



# PROJECT-AFFECTED PEOPLE'S MECHANISM (PPM)

The Project-affected People's Mechanism (PPM) is the independent accountability mechanism of AllB. It provides an independent and impartial review of complaints from Project-affected people who believe they have been or are likely to be adversely affected by AllB's failure to implement its Environmental and Social Policy (ESP).

Complaints-resolution, Evaluation and Integrity Unit (CEIU)

# **PPM FUNCTIONS**



# **Project Processing Query (PPQ)**

The PPQ function enables Project-affected people to obtain rapid resolution of their concerns over simple matters which arise during AllB's environmental and social due diligence of a Project, and which do not require dispute resolution. They may query about (i) the consultation process related to a Project; or (ii) requests to address any environmental nuisance such as dust, noise or mobility restrictions experienced during Project preparation.



# Dispute Resolution (DR)

The DR function facilitates a dialogue between AIIB, the Project-affected people and/or the Client with a view to agreeing on actions to mitigate known and quantifiable, potential or actual material adverse environmental or social impacts that arise during AIIB's environmental and social due diligence of a Project or during Project implementation.



# Compliance Review (CR)

The CR is designed to investigate allegations by Project-affected people that AIIB has failed to comply with its obligations under the ESP in its environmental and social due diligence of a Project or its oversight of the Project during implementation. Such noncompliance may cause or is likely to cause material adverse environmental or social impacts on the Project-affected people. If the allegations are substantiated, the CR can review any AIIB-proposed action plan to address these impacts.

# WHO CAN FILE A SUBMISSION

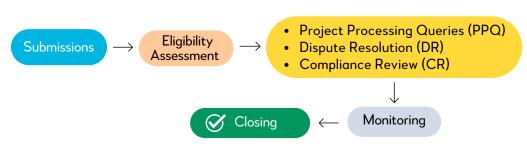
Two or more Project-affected people (also known as Requestors) may file a submission and send it directly to the PPM. Requestors may also authorize an incountry representative (Authorized Representative) to file a submission on their behalf. This representative may be a relative, trusted individual or organization. In exceptional situations when in-country representation is unavailable, Requestors may designate an individual or organization representative outside of the country as their Authorized Representative to file a submission.

## What information to be included

- Names of Requestors, as well as address, Additional information (optional): contact details and preferred mode of contact.
- Designated Authorized Representative's name and contact details and Requestors' authorization to the Authorized Representative to file a submission on their behalf.
- Any request for confidentiality of the identity of the Requestors or of an incountry Authorized Representative or other information, and reasons for such request.
- Name and location of the AIIB-financed Project concerned.
- What Requestors consider to be the direct and material adverse environmental or social issues to be addressed.

- The provisions of the AIIB Environmental and Social Policy that Requestors consider have not been complied with along with how this non-compliance occurred.
- Any steps taken by the Requestors to resolve the issue (e.g., approaching the Client; the Project-level Grievance Redress Mechanism; AIIB Management; government, judicial or law enforcement bodies) and the outcome.
- The issues that are still not resolved.
- Requestors' view of the best way to approach the issue and the most satisfactory result.
- Whether Requestors are submitting a Project Processing Query, Request for Dispute Resolution or Request for Compliance Review.

# **PPM Workflow**





# How to make a Submission to PPM?

Scan the QR code to submit a complaint to AIIB's Project-affected People's Mechanism (PPM)



Find sample submission forms in various languages on the AllB website

PPM submissions may be sent by email to: ppm@aiib.org

### or by mail to:

Managing Director Complaints-resolution, Evaluation & Integrity Unit (CEIU), Asian Infrastructure Investment Bank (AIIB) Tower A, Asia Financial Center, No.1 Tianchen East Road Chaoyang District, Beijing 100101 China

## Language of Submission

Submissions may be filed in English or in any official or national language of the Requestor.

## Confidentiality

Confidentiality may be requested for a variety of reasons, including risk of retaliation. The request for confidentiality and the reasons for the request should be provided with the submission.









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