Resettlement Policy Framework (RPF)

July 2018

Samaj Vikas Development Support Organisation
# Table of Contents

List of Acronyms .......................................................................................................................... 3  
Glossary of Resettlement & Rehabilitation Terms ........................................................................ 4  
1 Resettlement Policy Framework .................................................................................................. 7  
  1.1 Introduction .................................................................................................................................. 7  
  1.1.0 Land Requirement for Project .................................................................................................. 7  
  1.1.1 Encroachers and Squatters ...................................................................................................... 7  
  1.1.2 Objective of RPF ......................................................................................................................... 7  
  1.1.3 Options for the Project .................................................................................................................. 8  
  1.1.4 Option 1: Land Acquisition using RFCTLARAR Act 2013 .................................................... 8  
  1.1.5 Option 2: Negotiated Settlement/ Direct Purchase .................................................................. 8  
  1.1.5.1 Principles to be followed under Negotiated Settlement/ Direct Purchase .............. 8  
  1.1.6 Categories and Identification of AFs/ APs .............................................................................. 9  
  1.1.6.1 Categories of Project AFs/ APs ......................................................................................... 9  
  1.1.6.2 Identification of AFs/ APs ........................................................................................................ 9  
  1.1.7 Cut-Off Date ............................................................................................................................... 10  
  1.1.8 Valuation of Structures and Assets at Replacement Cost ..................................................... 10  
  1.1.9 Common Property Resources .................................................................................................. 11  
  1.1.10 AIIB Policy on Resettlement .................................................................................................. 11  
  1.1.11 Minimum R&R Entitlements under RTFCTLARR Act 2013 ........................................... 12  
  1.1.12 Special Provisions for SCs and STs ........................................................................................ 13  
  1.1.13 Entitlement Matrix .................................................................................................................... 13
## List of Acronyms

<table>
<thead>
<tr>
<th>Acronym</th>
<th>Full Form</th>
</tr>
</thead>
<tbody>
<tr>
<td>AF</td>
<td>Affected Family</td>
</tr>
<tr>
<td>AIIB</td>
<td>Asian Infrastructure Investment Bank</td>
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<tr>
<td>AP</td>
<td>Affected Person</td>
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<tr>
<td>APL</td>
<td>Above Poverty Line</td>
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<tr>
<td>AP RFCTLARAR</td>
<td>Andhra Pradesh Right to Fair Compensation and Rules</td>
</tr>
<tr>
<td>ARAP</td>
<td>Abbreviated Resettlement Action Plan</td>
</tr>
<tr>
<td>BPL</td>
<td>Below Poverty Line</td>
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<tr>
<td>CA</td>
<td>Community Assets</td>
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<td>CPR</td>
<td>Common Property Resource</td>
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<td>EMP</td>
<td>Environment Management Plan</td>
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<tr>
<td>ESP</td>
<td>Environmental and Social Policy</td>
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<tr>
<td>ESS</td>
<td>Environmental and Social Standards</td>
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<tr>
<td>GoAP</td>
<td>Government of Andhra Pradesh</td>
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<tr>
<td>PRED</td>
<td>Panchayat Raj Engineering Department</td>
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<tr>
<td>PWD</td>
<td>Public Works Department</td>
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<tr>
<td>R&amp;R</td>
<td>Resettlement and Rehabilitation</td>
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<tr>
<td>RAP</td>
<td>Resettlement Action Plan</td>
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<tr>
<td>RFCTLARAR Act</td>
<td>The Right to Fair Compensation and Transparency in Land Acquisition and Rehabilitation and Resettlement Act, 2013</td>
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<tr>
<td>RoW</td>
<td>Right of Way</td>
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<tr>
<td>RP</td>
<td>Resettlement Plan</td>
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<tr>
<td>RPF</td>
<td>Resettlement Policy Framework</td>
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<tr>
<td>SC</td>
<td>Schedule Caste</td>
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<tr>
<td>SoR</td>
<td>Schedules of Rates</td>
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<tr>
<td>ST</td>
<td>Schedule Tribe</td>
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Glossary of Resettlement & Rehabilitation Terms

- **Agricultural Land** means lands being used for the purpose of: (i) agriculture or horticulture; (ii) raising of crops, grass or garden produce; and (iii) land used by an agriculturist for the grazing of cattle, but does not include land used for cutting of wood only.

- **Assistance** refers to the support provided to Aps/AFs in the form of ex-gratia payments, loans, asset services, etc. in order to improve the standard of living and reduce the negative impacts of the project.

- **Below Poverty Line (BPL) Family** means below poverty line families as defined by the Planning Commission of India, from time to time, and those included in the State BPL list in force.

- **Compensation** refers to the amount paid under RFCTLRAR Act 2013, for private property, structures and other assets acquired for the project. It refers to the amount as given in the Entitlement Matrix for the project.

- **Cut-off Date** is the date of Notification under Preliminary Notification issued in FORM IV and as provided in ACT 2013 will be the cut-off date where the land acquisition will be required. For Non-titleholders the date of socio-economic survey will be considered as the cut-off date.

- **Encroachers** are those persons who have extended their building, business premises or work places into government lands. Assistance will be provided to these persons, based on their loss.

- **Family** includes a person, his or her spouse, minor sons, unmarried daughters, minor brothers, unmarried sisters, father, mother and other relatives residing with him or her and dependent on him or her for their livelihood; and includes “nuclear family” consisting of a person, his or her spouse and minor children; Provided that widows, divorcees and women deserted by families and major sons, daughters, brothers and sisters shall be considered separate families.

- **Affected Family** includes (1) a family whose land or other immovable property has been acquired; (2) a family which does not own any land but a member or members of such family may be agricultural labourers, tenants including any form of tenancy or holding of usufruct right, share-croppers or artisans or who may be working in the affected area for three years prior to the acquisition of the land, whose primary source of livelihood stand affected by the acquisition of land; (3), the Scheduled Tribes and other traditional forest dwellers who have lost any of their forest rights recognised under the Scheduled Tribes and Other Traditional Forest Dwellers (Recognition of Forest Rights) Act, 2006 due to acquisition of land (4) family whose primary source of livelihood for three years prior to the acquisition of the land is dependent on forests or water bodies and includes gatherers of forest produce, hunters, fisher folk and boatmen and such livelihood is affected due to
acquisition of land; (5) a member of the family who has been assigned land by the State Government or the Central Government under any of its schemes and such land is under acquisition; (6) a family residing on any land in the urban areas for preceding three years or more prior to the acquisition of the land or whose primary source of livelihood for three years prior to the acquisition of the land is affected by the acquisition of such land.

- **Government** refers to the Government of Andhra Pradesh.

- **Land Acquisition** or **Acquisition of Land** means acquisition of land under RFCTLARAR Act 2013.

- **Non-Perennial Crop** is any plant species, either grown naturally or through cultivation that lives for a season and perishes with harvesting of its yields has been considered as a non-perennial crop in the project. For example, paddy, sugarcane, groundnut, etc.

- **Notification** means a notification published in the Gazette of India, or as the case may be, the Gazette of State.

- **Perennial Crop** is any plant species that live for years and yields its products after a certain age of maturity is a perennial crop. Generally trees, either grown naturally or by horticultural and yield fruits or timber have been considered as perennial crop in the project. For example, tamarind, coconut, mango, teak, neem etc. are perennial crops.

- **Affected Persons (APs)** are any persons who have economic interests or residence within the project impact corridor and who may be adversely affected directly by the project. Affected persons include those displaced, those losing commercial or residential structures in whole or part, those losing agricultural land or homesteads in whole or part, and those losing income sources as a result of project action.

- **Replacement Cost** of the acquired assets and property is the amount required for the affected house hold to replace/reconstruct the lost assets through purchase in the open market. Replacement cost will be calculated at PRED/PWDs current Schedule of Rates without depreciation. Replacement cost will be in line with the provisos of the Entitlement Matrix of the project.

- **Severance of Land** Severance of Land can be defined of a land holding divided into two or more pieces due to acquisition of land mainly for laying new project alignment, such as a bypass or a re-alignment.

- **Squatter** means those persons who have illegally occupied government lands for residential, business and or other purposes.

- **Tenants** are those persons having any form of tenancy agreements, written or unwritten, with a private property owner with clear property titles, to occupy a structure or land for residence, business or other purposes.

- **Vulnerable groups** are persons such as differently abled, destitutes, widows, minorities, SCs and STs, orphans, persons above sixty years of age.
• **Women Headed Household** A household that is headed by a woman and does not have a male earning member is a Woman Headed Household. This woman may be a widowed, separated or deserted person.
1 Resettlement Policy Framework

1.1 Introduction

This Resettlement Policy Framework (RPF) is prepared for the Andhra Pradesh Rural Roads Connectivity Project (APRRCP) to be funded by Asian Infrastructure Investment Bank (AIIB) in Andhra Pradesh, to be implemented by Government of Andhra Pradesh (GoAP) through Panchayath Raj Engineering Department (PRED). This RPF is drawn in accordance with The Right to Fair Compensation and Transparency in Land Acquisition and Rehabilitation and Resettlement Act, 2013 (RFCTLARAR Act 2013) and Andhra Pradesh Right to Fair Compensation and Transparency in Land Acquisition and Rehabilitation and Resettlement Rules, 2014 (APRFCTLARAR Rules 2014), and generally accepted practices and principles of resettlement and rehabilitation. The framework has been developed based on the following policies/ legislations:

- The Right to Fair Compensation and Transparency in Land Acquisition and Rehabilitation and Resettlement Act 2013 (RFCTLARAR Act 2013)
- The Asian Infrastructure Investment Bank (AIIB)’s Environmental and Social Policy and Environmental and Social Standards (ESS), in particular, ESS 2 (Involuntary Resettlement) and ESS 3 (Indigenous Peoples)

1.1.0 Land Requirement for Project

It is envisaged that no land acquisition will take place during implementation of the APRRCP. However, if any land acquisition is required, this RPF will act as guide for mitigating the social impacts that would be triggered by the sub-projects under each of the rural road projects to be taken up by GoAP with AIIB funding.

1.1.1 Encroachers and Squatters

It is understood that the land required for the project is available with the Panchyat Raj and Rural Development Department. In addition to this, the project would construct the roads within the available Right of Way (RoW). The field observations show that a) some of these land is encroached upon at some locations, b) some squatters do exist within the RoW and c) at a few places small strips of land (public/ private/ forest) is required. As the land requirement is minimum, this RPF focusses on the encroachers and squatters and on treating them fairly and justly.

1.1.2 Objective of RPF

The primary objective of this RPF is to provide better standard of living to the project Affected Families (AFs)/ Affected Persons (APs) or at least restore their standard of living as it was before project. If the AFs/ APs belong to Below Poverty Line (BPL) category before the project, then this RPF aims to bring them Above Poverty Line (APL). The other objectives of this RPF are to:
Avoid or minimize involuntary resettlement where feasible, exploring all viable alternative project designs.

- Assist AFs/ APs in improving their former living standards, income earning capacity, and production levels, or at least in restoring them.
- Encourage community participation in planning and implementing resettlement.
- Provide assistance to AFs/ APs regardless of the legality of land tenure.

While the RPF is developed based on principles of the LARAR Act 2013, there may be situations when the Project proponents will acquire land through Negotiated Settlement. Negotiated Settlement will be necessary for securing small strips of land required for the project. The relevant principles to be followed are prescribed in the following sections.

1.1.3 Options for the Project

If any land is required for the project, it will be secured through one of the methods prescribed below. If any forest land required, the relevant procedures will be applied and the required permissions/ approvals/ consent will be obtained from the forest department. However, keeping in mind any eventuality of private land requirement, the following options are proposed for procuring/ acquiring private lands:

1. Option 1: Land acquisition using LA Act 2013
2. Option 2: Negotiated Settlement/ Direct Purchase

1.1.4 Option 1: Land Acquisition using RFCTLARAR Act 2013

The process for Land Acquisition under this Act has, broadly, three distinct procedures; they are a) Preliminary notification under LA, b) Publication of declaration under LA and c) Compensation to land owners.

1.1.5 Option 2: Negotiated Settlement/ Direct Purchase

Enquiries with the PRED reveal that the PRED has experience of negotiated settlement/ direct purchase of private lands for public purposes. Hence, this method can be adopted, on a willing seller and willing buyer basis, to avoid delays. However, the consideration cannot be less than the sum of compensation, R&R entitlements and other benefits under LA Act. Each seller need to be thoroughly briefed about the provisions under the LA Act 2013, in particular about the compensation, R&R entitlements, tax exemptions, other benefits, etc. in a Gram Sabha meeting. This entire process of obtaining free and prior informed consent and the purchase need to be clearly and thoroughly documented.

1.1.5.1 Principles to be followed under Negotiated Settlement/ Direct Purchase

The following principles will apply for Negotiated Settlement and Direct Purchase under the project implemented with AIIB assistance.

- Compensation and Rehabilitation and Resettlement assistance will be paid before displacement.
- All compensation will be at replacement cost.
• No sub-project civil works will be initiated unless compensation for land and assets and rehabilitation and resettlement assistance is provided in full to all eligible AFs and APs.

• Livelihood Assistance will be given in form of Income Generation Assets (IGA) to be chosen by the AFs/ APs. The Project will provide information to the AFs/ APs on alternative income generation activities suitable for the area and help them in making choices.

• The Project will monitor the provision and performance of the IGA through appointed Consultants.

• Progress related to payment of land acquisition compensation and Rehabilitation and Resettlement entitlements will be thoroughly documented and quarterly reports will be sent to the AIIB.

• Having no title to the land should not be a deterrent to payment of entitlements under this project as per the entitlement matrix. The encroachers and squatters to be displaced will be paid entitlements as per the entitlement matrix. The temporary disruptions to the livelihoods to be paid as per the provisions of entitlement matrix.

• Under Negotiated Settlement/ Direct Purchase the compensation cannot be below the compensation under LA Act 2013.

1.1.6 Categories and Identification of AFs/ APs

1.1.6.1 Categories of Project AFs/ APs

The lands acquired will normally be agricultural, residential and commercial lands in rural, areas. Taking these into account, the following broad categories of AFs/ APs are identified:

1. Titleholders
   a. Agricultural
   b. Residential
   c. Commercial
   d. Institutional (both Government and Private)

2. Encroachers/ Squatters with no valid title
   a. Agricultural
   b. Residential
   c. Commercial

3. Tenants/ Sharecroppers
   a. Agricultural
   b. Residential
   c. Commercial

1.1.6.2 Identification of AFs/ APs

The project proposes community participation through participation in identification of AFs/ APs, mobilizing community in implementing RAPs (if any), and in addressing community’s grievances if any. The following process will be adopted to identify AFs/ APs:

• Once the land required is identified, it is classified as either government land or encroached land and/or private land based on ownership status
• The project announces a cut-off date as the Baseline Socio-Economic survey date for identification of affected people.
• The project will identify the encroachers and titleholders as per the ownership status with the help of community members and relevant documents.
• Based on this information the Project proponents will arrive at the total number of AFs/ Aps.

A detailed census based socio-economic survey will be conducted and extensive consultations will be held with the AFs/ APs. Each target community will be identified and differentiated on the basis of their source and level of income. The survey will focus on land and various productive assets including wages. This information will be used to determine the nature and extent of livelihood support/assistance (over and above the provision made for compensation) required to restore adequate income levels. All these measures will be taken only after consulting the affected families and wider community. This approach will help the project in achieving its objective of ensuring that no affected household becomes poorer with the intervention.

1.1.7 Cut-Off Date

For preparing a list of AFs/ APs, a Socio-economic survey of the affected families will be done during the planning phase of a sub-project. The list will be appended to the sub-project Detailed Project Report (DPR). The date on which the socio-economic survey is conducted will serve as the cut-off date. No additions to this list will be made unless authorized with concrete proof by the respective Project Director/ Engineer-in-Chief.

1.1.8 Valuation of Structures and Assets at Replacement Cost

A. Structures

The compensation for structures includes market price of the assets to build/ procure at replacement asset, or to repair, if affected partially. In determining the replacement cost, depreciation of the asset and the value of salvaged materials are not taken into account. Compensation for trees, crops and other assets will be based on the replacement value using existing prices prepared by relevant agencies, taking into account their productivity and/or local market prices. An addition of 30% is added to the replacement value.

The project shall deploy its Experts in civil engineering/ geology/ agriculture/ horticulture as required or alternatively hire the services of government approved Valuers for valuation of structures and other immovable assets. The objective of this exercise is to establish the extent of loss and estimation of replacement cost. The major tasks are as follows:

1. Measurement of affected structure/ immovable assets
2. Establishing construction typology
3. Establishing extent of loss
4. Estimation of replacement cost

The measurement will provide required information for valuation. For valuation, the latest Schedules of Rates (SoR) of the PRED/ PWD will be applicable. This SoR provides the consolidated unit rates for permanent, semi- permanent and temporary construction. Details
as to how such consolidated unit rates have been arrived at is also explained, generally in the respective SoRs. Using the analysis as guide, the Expert/ Valuer can arrive at the compensation value of a structure/ asset. Various SoRs also provides rates for hand pumps, dug-wells, tube wells, etc. including installation charges. Extent of loss would be determined primarily in terms of the portion of the structure affected. While calculating replacement cost the following principles need to be kept in mind:

- If a structure/ asset is affected 50% or more, then consider the whole structure as affected. When a portion of the structure is affected, and if it becomes unviable for use as per the AF/ AP, then consider the whole structure as affected.
- Do not depreciate the cost of the structure/ asset for its age.
- Add 30% extra over and above the estimated cost to arrive at replacement value.
- Allow the AFs/ APs to salvage and carry, for free, any materials for their use. Do include the cost of salvaged material in the replacement cost.

### 1.1.9 Common Property Resources

Grazing lands, places of worship, places of heritage value, burial grounds, water points, community wells, bore wells for drinking water, roads, pathways, community meeting places, wood lots, etc. are categorized under this heading. These resources will be restored to an acceptable level at an appropriate place as agreed with the community. Community will be fully involved in their replacement. Compound walls of residential housing colonies/ commercial structures will be treated as Common Property Resources. Any privately owned places of worship will be treated as private properties and the compensation and entitlements benefits will be assessed accordingly as per the entitlement matrix.

### 1.1.10 AIIB Policy on Resettlement

The above national policies are consistent with the AIIB Policies on Involuntary Resettlement. The AIIB’s Environmental and Social Standard 2: Involuntary Resettlement, which is applicable aims to avoid or minimize involuntary resettlement. In order to manage resettlement sustainably, the standard prescribes a screening process to determine the scope and application of this standard; both physical and economic displacement either full or partial or permanent or temporary. When adverse impacts do not fall within the definition of Involuntary Resettlement, then such impacts are avoided/ minimized/ mitigated/ compensated for. As per these standards, the client is required to develop and implement a management plan to restore the livelihoods of affected persons to at least pre-project level or better.

The Client is required to undertake a) planning through survey of land and asset losses, census of affected persons and establishment of a socio-economic baseline, b) prepare a Resettlement Plan (RP) elaborating on displaced persons’ entitlements, income and livelihood restoration strategy, institutional arrangements, monitoring and reporting framework, budget and a time-bound implementation schedule. In the baseline information, customary rights, collective or communal forms of land tenure needs to be included. The gender perspective needs to be considered in the above context. The affected persons need to be consulted during the entire process of planning and implementation. This RP needs to be disclosed. When displacement is only economic, a livelihood restoration plan needs to be prepared. When impacts are minor or fewer than 200 affected people, an Abbreviated Resettlement Plan (ARP) is prepared. The present RPF is prepared as the present project consist of a series of activities

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whose details are yet to be available. The RP/ ARP is prepared in line with the following principles:

1. Proportionality is ensured by making the RP/ ARP consistent with the extent and degree of the impacts.
2. The client should carry out meaningful Consultations with affected and host communities including all stakeholders, in the true sense of Free Prior Informed Consultations.
3. A Grievance Redress Mechanism is established for resolution of grievances of the affected persons.
4. The client provides Social Support by supporting the social and cultural institutions of affected and host communities.
5. The client takes up Livelihood Restoration, to improve, or at least restore, the livelihoods of all affected persons.
6. Resettlement Assistance is provided to the affected persons with needed assistance including security of tenure, transitional support and civic infrastructure and community services.
7. Improve the Standards of Living of the poor and other vulnerable groups affected.
8. Ensure that affected Persons without Title or Legal Rights, are eligible for, and receive, resettlement assistance and compensation for loss of non-land assets.
9. Develop procedures for Negotiated Settlement in a transparent, consistent and equitable manner if land acquisition or changes in land use rights are acquired through negotiations.
10. The implementation of the Involuntary Resettlement should be a part of the project and should be conducted before construction works are initiated.
11. Pay Compensation and Entitlements before any physical or economic displacement under the project.
12. Provide close Supervision of the implementation of the resettlement plan throughout project implementation.
13. Use suitably qualified and experienced experts, for Monitoring and assessing resettlement outcomes, impacts on the standards of living of affected persons, achievement of objectives, etc. and disclose the monitoring reports.
14. Use suitably qualified and experienced third parties to support monitoring programs.

1.1.11 Minimum R&R Entitlements under RTFCTLARR Act 2013

The following are the minimum R&R entitlements under this Act:

1. Subsistence allowance at Rs. 3000/- per month per family for 12 months.
2. The AFs/ APs shall be entitled to: (a) Where jobs are created through the project, mandatory employment for one member per affected family or (b) Rs. 5,00,000/- per family; or (c) Rs. 3,000/- per month per family as annuity for 20 years, with appropriate index for inflation; The option of availing (a) or (b) or (c) shall be that of the AF/ AP.
3. If a house is lost in rural areas, a constructed house shall be provided as per the Indira Awas Yojana specifications. If a house is lost in urban areas, a constructed house shall be provided, which will be not less than 50 sq. m. in plinth area. In either case the equivalent cost of the house may also be provided in lieu of the house as per the preference of the project affected family.
4. Rs. 50,000/- for transportation.
5. A one-time Resettlement Allowance of Rs. 50,000/-. 

1.1.12 Special Provisions for SCs and STs

In addition to the R&R package, SC/ST families will be entitled to the following additional benefits:

1. One-time financial assistance of Rs. 50,000/- per family.
2. Families settled outside the district shall be entitled to an additional 25% R&R benefits.
3. Payment of one third of the compensation amount at very outset.
4. Preference in relocation and resettlement in area in same compact block.
5. Free land for community and social gatherings.
6. In case of displacement, a Development Plan is to be prepared.
7. Continuation of reservation and other Schedule V and Schedule VI area benefits from displaced area to resettlement area.

1.1.13 Entitlement Matrix

This Entitlement Matrix is developed giving various entitlements for all categories of AFs/ APs, based on RTFCTLARR Act 2013. This Matrix can be used as a guide for preparing RAPs/ ARAPs for sub-projects. All the families will be entitled to two broad categories of assistance; 1) Compensation for land loss; and 2) Rehabilitation and Resettlement and Livelihoods assistance for starting some income generation activity, which may include the purchase of lands, as decided by the AF/ AP. The livelihood assistance in the matrix are rather indicative (as they are average figures), whereas, the actual assistance will relate to, at the minimum restoring, if not enhancing the pre-impact income levels. It may also be noted that livelihood assistance figures have been worked out such as to yield an annual income of Rs. 36,000/- per family, an income level corresponding to the initial ladder of the APL. The amounts given in the Entitlement Matrix are for the financial year 2014-15. After this year, these amounts will be increased by 10% every year to compensate for inflation. Details related to the entitlements are presented in the matrix below.

<table>
<thead>
<tr>
<th>S. No.</th>
<th>Impact Category</th>
<th>Entitlements</th>
<th>Implementation Guidelines</th>
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<tbody>
<tr>
<td>A. IMPACT TO SQUATTERS / ENCROACHERS - Those in the existing right of way where no land acquisition is done.</td>
<td>a. The market value of structures and other immovable properties will be determined by PWD on the basis of relevant PWD Schedule of Rates (SR) as on date without depreciation or replacement cost, whichever is higher. &lt;br&gt;b. For partly affected structures, if the remaining portion is unviable for usage, then compensation for the entire structure shall be given. &lt;br&gt;c. Lump-sum of Rs. 25,000/- to all families who lose a cattle shed, or replacement cost of structure, whichever is higher.</td>
<td>1 month notice will be provided before demolition of the structure.</td>
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<tr>
<td>S. No.</td>
<td>Impact Category</td>
<td>Entitlements</td>
<td>Implementation Guidelines</td>
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<td>d. Loss of other properties such as irrigation wells will be compensated at scheduled rates of Public Works Department (PWD), or replacement cost of asset, whichever is higher</td>
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<td>e. Replacement cost of bore well, open well and hand pumps. Wells and hand pumps must be operational to be eligible.</td>
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<td>f. Owners have right to salvage materials of the affected structures.</td>
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<td>g. In case of impact to crops, three months (90 days) advance notification for the harvesting of standing crops (or) lump sum equal to the market value of the yield of the standing crop lost determined by the Agricultural /horticulture Department.</td>
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<td>h. In case of impact to timber trees, Compensation based on timber value at market price or as valued by the Forest Department whichever is higher. For other perennial trees as valued by the Horticultural Department, or replacement cost whichever is higher.</td>
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| 2      | Other Entitlements to Squatters | Each squatter family will be given a one-time Resettlement Allowance of Rs 50,000/-. All squatter families, if physically displaced from residence or commercial place, the following payments will be applicable:  
1) Rs. 86,000/- subsistence grant for vulnerable families; and Rs. 36,000/- subsistence grant for all other families;  
2) Rs. 50,000/- for transportation;  
3) Rs. 150,000/- in rural areas and Rs. 200,000/- in urban areas as house construction grant;  
4) Rs. 25,000/- for each affected family of an artisan or self-employed. | a. Other Entitlements for Squatters are applicable for who live or do business there.  
b. Owners of Structures in Government land who do not live there, but rented out the structure will be provided structure cost and are not eligible for R&R Entitlements. However, the occupiers of such structures (Squatter Tenants) will be eligible for R&R Entitlements.  
c. The displaced squatters will be provided housing in the same village under government programs in addition to the entitlements listed here. |
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<th>S. No.</th>
<th>Impact Category</th>
<th>Entitlements</th>
<th>Implementation Guidelines</th>
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<tr>
<td>3</td>
<td>Other Entitlements to Encroachers</td>
<td>All encroachers if losing income as a result of physical relocation from any commercial structure will receive the equivalent of 3 months minimum wage as per district’s rate or monthly income for 3 months, whichever is higher, as applicable.</td>
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<td>4</td>
<td>Temporary impacts on livelihoods</td>
<td>The persons, such as vendors, whose livelihoods are temporarily impacted due to the project are to be paid for the number of days the livelihoods are affected subject to a minimum of 10 days. The district’s prevailing wage rate for that particular category of profession/ livelihood or the nearest equivalent profession/ livelihood will be considered.</td>
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**B. IMPACT TO VULNERABLE FAMILIES**

| 5     | Vulnerable Households Landowners losing 10% or more of their agricultural land (who are not already included in the vulnerable category) | Support for Training and Income Generation Provision of vocational training of Rs. 20,000/- per household participant.                                                                                     | a. Vulnerable Families are those belonging to SC/ ST category, minorities, BPL families, women headed households, elderly (above 60 years of age), widows, orphans, disabled, etc.  
b. The Project with support from consultants will identify the number of eligible vulnerable displaced families based on the 100% census of the displaced persons and will conduct a Training Needs Assessment through consultants in consultation with the displaced families so as to develop appropriate training programs suitable to the skills, market and the region.  
c. Suitable trainers and local resources will be identified by project in consultation with |
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<tr>
<th>S. No.</th>
<th>Impact Category</th>
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</tr>
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<tbody>
<tr>
<td>6</td>
<td>Community Assets (CAs)</td>
<td>For private assets: compensation as per provisions above for private assets. For Public owned assets: Reconstruction of affected assets and transfer to local authorities for maintenance.</td>
<td>Additional documented consultation with communities and relocation assistance are required.</td>
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<td>7</td>
<td>Schools, Hospitals and other sensitive receptors (health centers, day care, etc.)</td>
<td>In addition to 9, Construction of safety fence and noise barriers by the project, if supported by sensitive receptor’s administration</td>
<td>This will be included in the environmental management plan (EMP) cost.</td>
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<td>8</td>
<td>Utilities such as water supply, drainage, sewerage, electricity, OFC, etc.</td>
<td>Will be relocated and services restored prior to commencement of civil works.</td>
<td>The project will ensure that utilities are relocated prior to commencement of civil works in accordance with the civil works schedule</td>
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<tr>
<td>9</td>
<td>Loss of Land (agricultural, homestead, commercial or otherwise)</td>
<td><strong>Compensation for Land</strong></td>
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<td></td>
<td>a. Land will be acquired by the competent authority in accordance with the provisions of RFCTLARR Act, 2013.</td>
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<td>b. Replacement cost for land will be, higher of (i) market value as per Indian Stamp Act, 1899 for the registration of sale deed or agreements to sell, in the area where land is situated; or (ii) average sale price for similar type of land, situated in the nearest village or nearest vicinity area, ascertained from the highest 50% of sale deeds of the preceding 3 years; or (iii) consented amount paid for PPPs or private companies.</td>
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<td>c. Plus 100% solatium and 12% interest from date of notification to award on the total amount.</td>
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<td>d. The multiplier factor adopted by GoAP for land in rural area, based on the distance from urban area to the affected area, will be applied.</td>
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<td>e. In case of severance of land, house, manufactory or other building, as per Section 94 (1) of the RFCTLARR Act, 2013, the whole land and/or structure shall be acquired, if the owner so desires.</td>
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<td>f. Stamp duty and registration fee.</td>
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No tax to be deducted on compensation or on any of the R&R entitlements provided in this matrix. Land can be procured under Negotiated Settlement/ Direct Purchase. For this project, the maximum land that could be procured under this is half an acre from any single titleholder. In case of Negotiated Settlement, R&R allowances will not be applicable. In any case the payment under this cannot be less than under the LA Act 2013. Each seller need to be thoroughly briefed about the
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| 0     | Loss of Structure (house, shop, building or immovable property or assets attached to the land) | a. The market value of structures and other immovable properties will be determined by PWD on the basis of relevant PWD Schedule of Rates (SR) as on date without depreciation with 100% solatium or replacement cost, whichever is higher.  
b. Lump-sum of Rs. 25,000/- to all families who lose cattle shed, or replacement cost of structure, whichever is higher.  
c. Loss of other properties such as irrigation wells will be compensated at scheduled rates of Public Works Department (PWD) with 100% solatium, or replacement cost of asset, whichever is higher.  
d. Replacement cost of bore well, opens well and hand pumps, plus 100% solatium. Wells and hand pumps must be operational to be eligible.  
e. Owners have right to salvage materials of the affected structures.  
f. In case of impact to crops, three months (90 days) advance notification for the harvesting of standing crops (or) lump sum equal to the market value of the yield of the standing crop lost determined by the Agricultural /Horticulture Department.  
g. In case of impact to timber trees, Compensation based on timber value at market price or as valued by the Forest Department with 100% solatium whichever is higher. For other perennial trees as valued by the Horticultural Department with 100% solatium or replacement cost whichever is higher. | 1 month notice will be provided before demolition of the structure. |

**E. REHABILITATION AND RESETTLEMENT** – Affected families both Land Owners and the Families whose livelihood is primarily dependent on Land Acquired

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</table>
| 1     | Land Owners losing land or structures | Each affected family will be given a one-time Resettlement Allowance of Rs. 50,000/-..  
One-time lump sum payment (in lieu of employment or annuity) of Rs. 5,00,000/- for | Vulnerable Families are those belonging to SC/ ST category, minorities, |
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<td>1</td>
<td>Affected Family whose land or other immovable property has been acquired and to those whose livelihood is fully dependent on the acquired land.</td>
<td>All affected families, if physically displaced from residence or commercial place due to acquisition, the following payments will be applicable: 1) Rs. 86,000/- subsistence grant for vulnerable families; and Rs. 36,000/- subsistence grant for all other families; 2) Rs. 50,000/- for transportation; 3) Rs. 150,000/- in rural areas and Rs. 200,000/- in urban areas as house construction grant; 4) Rs. 25,000/- for each affected family of an artisan or self-employed.</td>
<td>BPL families, women headed households, elderly (above 60 years of age), widows, orphans, disabled, etc.</td>
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<td>2</td>
<td>Families whose livelihood is Primarily dependent on the private land or structures to be acquired (users of private land or structures such as workers, sharecroppers, artisans, tenants etc.)</td>
<td>Each affected family will be given a one-time Resettlement Allowance of Rs. 50,000/-. All affected families, if physically displaced from residence or commercial place due to acquisition, the following payments will be applicable: 1) Rs. 86,000/- subsistence grant for vulnerable families; and Rs. 36,000/- subsistence grant for all other families; 2) Rs. 50,000/- for transportation; 3) Rs. 150,000/- in rural areas and Rs. 200,000/- in urban areas as house construction grant; 4) Rs. 25,000/- for each affected family of an artisan or self-employed.</td>
<td>a. Vulnerable Families are those belonging to SC/ST category, minorities, BPL families, women headed households, elderly (above 60 years of age), widows, orphans, disabled, etc.</td>
</tr>
<tr>
<td>3</td>
<td>Other Entitlements to SC and STs</td>
<td>1) One-time financial assistance of Rs. 50,000/- per family. 2) Families settled outside the district shall be entitled to an additional 25% R&amp;R benefits. 3) Payment of one third of the compensation amount at very outset. 4) Preference in relocation and resettlement in area in same compact block. 5) Free land for community and social gatherings. 6) In case of displacement, a Development Plan is to be prepared. 7) Continuation of reservation and other Schedule V and Schedule VI area benefits from displaced area to resettlement area.</td>
<td>These are in addition to and over and above the benefits mentioned in this matrix.</td>
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F. ENTITLEMENTS TO SCs AND STs

G. IMPACT DURING CIVIL WORKS
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<tr>
<th>S. No.</th>
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</tr>
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<tbody>
<tr>
<td>4</td>
<td>Temporary impact on land for land owners</td>
<td>Cash for land lease through written agreement of the landowner and contractor.</td>
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<td>Land will be returned to owner rehabilitated to original condition.</td>
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<td>5</td>
<td>Temporary impact to structures and/or on income, regardless of their legal status,</td>
<td>Payment Rs. 5,000/- per month for up to a maximum of 12 months.</td>
<td>Eligible when shop closed or access severely restricted due to civil works.</td>
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<td>6</td>
<td>Temporary loss of livelihoods to vendors.</td>
<td>All vendors whose livelihoods are affected are shifted to a mutually agreeable location or paid a payment of Rs. 5,000/- per month, as per the choice of vendors.</td>
<td>The project will follow the provisions under the Street Vendors (Protection of Livelihood and Regulation of Street Vending) Act, 2014.</td>
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</tbody>
</table>

H. UNFORESEEN IMPACTS

7    | Unforeseen impacts encountered during implementation will be addressed in accordance with the principles of RFCTLARAR Act 2013 and Asian Infrastructure Investment Bank’s Safeguard Policies. |                                                                                          |