood restoration activities. The monitoring reports should be disclosed on IEAD's website as well. The relevant information in the monitoring reports should also be disclosed to the affected persons which at least includes implementation status of the RP, information on the compensation disbursement, land and asset acquisition and relocation progress, livelihood/income restoration progress and any information on benefit sharing, and any new-identified compliance issues and corrective action plan. The following information should be made available:

- A downloadable form of the publication regarding environmental and social matters
- Publications and retention of printed materials at a publicly accessible place
- Use of relevant ethnic languages and appropriate techniques to ensure acknowledgement and understanding of all PAPs and affected communities.

In addition to reporting to ADB and other Lenders and disclosure of monitoring reports on ADB and IEAD website, Annex C to the CA regulates that the Company shall regularly report to the Compensation Committee and the Implementing Agency on the status of the implementation of the Compensation Plan.

- To this end, in connection with the Compensation Plan, during the period from the Effective Date until three (3) years, the Company shall prepare and submit semi-annual reports covering the following items:
 - the progress made to implement the Compensation Plan against the relevant implementation programs, results of monitoring the social indicators in the monitoring program of the activities, any difficulties encountered in implementing the activities and recommendations for remedying those difficulties for the future,
 - the number and type of instances of non-compliance with the activities, the impacts of such incidents, and proposed remedial measures, and any monitoring data of social indicators for such period. Thereafter, such progress reports shall be made quarterly until such time as the Compensation Committee is dissolved.
- The Company shall also prepare and submit annual reports covering: a summary of the items covered by required monthly or quarterly progress reports, a Gantt diagram showing the implementation of measures carried out pursuant to the Compensation Plan during the period against what was planned, progress of planned outputs and performance objectives, significant problems encountered and remedial measures taken, and identification of any deviation from the Plans or the obligations in Annex C.

- The Company shall immediately notify the Compensation Committee and the Implementing Agency as to any serious complaint, problem, or non-compliance or where the urgent attention of the Compensation Committee or the Implementing Agency is required. The Company shall submit all reports specified under this clause to the Compensation Committee and the Implementing Agency.

10.3.1 Mid-Program Review and Resettlement Completion Audit

The key objective of mid-program review completion audit by external valuation is to determine whether the Project's effort to restore the living standards and livelihood of the PAPs have been properly implemented. The audit should verify that all commitments in the RAP have been delivered. In addition, the audit should also evaluate if the mitigation measures proposed in the RAP contributed to desired effect. The socio-economic status of the affected population should be measured against the baseline conditions of the population before economic displacement.

The mid-program audit should be undertaken during the implementation of RAP as this will enable the Project to undertake corrective actions (if any) as recommended by the auditors in the remaining process of RAP. The completion audit should take place after all RAP inputs have been completed, but well before ADB's financial commitments to the Project developer have been met. The timing of the completion audit allow the Project developer to undertake corrective action (if any) as recommended by the auditors before the Project is complete. The completion audit should bring to closure the Project developer's liability for resettlement, compensation, and livelihood restoration.

11 REFERENCES

To be completed

APPENDIX A: NO. 160 COMPENSATION COMMITTEE SEKONG

APPENDIX B: MINUTE OF MEETING, SEKONG, 17 FEB 2022

APPENDIX C: MINUTE OF MEETING, SEKONG, 31 MAR 2022

APPENDIX D: NO.32 COMPENSATION UNIT RATES FOR SEKONG (LAOS AND ENGLISH)

APPENDIX E: DMS SURVEY FORM (SEKONG AND ATTAPEU)

APPENDIX F: MINUTE OF MEETING (SEKONG) 17 FEB 2022

APPENDIX G: NO. 307 COMPENSATION COMMITTEE ATTACHEU

**APPENDIX H: MINUTE OF MEETING VILLAGE CONSULTATION, ATTAPEU,
30 MAR 2022**

APPENDIX I: REPORT ON VILLAGE CONSULTATION, ATTAPEU, 12 MAY 2022

APPENDIX J: NO.601 COMPENSATION UNIT RATES FOR ATTAPEU (LAOS AND ENGLISH)

APPENDIX K: SUMMARY OF LOCAL EIA STAKEHOLDER ENGAGEMENT

APPENDIX L: ESIA PRESENTATION-VILLAGES CONSULTATION

APPENDIX M: SUMMARY OF ESIA STAKEHOLDER ENGAGEMENT

APPENDIX N: FDG AND KII TOOLS

APPENDIX O: PRESENTATION FOR CONSULTATION ACTIVITY JULY 2022

APPENDIX P: REGISTRATION FORM, JULY 2022

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